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Associated Governments

No. 564 MEETING OF THE

REGIONAL COUNCIL

***Thursday, November 6, 2014
12:15 p.m. – 2:00 p.m.***

**SCAG Main Office
818 W. 7th Street, 12th Floor
Board Room
Los Angeles, CA 90017
(213) 236-1800**

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Lillian Harris-Neal at (213) 236-1858 or via email at harris-neal@scag.ca.gov. In addition, regular meetings of the Regional Council may be viewed live or on-demand at <http://www.scag.ca.gov/NewsAndMedia/Pages/SCAGTV.aspx>

Agendas & Minutes for the Regional Council are also available at:
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Regional Council

Members –November 2014

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21. Hon. Deborah Robertson	<i>Rialto</i> District 8
22. Hon. Paul Eaton	<i>Montclair</i> District 9
23. Hon. Ray Marquez	<i>Chino Hills</i> District 10
24. Hon. Bill Jahn	<i>Big Bear Lake</i> District 11
25. Hon. Mike Munzing	<i>Aliso Viejo</i> District 12
26. Hon. Kathryn McCullough	<i>Lake Forest</i> District 13
27. Hon. Steven Choi	<i>Irvine</i> District 14
28. Hon. Leslie Daigle	<i>Newport Beach</i> District 15
29. Hon. John Nielsen	<i>Tustin</i> District 17
30. Hon. Leroy Mills	<i>Cypress</i> District 18
31. Hon. Kris Murray	<i>Anaheim</i> District 19

Regional Council

Members –November 2014

<u>Members</u>		<u>Representing</u>
32. Hon. Tri Ta	<i>Westminster</i>	District 20
33. Hon. Art Brown	<i>Buena Park</i>	District 21
34. Hon. Brett Murdock	<i>Brea</i>	District 22
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36. Hon. Gene Daniels	<i>Paramount</i>	District 24
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48. Hon. Jonathan Curtis	<i>La Cañada/Flintridge</i>	District 36
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50. Hon. Sam Pedroza	<i>Claremont</i>	District 38
51. Hon. James Gazeley	<i>Lomita</i>	District 39
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54. Hon. Jess Talamantes	<i>Burbank</i>	District 42
55. Hon. Steven Hofbauer	<i>Palmdale</i>	District 43
56. Hon. John Sibert	<i>Malibu</i>	District 44
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58. Hon. Glen Becerra	<i>Simi Valley</i>	District 46
59. Hon. Gilbert Cedillo	<i>Los Angeles</i>	District 48
60. Hon. Paul Krekorian	<i>Los Angeles</i>	District 49
61. Hon. Bob Blumenfield	<i>Los Angeles</i>	District 50
62. Hon. Tom LaBonge	<i>Los Angeles</i>	District 51

Regional Council

Members –November 2014

<u>Members</u>		<u>Representing</u>
63. Hon. Paul Koretz	<i>Los Angeles</i>	District 52
64. Hon. Nury Martinez	<i>Los Angeles</i>	District 53
65. Hon. Felipe Fuentes	<i>Los Angeles</i>	District 54
66. Hon. Bernard C. Parks	<i>Los Angeles</i>	District 55
67. Hon. Curren D. Price, Jr.	<i>Los Angeles</i>	District 56
68. Hon. Herb J. Wesson, Jr.	<i>Los Angeles</i>	District 57
69. Hon. Mike Bonin	<i>Los Angeles</i>	District 58
70. Hon. Mitchell Englander	<i>Los Angeles</i>	District 59
71. Hon. Mitch O'Farrell	<i>Los Angeles</i>	District 60
72. Hon. José Huizar	<i>Los Angeles</i>	District 61
73. Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
74. Hon. Karen Spiegel	<i>Corona</i>	District 63
75. Hon. Jim Katapodis	<i>Huntington Beach</i>	District 64
76. Hon. Ryan McEachron	<i>Victorville</i>	District 65
77. Hon. Michael Wilson	<i>Indio</i>	District 66
78. Hon. Dante Acosta	<i>Santa Clarita</i>	District 67
79. Hon. Rusty Bailey	<i>Riverside</i>	District 68
80. Hon. Julio Rodriguez	<i>Perris</i>	District 69
81. Hon. Ross Chun	<i>Aliso Viejo</i>	TCA
82. Hon. Andrew Masiel, Sr.	<i>Pechanga Band of Luiseño Indians</i>	Tribal Government Representative
83. Mr. Randall Lewis	<i>Lewis Group of Companies</i>	(Ex-Officio)
84. Hon. Eric Garcetti	<i>Los Angeles</i>	(At-Large)

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REGIONAL COUNCIL AGENDA NOVEMBER 6, 2014

The Regional Council may consider and act upon any of the items listed on the agenda regardless of whether they are listed as Information or Action Items.

CALL TO ORDER & PLEDGE OF ALLEGIANCE

(Hon. Carl Morehouse, President)

PUBLIC COMMENT PERIOD – Members of the public desiring to speak on items on the agenda, or items not on the agenda, but within the purview of the Council, must fill out and present a Public Comment Card to the Assistant prior to speaking. Comments will be limited to three (3) minutes per speaker. The President has the discretion to reduce the time limit based upon the number of speakers. The President may limit the total time for all public comments to twenty (20) minutes.

REVIEW AND PRIORITIZE AGENDA ITEMS

EXECUTIVE DIRECTOR'S REPORT

(Hasan Ikhata, Executive Director)

- 5th Annual Economic Summit – December 4, 2014
- Cap-and-Trade Funding Update

PRESIDENT'S REPORT

- New Members
- Committee Appointments
- Business Update
- Air Resources Board – Update

COMMITTEE REPORTS/ACTION ITEMS

Page No.

1. Notice of Exemption for the Southern California Active Transportation Safety and Encouragement Campaign (Project)
(Huasha Liu, Director, Land Use and Environmental Planning)

Attachment

1

Recommended Action: Adopt Resolution No. 14-564-1 to approve the filing of a Notice of Exemption for the Southern California Active Transportation Safety and Encouragement Campaign (Project) with the State of California Office of Planning and Research (OPR) and the county clerks of all six (6) SCAG Counties.

REGIONAL COUNCIL AGENDA NOVEMBER 6, 2014

Executive/Administration Committee (EAC) Report

(Hon. Carl Morehouse, Chair)

Page No.

- | | | |
|---|------------|----|
| 2. <u>2014 Investment Policy</u>
<i>(Basil Panas, Chief Financial Officer)</i> | Attachment | 12 |
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Recommended Action: Recommend that the Regional Council approve the 2014 Statement of Investment Policy, as amended.

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| 3. <u>Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program</u>
<i>(Basil Panas, Chief Financial Officer)</i> | Attachment | 20 |
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Recommended Action: Adopt Resolution No. 14-564-2, certifying SCAG's Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program.

Legislative/Communications and Membership Committee (LCMC) Report

(Hon. Pam O'Connor, Chair)

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| 4. <u>Minutes of the October 2, 2014 Regional Council Meeting</u> | Attachment | 33 |
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CONSENT CALENDAR

Approval Items

- | | | |
|---|------------|-----|
| 5. <u>Contracts \$200,000 or Greater: Contract No. 15-004-C1, Program Environmental Impact Report (PEIR) for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS)</u> | Attachment | 43 |
| 6. <u>Contracts \$200,000 or Greater: Contract No. 15-005-C1, Scenario Planning Services</u> | Attachment | 54 |
| 7. <u>Contracts \$200,000 or Greater: Contract Nos. 15-009-C1 through 15-009-C10, On-Call Modeling and Technical Support Services</u> | Attachment | 64 |
| 8. <u>SCAG Sponsorships and Memberships</u> | Attachment | 113 |

Receive & File

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| 9. <u>SCAG Sustainability Planning Grants Program – Monthly Update</u> | Attachment | 116 |
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REGIONAL COUNCIL AGENDA NOVEMBER 6, 2014

CONSENT CALENDAR - continued

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| 10. <u>2015 Regional Council and Policy Committees Meeting Schedule</u> | Attachment | 123 |
| 11. <u>FY 2015-16 Comprehensive Budget Development Schedule</u> | Attachment | 124 |
| 12. <u>Upcoming Environmental Justice (EJ) Workshop for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS)</u> | Attachment | 126 |
| 13. <u>SCAG Draft Public Health Program and FY 2014-2015 Public Health Action Plan</u> | Attachment | 128 |
| 14. <u>Notice of Funding Availability (NOFA) for Infill Infrastructure Grant (IIG) Program from the California Department of Housing and Community Development (HCD)</u> | Attachment | 134 |
| 15. <u>Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000</u> | Attachment | 190 |
| 16. <u>November 2014 State and Federal Legislative Update</u> | Attachment | 192 |
| 17. <u>CFO Monthly Report</u> | Attachment | 205 |

FUTURE AGENDA ITEM/S

CLOSED SESSION

CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION

Consideration and possible approval regarding the initiation of litigation pursuant to Government Code Section 54956.9 (c) – One potential case

ADJOURNMENT

In lieu of a regular Regional Council (RC) meeting, the 5th Annual Economic Summit will be held on Thursday, December 4, 2014, from 9AM to 2PM, at the Westin Bonaventure Hotel, Downtown Los Angeles.

There will be no RC meeting in January 2015 (dark).

The next regular Regional Council meeting will be held on Thursday, February 5, 2015 at the SCAG Los Angeles Office.

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REPORT

DATE: November 6, 2014

TO: Regional Council (RC)

FROM: Huasha Liu, Director of Land Use & Environmental Planning, (213) 236-1838, liu@scag.ca.gov

SUBJECT: Notice of Exemption (NOE) for the Southern California Active Transportation Safety and Encouragement Campaign (Project)

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

Adopt Resolution No. 14-564-1 to approve the filing of a Notice of Exemption (NOE) for the Southern California Active Transportation Safety and Encouragement Campaign (Project) with the State of California Office of Planning and Research (OPR) and the county clerks of all six (6) SCAG Counties.

EXECUTIVE SUMMARY:

Since the approval of the Southern California Active Transportation Safety and Encouragement Campaign (Project) by the Regional Council on August 7, 2014, SCAG staff has conducted an environmental assessment of the Project pursuant to the California Environmental Quality Act (CEQA). The Project will include a Regional Advertising Campaign, a Community Outreach and Tactical Urbanism Campaign, and the development of Active Transportation Trainings and Training Toolkits. SCAG finds that the Project will involve minor temporary use of land and include educational and training programs involving no physical changes. As such, SCAG staff finds that the Project is categorically exempt from CEQA and has prepared a NOE to be presented to the Regional Council for final approval for filing with OPR and the county clerks of all six (6) SCAG Counties. Given that the regular meeting of the Energy and Environment Committee (EEC) will not be held until February 5, 2015, the Regional Council is asked to approve the NOE without a recommendation from the EEC to complete the necessary CEQA review in a timely manner so that SCAG staff may commence work on the Project.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and facilitate a collaboration and cooperative environment to produce forward thinking regional plans.

BACKGROUND:

In coordination with the six (6) county public health departments and six (6) County Transportation Commissions (CTCs), SCAG received an award for \$2.3 million in Caltrans grant funding, in response to its application to the statewide 2014 ATP Call for Projects. It is anticipated that the Project would be implemented in three (3) phases beginning in January 2015 and completed by June 2016.

- Regional Advertising Campaign (Phase 1: September-November 2015) targeting approximately 10 million impressions regionwide with simple encouragement and safety messages. The Campaign will run for a period of no more than 90 days, building off of “Walktober” activities, International Walk to School Day, and to prepare communities for Daylight Savings, when automobile collision rates are the highest.
- Community Outreach/Tactical Urbanism Campaign (Phase 2: May 2016) attracting between 100,000-500,000 people, and exposing millions more through media impressions, to open streets events and other community focused outreach events involving temporary urban infrastructure demonstration projects or “pop-ups” that are intended to bring greater awareness to the use of streets for people, not just cars. This component is expected to have an estimated six (6) events throughout the SCAG region that would all be implemented in in coordination with Bike Month and Bike to Work Week activities. The activities in the tactical urbanism campaign would be expected to last from one day up to one month. “Pop ups” of innovative bicycle and pedestrian infrastructure and safety devices may include a range of example mock-ups such as a city temporarily creating protected bicycle lanes on existing rights-of-way, curb extensions, and roundabouts, or closing a street to car traffic for an open streets events, e.g., CicLAvia (<http://www.ciclavial.org/>), Team Better Block (<http://teambetterblock.com/>), and Pop Up Mango (<http://www.smgov.net/Departments/PCD/Plans/Streetscapes/Michigan-Avenue-Neighborhood-Greenway/>). The events would likely include temporary vendor booths, food trucks, restroom facilities, first-aid stands, greenery planters, and activities such as bicycle safety classes and entertainment. Locations for the Community Outreach/Tactical Urbanism Campaign would be selected through the planning process of Phase I and would be closely coordinated with host cities to minimize or avoid any potential temporary interruptions to local businesses.
- Active Transportation Trainings and Training Toolkits (Phase 1, 2, and 3: September 2015-May 2016) for four (4) target audiences—Elected Officials, Employers, Community Organizations, and Transportation/Public Health Professionals—to create and empower local champions, at least 1,000 people, in key sectors to lead education and encouragement programs in their communities.

Prior to allocation of the awarded funds from the state, SCAG must conduct an assessment of potential environmental impacts of the Project pursuant to CEQA in order to determine the type of document to prepare, or if the Project is exempt. SCAG staff has reviewed the Project in accordance with CEQA requirements and has determined that it is categorically exempt from CEQA.

BASIS FOR CATEGORICAL EXEMPTIONS:

The key considerations for determining if a project is exempt from CEQA are outlined in Sections 21080(b), 21083, and 21804 of the Public Resources Code and CEQA Guidelines Section 15002(k)(1), 15061, 15062, and 15300 to 15332. In general, CEQA Guidelines include a list of 33 classes of projects which have been determined not to have a significant effect on the environment and which shall, therefore, be exempt from the provisions of CEQA. A project is exempt from CEQA if the project falls within one (1) or more of the 33 classes. Once the lead agency determines that the project falls within any of the 33 classes, the project is exempt from CEQA, and the environmental review process does not need to proceed any farther. The lead agency may prepare and file a NOE Pursuant to CEQA Guidelines Section 15062, the NOE serves as a public notice that the lead agency has determined that a project is exempt from CEQA. The NOE may be filed with the OPR and the county clerk of each county in which the project will be located after approval of the project. Submission of the NOE to the OPR and the county clerks completes

REPORT

the review of exemption process for a lead agency under the provisions of CEQA. The filing and posting of an NOE will begin a 30-day public inspection period.

ENVIRONMENTAL ASSESSMENT:

SCAG staff has conducted an environmental assessment of the Project pursuant to Sections 21080(b), 21083, and 21804 of the Public Resources Code and CEQA Guidelines Sections 15002(k)(1), 15061, 15062, and 15300 to 15332. CEQA Guidelines include a list of 33 classes of projects which have been determined not to have a significant effect on the environment and which shall, therefore, be exempt from the provisions of CEQA. Based upon its assessment, SCAG staff has determined that the following exemptions apply to the Project:

CEQA Guidelines § 15304 – Minor Alterations to Land (Class 4) exemption consists of minor public or private alterations in the condition of land, water, and or vegetation which do not involve removal of healthy, mature, scenic trees except for forestry and agricultural purposes.

Specifically, CEQA Guidelines §15304(e) exempts:

“[m]inor temporary use of land having negligible or no permanent effects on the environment, including carnivals, sales of Christmas trees, etc...”

SCAG finds the Project would involve minor temporary use of land during the open streets/community outreach events and temporary urban infrastructure “pop-ups”, which would have negligible or no permanent effects on the environment. The Project would involve an estimated six (6) events lasting from one day up to one month throughout the SCAG region in May 2016, in coordination with Bike Month and Bike to Work Week activities. The Project may include temporary, partial use of streets as bicycle lanes or temporary closure of streets to car traffic for open streets events (e.g., CicLAvia, Team Better Block, and Pop Up Mango). Bicycle and pedestrian infrastructure “pop ups” may include a range of example mock-ups such as curb extensions, roundabouts, and other safety devices that would make streets more bicycle and pedestrian friendly. Open streets/community outreach events may also include temporary vendor booths, food trucks, restroom facilities, first-aid stands, greenery planters, and activities such as bicycle safety classes and entertainment. Therefore, the Project is anticipated to result in minor, temporary alterations to land which do not have any permanent effects on the environment.

Additionally, CEQA Guidelines §15304(h) exempts:

“[t]he creation of bicycle lanes on existing rights-of-way.”

SCAG finds that the Project would involve minor temporary use of land during the open streets/outreach events and temporary bicycle and pedestrian infrastructure “pop-ups,” which would have negligible or no permanent effects on the environment. The Project may entail creation of temporary bicycle lanes on existing rights-of-way with bicycle and pedestrian infrastructure and safety devices that may last from one day up to one month. The Project would involve an estimated six (6) events throughout the SCAG region that would all be implemented in May 2016, in coordination with Bike Month and Bike to Work Week activities. Creation of temporary bicycle and pedestrian infrastructure and safety devices may include a range of example mock-ups such as a city temporarily installing protected bicycle lanes on existing rights-of-way, curb extensions, and roundabouts, or closing a street to car traffic for an open streets events (e.g.,

REPORT

CicLAvia, Team Better Block, and Pop Up Mango). These infrastructure and safety devices are intended to make streets more bicycle and pedestrian friendly during the open streets and community outreach events. Therefore, the Project is anticipated to result in minor, temporary alterations to land which do not have any permanent effects on the environment.

CEQA §15322 – Educational or Training Programs Involving No Physical Changes (Class 22) exemptions:

“the adoption, alteration, or termination of educational or training programs which involve no physical alteration in the area affected or which involve physical changes only in the interior of existing school or training structures. . .”

SCAG finds that the Project would consist of adoption and implementation of educational or training programs involving no physical alteration in the area affected. The Project would include an advertising campaign and the development of active transportation trainings and toolkits that would be educational in nature involving no physical changes in the area affected.

Because SCAG has determined that the above described categories of exemptions apply, additional environmental review is not required for the Project, and an NOE fulfills the requirements of CEQA.

SCHEDULE:

Upon approval by the Regional Council at its November 6, 2014 meeting, SCAG will submit the NOE to be filed with OPR and the county clerks of all six (6) SCAG Counties for a 30-day public inspection period, which will begin on or around November 11, 2014 and end on or around December 11, 2014.

FISCAL IMPACT:

Work associated with this item is included in the current Fiscal Year 2014-15 Overall Work Program (15-020.SCG00161.04: Regulatory Compliance and 15-050.SCG00169.01: Regional Active Transportation Strategy).

ATTACHMENTS:

1. Resolution No. 14-564-1
2. Notice of Exemption for the Southern California Active Transportation Safety and Encouragement Campaign

RESOLUTION NO. 14-564-1

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS APPROVING THE
NOTICE OF EXEMPTION FOR THE SOUTHERN CALIFORNIA
ACTIVE TRANSPORTATION SAFETY AND
ENCOURAGEMENT CAMPAIGN**

WHEREAS, the Southern California Association of Governments (“SCAG”) is the designated Metropolitan Planning Organization (“MPO”), pursuant to 23 U.S.C. Section 134 et seq. and 49 U.S.C. Section 5303 et seq., serving the nation’s largest metropolitan planning area comprised of Los Angeles, Orange, San Bernardino, Riverside, Ventura and Imperial Counties;

WHEREAS, SCAG adopted the 2012 Regional Transportation Plan and Sustainable Communities Strategy (“RTP/SCS”) which included four goals for active transportation: 1) Decrease Bicycle and Pedestrian Fatalities and Injuries, 2) Develop an Active Transportation Friendly Environment Throughout the SCAG Region, 3) Increase Active Transportation Usage in the SCAG Region, and 4) Encourage the Development of Local Active Transportation Plans;

WHEREAS, on May 1, 2014, the General Assembly adopted Resolution No. GA 2014-2 supporting the development of a regional pedestrian and bicycle safety initiative in partnership with member agencies, the county transportation commissions and other stakeholders;

WHEREAS, SCAG applied for an award of \$2,333,700 in California Department of Transportation (“Caltrans”) Active Transportation Program funds (“Grant Funds”), to support the Southern California Active Transportation Safety and Encouragement Campaign (“Project”);

WHEREAS, SCAG approved the acceptance of the Grant Funds for the Project on August 7, 2014 with Resolution No. 14-561-2;

WHEREAS, on August 20, 2014, SCAG was awarded a grant by the California Transportation Commission (“CTC”) from the statewide competitive portion of 2014 Active Transportation Program to implement the Project, which will develop an Advertising Campaign with memorable encouragement and safety messages, a Community Outreach/Tactical Urbanism Campaign attracting people to open street events and other temporary urban interventions, and Active Transportation Trainings and Training Toolkits for target audiences;

WHEREAS, SCAG staff provided an update on the CTC award to the Executive/ Administration Committee, the Transportation Committee, the Energy and Environment Committee, the Community, Economic and Human Development Committee, and the Regional Council on September 11, 2014;

WHEREAS, the Project is scheduled to be implemented in January 2015 and completed by June 2016;

WHEREAS, SCAG is required conduct an assessment of potential environmental impacts of the Project pursuant to the California Environmental Quality Act (CEQA), prior to receiving allocation of the awarded Grant Funds;

WHEREAS, CEQA Guidelines Section 15304(e) – Minor Alterations to Land (Class 4) exempts from CEQA:

“[m]inor temporary use of land having negligible or no permanent effects on the environment, including carnivals, sales of Christmas trees, etc.”

WHEREAS, CEQA Guidelines Section 15304(h) – Minor Alterations to Land (Class 4) exempts from CEQA:

“[t]he creation of bicycle lanes on existing rights of way.”

WHEREAS, CEQA Section 15322 – Educational or Training Programs Involving No Physical Changes (Class 22) exempts from CEQA:

“the adoption, alteration, or termination of educational or training programs which involve no physical alteration in the area affected or which involve physical changes only in the interior of existing school or training structures....”

WHEREAS, SCAG has conducted an environmental assessment of the Project and has determined that the Project is categorically exempt from CEQA and the scope of the Project activities has been determined to not have a significant effect on the environment as outlined in CEQA Guidelines Sections 15304(e), 15304(h), and 15322; and

WHEREAS, SCAG has prepared a Notice of Exemption (NOE) to be filed with the Office of Planning and Research and county clerks of all six SCAG Counties in which the Project will be located for a 30-day public inspection period pursuant to CEQA Guidelines Section 15062.

NOW, THEREFORE BE IT RESOLVED, by the SCAG Regional Council, that the foregoing recitals are true and correct and incorporated by this reference.

BE IT FURTHER RESOLVED that the SCAG Regional Council finds as follows:

1. The Southern California Active Transportation Safety and Encouragement Campaign would involve minor temporary use of land during the open streets/community outreach events which would be minor alterations to land having negligible or no permanent effects on the environment. Therefore, the Project is exempt pursuant to CEQA Guidelines Section 15304(e) and 15304(h) – Minor Alterations to Land (Class 4).
2. The Southern California Active Transportation Safety and Encouragement Campaign would include adoption and implementation of educational or training programs involving no physical alteration in the area affected. Therefore, the Project is exempt pursuant to CEQA Guidelines Section 15322 – Educational or Training Programs Involving No Physical Changes (Class 22).
3. The Notice of Exemption for the Southern California Active Transportation Safety and Encouragement Campaign has been completed in compliance with CEQA.
4. The Southern California Active Transportation Safety and Encouragement Campaign does not have a significant effect on the environment, and thus additional environmental review is not required for the Project and a Notice of Exemption fulfills the requirements of CEQA.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at its regular meeting on the 6th day of November, 2014.

[SIGNATURES ON FOLLOWING PAGE]

Hon. Carl E. Morehouse,
President, SCAG
Councilmember, City of San Buenaventura

Attested by:

Hasan Ikhata
Executive Director

Approved as to Form:

Joanna Africa
Chief Counsel

Notice of Exemption

To: Office of Planning and Research	From: Southern California Association of Governments (SCAG)
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U.S. Mailing Address:

P.O. Box 3044
Sacramento, California 95812-3044

818 West Seventh Street, 12th Floor
Los Angeles, California 90017

Street Address:

1400 Tenth Street, Room 121
Sacramento, California 95814

**County Clerks of Imperial, Los Angeles,
Orange, Riverside, San Bernardino, and
Ventura**

Project Title: Southern California Active Transportation Safety and Encouragement Campaign

Project Location: Southern California Association of Governments (SCAG) region, which consist of six counties (Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura) and 191 cities.

Description of Nature, Purpose, and Beneficiaries of Project: The primary goal of this Project is to reduce pedestrian and bicycle collisions, while increasing levels of walking and biking in Southern California. The Project will complement and leverage the unprecedented level of investment that will occur in active transportation infrastructure over the next several years, as a result of the Active Transportation Program, California Complete Streets Policy, SCAG's Regional Transportation Plan/Sustainable Communities Strategy, local plans and policies, and a growing advocacy community.

The Project will be designed to achieve four overarching objectives: 1) educate all roadway users on the rules of the road; 2) encourage more people to bike/walk through education; 3) increase public awareness and support for active transportation as a mode of transportation; and 4) build a regional partnership between transportation agencies, health departments, local agencies, non-profits, and private sector partners to cost effectively expand the reach of the campaign.

The Project would consist of three distinct activities: a Regional Advertising Campaign, a Community Outreach/Tactical Urbanism Campaign, and the development of Active Transportation Trainings and Training Toolkits. It is anticipated that the Project would be implemented in three phases beginning in January 2015 and completed by June 2016.

- Regional Advertising Campaign (Phase 1: September-November 2015) targeting approximately 10 million impressions region-wide with simple encouragement and safety messages. The

Campaign will run for a period of no more than 90 days, building off of “Walktober” activities, International Walk to School Day, and to prepare communities for Daylight Savings, when automobile collision rates are the highest.

- Community Outreach/Tactical Urbanism Campaign (Phase 2: May 2016) attracting between 100,000-500,000 people, and exposing millions more through media impressions, to open streets events and other community focused outreach events involving temporary urban infrastructure demonstration projects or “pop-ups” that are intended to bring greater awareness to the use of streets for people, not just cars. This component is expected to have an estimated six (6) events throughout the SCAG region that would all be implemented in coordination with Bike Month and Bike to Work Week activities. The activities in the tactical urbanism campaign would be expected to last from one day up to one month. “Pop ups” of innovative bicycle and pedestrian infrastructure and safety devices may include a range of example mock ups such as a city temporarily creating protected bicycle lanes on existing rights-of-way, curb extensions, and roundabouts, or closing a street to car traffic for an open streets events, e.g., CicLAvia (<http://www.ciclavia.org/>), Team Better Block (<http://teambetterblock.com/>), and Pop Up Mango (<http://www.smgov.net/Departments/PCD/Plans/Streetscapes/Michigan-Avenue-Neighborhood-Greenway/>). The events would likely include temporary vendor booths, food trucks, restroom facilities, first-aid stands, greenery planters, and activities such as bicycle safety classes and entertainment. Locations for the Community Outreach/Tactical Urbanism Campaign would be selected through the planning process of Phase I and would be closely coordinated with host cities to minimize or avoid any potential temporary interruptions to local businesses.
- Active Transportation Trainings and Training Toolkits (Phase 1, 2, and 3: September 2015-May 2016) for four (4) target audiences—Elected Officials, Employers, Community Organizations, and Transportation/Public Health Professionals—to create and empower local champions, at least 1,000 people, in key sectors to lead education and encouragement programs in their communities.

Name of Public Agency Approving Project: Southern California Association of Governments

Name of Person or Agency Carrying Out Project: Southern California Association of Governments

Exempt Status: (check one)

- ☐ Ministerial (Sec. 21080(b)(1); 15268);
- ☐ Declared Emergency (Sec. 21080(b)(3); 15269(a)); ☐ Emergency Project (Sec. 21080(b)(4); 15269(b)(c));
- ☒ Categorical Exemption: CEQA Guidelines Section 15304 (e) and (h) – Minor Alterations to Land; and CEQA Guidelines Section 15322 – Educational or Training Programs Involving No Physical Changes.
- ☐ Statutory Exemptions

Reasons why project is exempt: SCAG has reviewed the Project pursuant to CEQA Guidelines Section 15002(k)(1), 15061, 15062, and 15300 to 15332. SCAG has determined that the Project is categorically exempt from CEQA because the scope of the Project activities is included in the classes of projects which have been determined not to have a significant effect on the environment, as follows:

- The Project would involve minor temporary use of land having negligible or no permanent effects on the environment, and create temporary bike lanes on existing rights-of-way. As part of the Project's temporary use of land, the Project may include temporary "pop up" bike and pedestrian infrastructure, including a range of example mock ups such as curb extensions, roundabouts, and other safety devices that would make streets more bicycle and pedestrian friendly. The Project may also include temporary vendor booths, food trucks, restroom facilities, first-aid stands, greenery planters, and activities such as bicycle safety classes and entertainment during the open streets/community outreach events. The Project may also include temporary, partial use of streets as bicycle lanes or temporary closure of streets to car traffic for open streets events. Additionally, the Project may entail creation of temporary bicycle lanes on existing rights-of-way with bicycle and pedestrian infrastructure and safety devices that may last from one day up to one month. Thus, the Project is anticipated to result in minor, temporary alterations to land which do not have any permanent effects on the environment, as outlined in CEQA Guidelines Section 15304 (e) and (h) – Minor Alterations to Land;
- All components of the Regional Advertising Campaign and the Active Transportation Training Toolkits would consist of education or training programs such as active transportation trainings and training toolkits involving no physical changes, as outlined in CEQA Guidelines Section 15322 – Educational or Training Programs Involving No Physical Changes.

Project Approval Date:

SCAG's Regional Council Approved the Project on August 7, 2014.

The California Transportation Commission Awarded SCAG Funding for the Project on August 20, 2014.

CEQA Contact Person:	Phone Number:	Fax Number:	Email:
Lijin Sun	(213) 236-1882	(213) 236-1825	sunl@scag.ca.gov
Project Contact Person:	Phone Number:	Fax Number:	Email:
Rye Baerg	(213) 236-1866	(213) 236-1825	baerg@scag.ca.gov

Date received for filing at OPR: _____ Signature of Applicant: _____

Jonathan Nadler, Manager
Compliance and Performance Monitoring
Land Use & Environmental Planning Division
Southern California Association of Governments

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, 213-236-1817, panas@scag.ca.gov

SUBJECT: 2014 Investment Policy

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

Recommend that the Regional Council approve the 2014 Statement of Investment Policy, as amended.

EXECUTIVE SUMMARY:

SCAG's Statement of Investment Policy is included as Article X of the Regional Council Policy Manual. The policy is currently subject to annual review and re-approval by the Regional Council. Staff recommends re-approval of the policy with amendments.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan, Goal 3 - Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

The Statement of Investment Policy (Section 17: Modification and Legislative Changes) states that the Executive/Administration Committee shall be responsible for modifying investment guidelines as conditions warrant and submit the modified guidelines for re-approval by the Regional Council on an annual basis.

The proposed amendments to the Investment Policy are underlined in the attached: The priorities of SCAG's investment objectives are clarified on page 1, second paragraph.

FISCAL IMPACT:

None

ATTACHMENT:

Statement of Investment Policy 2014

SCAG STATEMENT OF INVESTMENT POLICY

Section 1: Purpose

This SCAG Statement of Investment Policy is intended to provide standards and guidelines for the prudent investment of funds by SCAG in conducting its investment and cash management responsibilities. The goal is to strengthen the overall financial condition of SCAG, while earning a return on our investments with safety and liquidity.

Section 2: Objective

The Policy is designed to achieve and maintain adequate working capital to support our Planning and Support Operations, and to grow our available resources and funds to the fullest extent possible. SCAG attempts to obtain a market rate of interest without assuming undue risk to principal. The objectives of such investments, in descending order of importance, are: 1) the long term preservation of capital, 2) adequate cash resources to meet our short term financial needs for liquidity; and 3) to earn a competitive rate of return on capital.

Section 3: Scope

This investment policy applies to activities of SCAG with regard to investing the financial assets of all funds, including the following: General Fund, Special Revenue Funds, and Trust Funds, and any other Funds that may be created from time to time.

Section 4: Investment Responsibility

SCAG's Executive Director, in his capacity as Secretary-Treasurer, may delegate responsibility for investments to the Chief Financial Officer and/or the Manager of Accounting. This includes the authority to select investments, engage professional services, to open accounts with banks, brokers and dealers, to establish safekeeping accounts or other arrangements for the custody of securities, and report to oversight bodies. Those persons authorized to execute transactions include: 1) Chief Financial Officer or his/her director designee, 2) Manager of Accounting or his/her staff designee, and 3) those specifically approved and added by the Executive/Administration Committee (EAC) of the Regional Council (RC). Brokers and dealers are to be provided with a list of specified names of those persons authorized to execute transactions.

Section 5: Internal Controls

The Chief Financial Officer and the Manager of Accounting shall establish the investment function so that specific responsibility for the performance of duties is assigned with a clear line of authority, accountability and reporting. The functions of authorizing, executing and recording transactions, custody of investments and performing

reconciliations are to be handled by separate persons to reduce the risk that a person is in a position to conceal errors or fraud in the normal course of duty.

While no internal control system, however elaborate, can guarantee absolute assurance that assets are safeguarded, it is the intent of the internal control system to provide reasonable assurance that management of the investment function meets our objectives. These internal controls shall be reviewed annually by the independent auditor.

Section 6: Reporting

The EAC shall be responsible for reporting the status of investments to the RC on a monthly basis. Reports are to be submitted by the Chief Financial Officer to the EAC and/or the Investment Subcommittee following the end of each reporting period. These reports shall show the type of investment, institution, interest rate, date of maturity, compliance to the investment policy, a verification of adequacy of working capital to meet our operating needs and market value for all investments.

Section 7: Prudence

The standard of prudence to be used by investment officials shall be the “prudent person” rule and shall be applied in the context of managing all aspects of the overall portfolio. Investments shall be made with care, skill, prudence, and diligence under the circumstances then prevailing, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs.

Section 8: Authorized Investments

(A) Surplus Funds

Funds may be invested in any instrument allowable by the State of California Government Code Section 53600 et seq. so long as the investment is appropriate when SCAG’s investment objectives and policies are taken into consideration. Within the context of the limitations, the following are authorized:

- US Treasury Obligations (Bills, Notes and Bonds)
- US Government Agency Securities and Instrumentality’s of Government Sponsored Corporations
- Banker’s Acceptances
- Commercial Paper
- Repurchase Agreements
- Certificates of Deposit
- Negotiable Certificates of Deposit
- Passbook Savings Accounts
- Interest Bearing Checking Accounts
- Intermediate Term Corporate Notes

- Bank Money Market Accounts
- Local Agency Investment Fund (State Pool)
- Los Angeles County Investment Fund (County Pool)
- Shares of Beneficial Interest issued by a Joint Powers Authority organized pursuant to California Government Code Section 6509.7 that invests in the securities and obligations authorized in Section 53601 (a) through (n).
- Other investments that are, or may become, legal investments through the State of California Government Code.

B. Other Post-Employment Benefits Funding

All funding approved for this purpose shall be invested in the irrevocable trust for post-employment benefits administered by the California Public Employees Retirement System (CalPERS), also known as the California Employer's Retiree Benefit Trust (CERBT).

C. Supplemental Defined Benefit Pension Plan Funding

All funding approved for this purpose shall be invested in an annuity selected according to criteria prescribed by SCAG procurement policies and SCAG's financial and operational needs, or funded on a pay-as-you-go basis.

Section 9: Prohibited Investments

SCAG shall not invest any funds in inverse floaters, range notes, or interest-only strips that are derived from a pool of mortgages. SCAG shall not invest any funds in any security that could result in a zero interest accrual if held to maturity.

Section 10: Investment Criteria

Criteria for selecting investments and order of priority are:

A. SAFETY

The safety and risk associated with an investment refers to the potential loss of principal, interest or a combination of these amounts. SCAG shall only invest in those financial instruments whose safety and quality comply with State law and SCAG's risk tolerance.

B. LIQUIDITY

This refers to the ability to convert an investment into cash at any moment in time with a minimal chance of losing some portion of principal or interest. Since liquidity is an important investment quality, especially when the need for immediate access to funds may occur unexpectedly, potential fluctuations in market value are to be an important consideration when selecting an investment. SCAG's portfolio shall provide for adequate liquidity as indicated by SCAG's cash projections.

C. YIELD

Yield is the potential dollar earnings an investment can provide and sometimes is described as the rate of return. SCAG shall attempt to maximize return consistent with criteria A and B above.

Section 11: Diversification

SCAG will diversify use of investment instruments to avoid incurring unreasonable risks inherent in over investing in specific instruments, individual financial institutions or maturities. Diversification strategies shall be established within the guidelines of Government Code Section 53600 et seq., and periodically reviewed.

Section 12: Investment Pools

SCAG has determined that use of investment pools is a practical investment option. SCAG will utilize guidelines established by the California Municipal Treasurers Association and California Society of Municipal Finance Officers to ensure the safety of investment pools.

Section 13: Maturity Limitations

Every investment instrument purchased must have a term remaining to maturity of five years or less, unless RC approval was obtained three months in advance.

Section 14: Safeguarding of Assets and Records

Securities purchased from broker/dealers shall be held in third-party safekeeping in SCAG's name and control, whenever possible. Monthly statements received from the financial institution are reconciled to the investment reports by the Senior Accountant. Review of safety, liquidity, and yields of investment instruments; and reputation and financial condition of investment brokers is to be done by the EAC. The periodic review of the investment portfolio, including investment types, purchase price, market values, maturity dates, and investment yields as well as conformance to the stated investment policy will also be performed by the EAC.

Section 15: Qualified Institutions

If SCAG decides not to use investment pools, SCAG shall prepare and maintain a listing of financial institutions which are approved for investment purposes. In addition, a list will be maintained of approved broker/dealers selected by credit worthiness, who maintain an office in the State of California. All financial institutions and broker/dealers who desire to become bidders for investment transactions must supply the following: audited financial statements, proof of National Association of Security Dealers'

certification, trading resolution, proof of California registration, and certification of having read this Investment Policy. An annual review of the financial condition and registrations of qualified bidders will be conducted by the EAC.

Section 16: Monitoring and Adjusting the Portfolio

SCAG will monitor the contents of the portfolio, the available markets and the relative values of competing instruments and will adjust the portfolio accordingly based on our Investment Policy. Investment counselors may be engaged to assist in the performance of this work with the approval of the EAC.

Section 17: Modification and Legislative Changes

The EAC shall be responsible for modifying investment guidelines as conditions warrant and submit same for re-approval by the RC on an annual basis. This annual approval may be on the consent agenda unless there are amendments to this Policy. Any State of California legislative action, that further restricts allowable maturities, investment type or percentage allocations, will be incorporated into SCAG's Statement of Investment Policy and supersede any and all previous applicable language.

Section 18: Segregation of Responsibilities

A. FUNCTION	B. RESPONSIBILITY
Develop Statement of Investment Policy	Chief Financial Officer Manager of Accounting
Recommend modifications to Statement of Investment Policy	Chief Financial Officer Legal Counsel Manager of Accounting Investment Subcommittee
Approve Statement of Investment Policy and appointment of Oversight Committee	Executive/Administration Committee
Adopt Statement of Investment Policy	Regional Council
Select Investments	Chief Financial Officer Manager of Accounting Outside Investment Manager
Approve transactions	Chief Financial Officer or Manager of Accounting
Execute investment transactions and fax	Outside Investment Manager

completed trade information to SCAG	
Investment verification (match broker confirmation to trade information provided by outside Investment Manager to SCAG investment records)	Lead Accountant
Record investment transactions into SCAG's accounting records	Lead Accountant – General Ledger
Reconcile investment records to accounting records and bank statements	Lead Accountant – General Ledger
Security	Time Certificates of Deposit will be maintained in SCAG's safe in the care of the Chief Financial Officer. All other investment securities will be held in safekeeping in the trust department of SCAG's Depository bank, or other third party custodian as designated by the Chief Financial Officer.

Section 19: Executive/Administration Committee and Investment Subcommittee

The EAC is empowered to review and make recommendations on the Investment Policy and Investment Strategy of SCAG to strengthen the internal controls of the management of funds. The EAC may, in its discretion, establish an Investment Subcommittee to assist the EAC to achieve the goals and objectives of this Investment Policy.

19.1 Purpose of the Investment Subcommittee

- A. To review and make recommendations about this Investment Policy and Investment Strategy.
- B. To review investments on a periodic basis and to report any exceptions to this Investment Policy immediately to the RC.
- C. To be responsive to EAC requests.

19.2 Membership

The total membership shall consist of five (5) members: 1) EAC Chair and 2 Members (selected by the EAC members), 2) Chief Financial Officer, and 3) Manager of Accounting.

19.3 Functions and Duties

A. Annually

To review and approve the Statement of Investment Policy; to review the financial condition of broker/dealers and financial institutions.

B. Quarterly

To review investments made during the previous quarterly period; to provide a status report to the EAC.

C. Monthly

To develop and carry out the ongoing investment strategy in accordance with the Investment Policy; to recommend amendments to the Statement of Investment Policy.

D. The function of the EAC and the Investment Subcommittee is to provide policy guidance that gives the operating staff standards and guidelines to work within on a day-to-day basis. By this, it is meant that each individual trade need not be approved by this Committee at the time it is transacted, provided that it falls within the scope of the Statement of Investment Strategy.

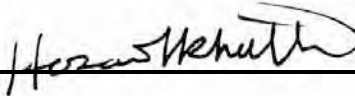
DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, panas@scag.ca.gov , 213-236-1817

SUBJECT: Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION FOR EAC:

Recommend that the Regional Council adopt Resolution No.14-564-2, certifying SCAG's Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program.

RECOMMENDED ACTION FOR RC:

Adopt Resolution No. 14-564-2, certifying SCAG's Final Report related to the California Strategic Growth Council's 2011 Sustainable Communities Planning Grant and Incentive Program

EXECUTIVE SUMMARY:

In 2011, SCAG was awarded a \$1 million grant ("Grant") from the California Strategic Growth Council's Sustainable Communities Planning Grant and Incentive Program which was funded by Proposition 84 (the California Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond of 2006 ("Proposition 84 Grant Program"). The Strategic Growth Council (SGC) was delegated responsibility for the administration of Proposition 84 Grant Program. Funding was directed towards programs that promote the integration of planning disciplines (such as transportation, land use and environmental planning) as well as direct collaboration with local governments in the SCAG region for the purposes of implementing SB 375.

SCAG entered into a grant agreement ("Grant Agreement") with the California Department of Conservation, Division of Land Resource Protection ("Department"), related to the subject Proposition 84 Grant Program. The Grant Agreement expired on September 30, 2014, and as part of its obligations under the Grant Agreement, SCAG is to submit a final plan report ("Final Report"). The Final Report must be reviewed and certified as accurate before its submission to the Department and Strategic Growth Council, and must include all work products generated by the grant funds.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies, Objective (a): Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

BACKGROUND:

In 2010, SCAG applied for funding under the Proposition 84 Grant Program administered by the SGC. SGC was authorized by the Legislature to appropriate funds for planning grants and incentives that reduce

REPORT

energy consumption, conserve water, improve air and water quality, and provide other community benefits. In 2011, SCAG was selected out of a large applicant pool and was awarded a \$1 million Grant to complete projects that aided in the implementation of SB 375.

In accordance with the work plan of the Grant Agreement, the following projects (divided into four tasks) were pursued by SCAG and supported by the Proposition 84 grant funds:

- Task 1: Preparation of a Regional Economic Development Strategy
 - This task funded the development of SCAG’s Economic Recovery & Job Creation Strategy along with the Climate and Economic Development Project
- Task 2: Development of Planning Tools and Visualization Techniques
 - The Scenario Planning Model, which will help in the generation of land use scenarios for the 2016 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS), was supported, in part, by this Grant
- Task 3: Jurisdiction and Project Level Sustainable Communities Planning
 - The planning stages and documentation for three (3) local demonstration projects that emphasize Transit Oriented Development (TOD) were completed as a result of support from the Grant
- Task 4: Outreach for Sustainable Communities Strategy (SCS) Development
 - This task involved the outreach activities associated with the development and implementation of the 2012-2035 RTP/SCS

In order to complete this round of funding and discharge its obligations under the Grant Agreement, SCAG generated a Final Report detailing the accomplishments for each task, which is included in this report as “Exhibit A” attached to Resolution No. 14-564-2. Staff recommends that the Regional Council review the Resolution and Final Report and certify its accuracy. It should be noted that because of its length, only the Final Report is attached with Resolution No. 14-564-2 and does not include all work products generated by the subject grant funds. The work products will be submitted to the Department as part of the Final Report. These work products are available for review by the Regional Council at the following ftp site: [ftp://scag-data:\\$cag424@data.scag.ca.gov/SGC/](ftp://scag-data:$cag424@data.scag.ca.gov/SGC/). If prompted for a username and password, please see the information below:

Username: scag-data

Password: \$cag424

FISCAL IMPACT:

SCAG received a total of \$1 million in SGC Sustainable Communities Planning Grant and Incentive Program funds and provided \$850,375 as an in-kind match throughout the three (3) duration of the program. For Fiscal Year 2015, the remaining balance of the grant funds, \$163,662 is included in SCAG’s Overall Work Program under Project Number 220.SCG.01865.

ATTACHMENT:

Resolution No. 14-564-2, and its accompanying “Exhibit A,” SCAG’s Final Report related to the California Strategic Growth Council’s 2011 Sustainable Communities Planning Grant and Incentive Program.

RESOLUTION NO. 14-564-2

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS (SCAG) CERTIFYING
SCAG'S FINAL REPORT RELATED TO THE SUSTAINABLE
COMMUNITIES PLANNING GRANT AND INCENTIVES PROGRAM
UNDER THE SAFE DRINKING WATER, WATER QUALITY
AND SUPPLY, FLOOD CONTROL, RIVER AND COASTAL
PROTECTION BOND ACT OF 2006 (PROPOSITION 84)
AWARDED TO SCAG IN 2011 TO SUPPORT VARIOUS
REGIONAL PLANNING ACTIVITIES**

WHEREAS, the Legislature and Governor of the State of California established the Sustainable Communities Planning Grant and Incentives Program funded by Proposition 84 (the California Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond of 2006), hereinafter referred to as the "Proposition 84 grant program;"

WHEREAS, the Strategic Growth Council has been delegated the responsibility for the administration of the Proposition 84 grant program;

WHEREAS, the Southern California Association of Governments ("SCAG"), which is the Metropolitan Planning Organization ("MPO"), pursuant to 23 U.S.C. 134 et seq. and 49 U.S.C. 5303 et seq. for the six counties of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial, submitted an application for the Proposition 84 grant program in 2010;

WHEREAS, in 2011, SCAG was awarded a \$1 million grant from the Proposition 84 grant program and entered into to a certain grant agreement with the California Department of Conservation, Division of Land Resource Protection ("Department"), related to Grant Number 3010-541 ("Grant Agreement");

WHEREAS, the Grant Agreement expired on September 30, 2014, and under specific terms of the Grant Agreement, SCAG's grant obligations are discharged only upon acceptance of a final plan report ("Final Report") by the Department. The Final Report is required to be submitted to the Department by November 30, 2014, must attach and incorporate all work-products generated by the grant funds and must be certified by SCAG's governing board prior to submission to the Department.

NOW, THEREFORE, BE IT RESOLVED that SCAG's Regional Council hereby approves and certifies as accurate the Final Report, attached to this Resolution as "Exhibit A," related to the applicable Proposition 84 grant/Grant Number 3010-541.

BE IT FURTHER RESOLVED that the Regional Council:

1. That SCAG's Executive Director or his designee is hereby authorized by the Regional Council to submit this Resolution and the Final Report to the California Department of Conservation, Division of Land Resource Protection, along with all related documents, including but not limited, the work products generated from the subject Proposition 84 grant funds by the November 30, 2014 deadline.
2. That SCAG's Executive Director or his designee is also authorized to provide a copy of this Resolution and Final Report to appropriate representatives of the Strategic Growth Council.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at a regular meeting this 4th day of November, 2014.

Hon. Carl E. Morehouse
President, SCAG
Councilmember, City of San Buenaventura

Attested by:

Hasan Ikhata
Executive Director

Approved as to Form:

Joann Africa
Chief Counsel

California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2011 - 2014

Department of Conservation/Division of Land Resource Protection

Grantee: Southern California Association of Governments **Grant No.** 3010-541

Project Title: Sustainable Communities Planning Grant and Incentives Program

The Southern California Association of Governments (SCAG) is the Metropolitan Planning Organization (MPO) serving the Southern California counties of Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura. Together, these counties include 191 cities, cover more than 38,000 square miles, and home to over 18 million people. Under state law, SCAG is the designated Regional Transportation Planning Agency and is legally organized as a Joint Powers Authority.

SCAG has used the funds from Sustainable Communities Planning Grant and Incentives Program to address some of the challenges of adopting and implementing strategies consistent with SB 375, which requires the development of a Sustainable Communities Strategy within the context of the three E's of sustainable communities: Environment, Economy, and Equity. This strategy is designed to help attain greenhouse gas emissions reduction targets established by the California Air Resources Board (ARB) for 2020 and 2035. SCAG proposes the tasks in the project as a multi-faceted approach to addressing the challenges of implementing SB 375.

Since the beginning work on these objectives outlined in SCAG's Sustainable Communities Planning Grant and Incentives Program Work Plan, important analyses aiding decision-making have been accomplished. Four scenarios, completed under Task 1.3, envisioned the future of the SCAG region and were designed to explore the impacts of where and to what extent growth will shape the region over the next 25 years. These scenarios were precursors to the 2012 RTP/SCS alternatives and facilitated public dialogue and feedback, allowing SCAG to develop more detailed and refined Plan alternatives through public participation. Elected officials also depended upon these scenarios to imagine the future and to consider the impacts their decisions will have on the region as whole, as well as the potential consequences of adopting the 2012 RTP/SCS. An economic impact analysis and greenhouse gas emissions analysis contributed to these beginning stages in achieving project objectives for the 2012 RTP/SCS. Two demonstration projects have also been completed, achieving project objectives by implementing strategies included in the 2012 RTP/SCS in two cities within the SCAG region.

Task #1. Prepare Regional Economic Development Strategy

Task 1.1: Conduct broad based bottom-up review of the Southern California Regional Economic Development Strategy (SCREDS) recommendations through outreach to the subregional Councils of Governments (COGs), regional economic organizations, counties, labor groups, environmental organizations, and other key business stakeholders

At the May 6, 2010 SCAG General Assembly, information was provided by economic advisors about the severe economic challenges facing the cities, counties, and residents of Southern California. They noted that over 1 million jobs had been lost from peak employment. Their remarks included a dim prospect for significant regional economic growth before 2016 unless there was a concerted leadership effort to reduce impediments for creating jobs and stimulating economic investments through incentives.

At the subsequent Regional Council May 7th Board retreat, there was concurrence that SCAG should identify areas where the agency could be helpful by partnering with cities, counties, economic associations, and others to reduce impediments to regional economic growth and develop a better understanding of the common regional economic objectives established by the cities and counties in the SCAG region, as well as Kern and San Diego due to the interconnectivity of Southern California's economy. Developing a regional growth strategy was suggested to be done in the context of the continuing recession that has impacted both the nation and Southern California. Economists agree that the recovery will be slow and challenging, and that some industries have been permanently changed. Worse, other states and regions are conducting sophisticated business recruitment efforts to extract businesses out of Southern California. As a metropolitan planning organization with a focus on transportation, understanding the economic drivers and trends of Southern California are important and intrinsically linked to developing a successful Regional Transportation Plan as all of the major industries of Southern California depend on an efficient transportation system.

Developing the Southern California Economic Recovery & Job Creation Strategy (formerly known as Regional Economic Development Strategy - SCREDS) was divided into phases:

- The first phase focused on retaining economic advisors familiar with each of the counties; collecting economic data and existing economic development plans at the county and city level, preparing a proposed regional short-term action plan directed to the next State legislative session, and asking the 190 cities and counties to adopt support resolutions for "Business Friendly Principles"
- The second phase was to hold a Summit with leaders to discuss economic findings and what could be done in partnership with state leaders in the next legislative session on a bipartisan basis, work with authors to develop legislation providing job incentives, and opposing legislation that would eliminate jobs
- Concurrently to the above activities, SCAG worked with economic advisors, business leaders, and local officials to prepare a short-term and long-term regional economic recovery and job growth strategy for review at the May 5th, 2011 SCAG General Assembly
- SCAG subsequently sought input from cities, counties, business leaders, and other key stakeholders on the draft plan
- SCAG brought to the Regional Council findings and recommendations in an Action Plan which identified areas where SCAG can partner with member cities, counties, business leaders, and other key stakeholders to reduce or remove high priority economic challenges to Southern California's economic recovery

The foundation of this economic growth strategy was the work prepared by the economic advisors engaged by SCAG. The economists from throughout the region gathered economic data and provided analysis specific to each county in the SCAG region. In addition to their analysis, the economists met with over 300 key business leaders from throughout Southern California to better understand the economic realities of the region.

California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2011 - 2014

Task 1.2: Coordinate detailed and inclusive vetting and review of potential emissions reduction strategies associated with CEDP to determine which options would lead to the best outcomes for economic growth and job creation

SCAG engaged a diverse and high-level group of stakeholders representing government entities, environmental interests, key industries, and other groups through its Climate and Economic Development Project (CEDP). The purpose of the CEDP was to identify regional and local strategies and policies to reduce greenhouse gas (GHG) emissions and yield positive economic impacts for Southern California. The final report for this project summarizes the potential microeconomic and macroeconomic impacts associated with the policies identified as priorities for analysis by the Transportation System and Investments (TSI); Transportation and Land Use (TLU); and Energy, Commerce, and Resources (ECR) Technical Work Groups (TWGs) of the CEDP.

The stakeholders identified a total of 20 TSI and TLU policies for analysis. Data were available for 18 of the 20 policies to support a microeconomic and macroeconomic analysis of the potential impacts of the policies. The microeconomic results indicate that together the 18 policies have the potential over the 2013-2035 time period to:

- Reduce GHG emissions by nearly 40 million metric tons on a carbon dioxide equivalent basis (MMtCO₂e);
- Reduce vehicle miles traveled (VMT) by about 109 billion;
- Result in a fuel savings of about 3.6 billion gallons; and
- Provide a net savings to the businesses and households in the SCAG region of approximately \$20 billion.

The macroeconomic results indicate that together the 18 TSI and TLU policies have the potential over the 2013-2035 time period to provide:

- A net gain of over 300,000 additional jobs;
- A net increase in the region's gross domestic product (GDP) of over \$22 billion;
- A net increase of region-wide output of over \$31 billion; and
- A net increase in disposable personal income of over \$14 billion in net present value (NPV).

The stakeholders identified a total of 17 ECR policies for analysis. Among the 17 recommended options, 10 were analyzed quantitatively. The microeconomic results indicate that together the 10 ECR policies have the potential, over the 2013-2035 time period, to reduce GHG emissions by nearly 853 MMtCO₂e and provide a net savings to the businesses and households in the SCAG region of approximately \$3 billion. The macroeconomic results indicate that together the 10 ECR policies have the potential over the 2013-2035 time period to provide:

- A net gain of over 61,100 jobs by 2035, or an increase of about 0.49% over the baseline level;
- An average gain of 20,781 additional jobs per year over the entire planning period;
- A net increase in disposable personal incomes of about \$10.5 billion in NPV;
- A net decrease in GDP of \$1.16 billion in 2035, or a decrease of about -0.06% over the baseline level; and
- A net decrease in GDP of \$17.8 billion in NPV over the entire planning period.

Task 1.3: Develop a plan of action, including impediments to growth, suggested projects to implement, objectives and goals set forth in the strategy and performance measures that will be used to evaluate whether and to what extent goals and objectives have been or are being met

With input from member cities and counties, public and private sector leaders, labor leaders, SCAG's team of independent economic advisors consolidated the information from individual county reports to prepare the Southern California Economic Recovery & Job Creation Strategy, which included a common set of regional priorities that help businesses, public agencies, and communities improve their economic viability with immediate and long-term recommendations

The Southern California Economic Recovery & Job Creation Strategy concentrates on expanding the region's economic base to increase the flow of funds driving the area's economy. It is based upon the region's job creation needs given its unique competitive advantages and demographics. Several sectors emerge as crucial: international trade and logistics, film and related sectors, high tech and traditional manufacturing, construction, health care, and leisure industries. The aim is to create conditions encouraging job creation in these sectors in the immediate, short and longer terms as well as preserving the businesses in the region. Ultimately, the approach is to allow each city, county and sub-regional entity the flexibility to deal with those aspects of the strategy with which they are comfortable, while providing mechanisms allowing jurisdictions to cooperate for the good of Southern California. Fundamental to the strategy is the belief that stronger economic growth will help every community.

The Southern California Economic Recovery and Job Growth Strategy was not intended to duplicate, compete, or negatively impact local and county economic objectives, but rather to determine a common set of priorities for the region that helps businesses, public agencies, and communities improve their economic viability.

Objectives

- Identify Southern California advantages for attracting economic development
- Preserve current key economic industries and encourage their continued economic viability
- Determine key industry and business sectors that present expanded investment potential
- Create incentives for more and new lasting businesses that create jobs (including growing region's innovation growth potential)
- Identify strategies for encouraging economically stronger communities within the region
- Identify strategies for encouraging small businesses to flourish
- Ensure incentives for skilled employees that can support businesses such as ensuring availability of education/training along with dissemination of such resources
- Identify strategies to connect people to good jobs

California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2011 - 2014

Task #2. Planning Tools and Visualization Techniques

Task 2.1: Development of a Scenario Planning Model (SPM)

SCAG coordinated with the Sacramento Area Council of Governments (SACOG) and San Diego Association of Governments (SANDAG) to customize the “UrbanFootprint” modeling platform that would meet SCAG’s functional needs with advanced analytical capabilities for compliance with SB375.

In consultation with a Scenario Planning Model working group comprising of representatives from all counties and suregions in the SCAG region, the UrbanFootprint platform was scaled to provide more jurisdictions with access to local/regional data review functions. This included the following:

- setup of local work flows for base and future year data review/commenting processes
- compilation and integration of full local/regional datasets into the UrbanFootprint system
- customizations and advancements to UrbanFootprint’s web-based graphical user interface (GUI), focusing on usability, stability, cross-platform flexibility, and performance
- setup of multi-user login and role-based permissions system

A series of pilot tests were conducted with the SPM working group to test how local and regional data could be served to local jurisdictions via the model’s web-based user interface, and to test initial functions primarily on data review and editing. Refinements were made based on pilot test comments.

On the setup of regional planning development and analysis functionality for use by SCAG staff in the development of the Draft 2016-2040 RTP/SCS, significant code updates and features developed under separate contract with SACOG and SANDAG and synched with SCAG regional data. In addition, the following tasks were performed focusing on advancing and testing regional scenario development:

- review and refine base canvas datasets for scenario development
- integration of basic analytical engines into the user interface
- enhancement of user interface for visualization of scenarios and analysis results

Task 2.2: Compile and update planning database and load to the modeling system

Building upon an initial data review and commenting capabilities, full regional datasets were compiled and normalized for integration into the Scenario Planning Model system. The datasets include:

- Local base year and General Plan land use at the parcel level
- Administrative district such as County, Subregion, Sphere of Influence, and City boundary
- Planning and analysis units such as Tier 2 Transportation Analysis Zone (TAZ) and Scenario Planning Zone (SPZs)
- Resource areas and farmland including endangered species and plants, flood areas, natural habitats, and open space/parks
- High quality transit areas and transit priority areas

The datasets were displayed to local jurisdictions in a consistent manner across the region.

Task 2.3: Provide oversight and direction for tool development and coordinate dissemination and tool usage with members

Throughout the process of model development, SCAG worked closely with the Scenario Planning Model working group to understand current/established base year and scenario development workflow. A bi-monthly SPM working group meeting was used as a venue to share the progress and status of SPM development, including the demonstration and training of model functions and operations.

Three rounds of pilot testing were conducted as part of staged roll-out of SCAG SPM, starting with the SPM working group members. During the periods of pilot testing, issue tracking system with technical supports were offered.

Task #3. Jurisdiction and Project Level Sustainable Communities Planning

Task 3.1: Development of Two Demonstration Projects

Project #1 Oxnard Downtown East TOD Feasibility Study: This project laid the foundation to transform the area south of the Oxnard Transit Center into a Transit Oriented Development community through private market initiative with support from the City of Oxnard.

Goals

- Develop benchmark data for feasibility analysis
- Reduce GHG emissions, and local pollution from freight traffic
- Economic development of local agriculture industry
- Public/Private funding opportunities
- Recommendations for future study, and policy direction
- Support voter-adopted urban growth boundary

This study, in the Downtown East Transit Oriented Development (DETOD) area, examined the feasibility of redeveloping 150 acres of residential, commercial, and agricultural processing land immediately south of the Oxnard Transit Center. The City's Draft 2030 General Plan and voter-approved City Urban Restriction Boundary (CURB) demonstrated a commitment by residents of Oxnard to land use policies that preserve agricultural land. The Downtown East TOD study will allow the city to accommodate projected growth and affordable housing needs closer to the city center.

Results

- Devised a revitalization program that stimulates redevelopment of under-utilized sites, transitions marginal land uses, adds infill multifamily housing, mixed-use residential over retail, spot infill retail, and expanded industrial uses within the current auto repair district.
- Public infrastructure required to serve the renewal area is estimated to cost between \$2 million and \$3.9 million, depending on the land assembly scenario.
- Estimated property and sales tax benefits from the proposed program are significant and may be used to support development or offset infrastructure capital costs.

California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2011 - 2014

Project #2 Parking Supply and Utilization Case Studies in LA City's Transit-Oriented Districts

This Sustainability Planning Grant created an inventory of public and private parking spaces within transit-oriented districts in the City of Los Angeles and analyzes their utilization.

Goals

- Survey of best practices
- Inventory of existing standards and supply
- Analysis of parking demand generation
- Recommendations for future study, and policy direction

The City's 1993 Land Use and Transportation Policy (LUTP) called for the adoption of parking requirements appropriate to transit-oriented districts including the establishment of minimum and maximum on-site parking ratios for new development adjacent to the transit stations. The LUTP calls for a concentration of mixed commercial/residential uses, neighborhood-oriented retail, employment opportunities, and civic and quasi-public uses around transit stations. However, despite the innovative policies embraced by the LUTP, parking standards in the areas adjacent to the city's high-density stations remain the same today as those of low-density neighborhoods. The study evaluated the existing parking capacity and demand in public and private developments within an eighth of a mile around the chosen stations. The results of this evaluation will guide the City in choosing a policy course for parking requirements in developments at and near the city's 74 existing and 15 future transit stations.

Project #3 San Bernardino County Metrolink Corridor Demonstration Project

The San Bernardino County Metrolink Corridor Demonstration Project leveraged local planning funding with regional best practices developed in other sites. Corridor level TOD analysis has been used on the LA METRO Orange Line Bus Rapid Transit, the Expo Line Light Rail Transit, the Gold Line Light Rail Transit extension, and the Orange County Metrolink Corridor. In the San Bernardino Corridor Study Area, the project analyzed different station TOD opportunities based on more nuanced analysis and recent data gathered in the SCAG region. The study focused on first-last-mile transit, walk, bike and commute sheds.

The existing conditions report of the San Bernardino Line corridor and its six station areas was completed. During the second week of September, an Urban Land Institute Panel was convened to study the corridor and its station areas, stakeholders were interviewed, and a presentation made with recommendations to conclude the panel. In addition, a draft market and economic analysis was completed for the corridor.

Task 3.2: Implementation of Model Ordinances

This Sustainability Planning Project (Tasks 3.2 and 3.4) began as a study to provide the City of Los Angeles Department of Transportation (LADOT) with testing methodology for the multi-modal level-of-service analysis systems and software available at the time (2010). As noted below, the analysis was leading in the direction of a new structure for holistic transportation impact review instead of simply tinkering with the existing methodology. The additional Strategic Growth Council funding provided in

2011 also provided for a “Checklist for Developers.” The portion of the task dealt with refining recommendations to the City of Los Angeles, and creating a template that other cities could use to implement new multi-modal transportation impacts. The working group included senior members of the private sector transportation planning and engineering firms. These stakeholders were able to provide the developers’ perspectives. The work products described the steps a developer would follow to analyze transportation impacts in various urban contexts. The report begins to sketch out the inputs and technical analysis required for various stages of the development process. Municipalities around the state will be struggling with these implementation questions throughout the state. The effort provided a solid foundation for that process in the SCAG region.

Task 3.3: TPP/SCP Guidance

Four scenarios, completed under Task 3.3, envisioned the future of the SCAG region and were designed to explore the impacts of where and to what extent growth will shape the region over the next 25 years. These scenarios were precursors to the 2012 RTP/SCS alternatives and facilitated public dialogue and feedback, allowing SCAG to develop more detailed and refined Plan alternatives through public participation. Elected officials also depended upon these scenarios to imagine the future and to consider the impacts their decisions will have on the region as whole, as well as the potential consequences of adopting the 2012 RTP/SCS.

Task 3.4: Preparation of Developer Checklist

Work associated with this task is addressed in the description for Task 3.2.

Task #4. Outreach for Sustainable Communities Strategy (SCS) Development

Task 4.1: Stakeholder Identification and Meetings

The awareness and involvement of interested parties in governmental processes are critical to a successful regional transportation planning and programming. When the public is engaged, this feedback helps projects and plans address community needs. Likewise, the public gains a better understanding of the constraints associated with transportation planning. To ensure compliance with federal and state requirements, the Southern California Association of Governments (SCAG) implemented a public involvement process to provide information, timely public notice and full public access to key decisions and to support early and continuing public involvement in developing the 2012–2035 Regional Transportation Plan and Sustainable Communities Strategy (RTP/SCS).

SCAG staff consulted a wide range of interested parties to fulfill federal and state requirements in developing public participation strategies, procedures and techniques for the 2012-2035 RTP/SCS. SCAG made significant efforts to reach out, encourage feedback, and involve interested parties in the development of the Plan’s strategies and procedures, and will continue these efforts in future updates to the Plan. Moreover, SCAG solicited comments and feedback from the county transportation commissions, the subregions, transit operators, federal and state resource agencies, Tribal Governments, representatives of the disabled, the business community, pedestrian walkways and bicycle transportation facilities, environmental groups, and other parties through mass mailings, email correspondence, workshops, presentations, meetings, telephone communications and website postings while encouraging individuals to get involved with developing the Public Participation Plan.

Task 4.2: Evaluate outcomes from Tasks #1, #2, and #3 in the context of outreach activities

Tasks #1, #2, #3 informed the development of the 2012-2035 RTP/SCS and will be instrumental in the creation of the 2016 Plan. Contributions include determining potential economic impact of various sustainability measures, defining planned land use and transportation scenarios moving into the future, and aiding in the performance assessment of SCAG's current RTP/SCS. One commonality amongst Tasks #1, #2, and #3 is the practice of outreach with regional stakeholders early in the process to assist the development of SCAG's SGC funded projects. As a Metropolitan Planning Organization (MPO), SCAG strives to implement a bottom-up approach in the formation of long range plans, and recognize that a "local control – regional collaboration" approach is instrumental for the region to achieve the goals outlined in SB 375.

Deliverables

Work products associated with these efforts are available for download on SCAG's FTP site at:

<ftp://data.scag.ca.gov/SGC/>

Username: scag-data

Password: \$cag424

Disclaimer

The work upon which this publication is based was funded in whole or in part through a grant awarded by the Strategic Growth Council. The statements and conclusion of this report are those of SCAG and/or subcontractor and not necessarily those of the Strategic Growth Council or of the Department of Conservation, or its employees. The Strategic Growth Council and the Department make no warranties, express or implied, and assume no liability for the information contained in the succeeding text.

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NO. 563
SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS
REGIONAL COUNCIL
MINUTES OF THE MEETING
THURSDAY, OCTOBER 2, 2014

THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE REGIONAL COUNCIL. A VIDEO RECORDING OF THE ACTUAL MEETING IS AVAILABLE ON THE SCAG WEBSITE AT: www.scag.ca.gov/scagtv/index.htm

The Regional Council (RC) of the Southern California Association of Governments (SCAG) held its meeting at the SCAG Los Angeles office. There was a quorum.

Members Present

Hon. Carl Morehouse, President	<i>San Buenaventura</i>	District 47
Hon. Cheryl Viegas-Walker, 1 st Vice President	<i>El Centro</i>	District 1
Hon. Michele Martinez, 2 nd Vice President	<i>Santa Ana</i>	District 16
Hon. Greg Pettis, Immediate Past President	<i>Cathedral City</i>	District 2
Hon. Jack Terrazas		Imperial County
Hon. Shawn Nelson		Orange County
Hon. Gary Ovitt		San Bernardino County
Hon. Linda Parks		Ventura County
Hon. Alan Wapner	<i>Ontario</i>	SANBAG
Hon. Keith Millhouse	<i>Moorpark</i>	VCTC
Hon. Jim Hyatt	<i>Calimesa</i>	District 3
Hon. Micheal Goodland	<i>Eastvale</i>	District 4
Hon. Frank Navarro	<i>Colton</i>	District 6
Hon. Larry McCallon	<i>Highland</i>	District 7
Hon. Paul Eaton	<i>Montclair</i>	District 9
Hon. Bill Jahn	<i>Big Bear Lake</i>	District 11
Hon. Mike Munzing	<i>Aliso Viejo</i>	District 12
Hon. Kathryn McCullough	<i>Lake Forest</i>	District 13
Hon. Leslie Daigle	<i>Newport Beach</i>	District 15
Hon. Leroy Mills	<i>Cypress</i>	District 18
Hon. Kris Murray	<i>Anaheim</i>	District 19
Hon. Art Brown	<i>Buena Park</i>	District 21
Hon. Brett Murdock	<i>Brea</i>	District 22
Hon. Bruce Barrows	<i>Cerritos</i>	District 23
Hon. Gene Daniels	<i>Paramount</i>	District 24
Hon. Ali Saleh	<i>Bell</i>	District 27
Hon. Dan Medina	<i>Gardena</i>	District 28
Hon. Margaret Clark	<i>Rosemead</i>	District 32
Hon. Gene Murabito	<i>Glendora</i>	District 33
Hon. Barbara Messina	<i>Alhambra</i>	District 34
Hon. Margaret E. Finlay	<i>Duarte</i>	District 35

Members Present – continued

Hon. John Curtis	<i>La Cañada/Flintridge</i>	District 36
Hon. Sam Pedroza	<i>Claremont</i>	District 38
Hon. James Gazeley	<i>Lomita</i>	District 39
Hon. Judy Mitchell	<i>Rolling Hills Estates</i>	District 40
Hon. Pam O'Connor	<i>Santa Monica</i>	District 41
Hon. Steven Hofbauer	<i>Palmdale</i>	District 43
Hon. John Sibert	<i>Malibu</i>	District 44
Hon. Karen Spiegel	<i>Corona</i>	District 63
Hon. Michael Wilson	<i>Indio</i>	District 66
Hon. Dante Acosta	<i>Santa Clarita</i>	District 67
Hon. Julio Rodriguez	<i>Perris</i>	District 69
Hon. Andrew Masiel, Sr.	<i>Pechanga Band of Luiseño Indians</i>	Tribal Government Rep.
Mr. Randall Lewis	<i>Lewis Group of Companies</i>	Business Representative

Members Not Present

Hon. Michael D. Antonovich		Los Angeles County
Hon. Mark Ridley-Thomas		Los Angeles County
Hon. Jeff Stone		Riverside County
Hon. Matthew Harper	<i>Huntington Beach</i>	OCTA
Hon. Adam Rush	<i>Eastvale</i>	RCTC
Hon. Ronald Roberts	<i>Temecula</i>	District 5
Hon. Deborah Robertson	<i>Rialto</i>	District 8
Hon. Ed Graham	<i>Chino Hills</i>	District 10
Hon. Steven Choi	<i>Irvine</i>	District 14
Hon. John Nielsen	<i>Tustin</i>	District 17
Hon. Tri Ta	<i>Westminster</i>	District 20
Hon. Mario Guerra	<i>Downey</i>	District 25
Hon. Rex Richardson	<i>Long Beach</i>	District 29
Hon. Carol Herrera	<i>Diamond Bar</i>	District 37
Hon. Jess Talamantes	<i>Burbank</i>	District 42
Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
Hon. Glen Becerra	<i>Simi Valley</i>	District 46
Hon. Gilbert Cedillo	<i>Los Angeles</i>	District 48
Hon. Paul Krekorian	<i>Los Angeles</i>	District 49
Hon. Bob Blumenfield	<i>Los Angeles</i>	District 50
Hon. Tom LaBonge	<i>Los Angeles</i>	District 51
Hon. Paul Koretz	<i>Los Angeles</i>	District 52
Hon. Nury Martinez	<i>Los Angeles</i>	District 53
Hon. Felipe Fuentes	<i>Los Angeles</i>	District 54
Hon. Bernard C. Parks	<i>Los Angeles</i>	District 55
Hon. Curren D. Price, Jr.	<i>Los Angeles</i>	District 56
Hon. Herb Wesson, Jr.	<i>Los Angeles</i>	District 57
Hon. Mike Bonin	<i>Los Angeles</i>	District 58
Hon. Mitchell Englander	<i>Los Angeles</i>	District 59
Hon. Mitch O'Farrell	<i>Los Angeles</i>	District 60

Members Not Present - continued

Hon. José Huizar	<i>Los Angeles</i>	District 61
Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
Hon. Jim Katapodis	<i>Huntington Beach</i>	District 64
Hon. Ryan McEachron	<i>Victorville</i>	District 65
Hon. Rusty Bailey	<i>Riverside</i>	District 68
Hon. Ross Chun	<i>Aliso Viejo</i>	TCA
Hon. Eric Garcetti	<i>Los Angeles</i>	(At-Large)

Staff Present

Hasan Ikhata, Executive Director
 Joe Silvey, General Counsel
 Joann Africa, Chief Counsel
 Debbie Dillon, Deputy Executive Director, Administration
 Basil Panas, Chief Financial Officer
 Catherine Kirschbaum, Chief Information Officer
 Rich Macias, Director, Transportation Planning
 Huasha Liu, Director, Land Use and Environmental Planning
 Darin Chidsey, Director, Strategy, Policy and Public Affairs
 Lillian Harris-Neal, Clerk of the Board
 Tess Rey-Chaput, Office of Regional Council Support

CALL TO ORDER AND PLEDGE OF ALLEGIANCE

President Carl Morehouse called the meeting to order at 12:16 p.m. and Supervisor Jack Terrazas, Imperial County, led the Pledge of Allegiance.

President Morehouse called for a moment of silence to remember City of Bell Gardens Mayor Daniel Crespo who recently passed away.

PUBLIC COMMENT PERIOD

There is no public comment received.

REVIEW AND PRIORITIZE AGENDA ITEMS

President Morehouse announced that staff requested Agenda Item No. 6 relating to, "Notice of Exemption for the Southern California Active Transportation Safety and Encouragement Campaign (Project)," be pulled and brought back for consideration at the November 6, 2014 Regional Council meeting.

President Morehouse also announced that Agenda Item No. 13 relating to, "Update on SCAG's Bottom-up Local Input Process for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS)," will be pulled from the Consent Calendar and included as an Information Item at the end of the Agenda.

EXECUTIVE DIRECTOR'S REPORT

Cap-and-Trade Funding: Update

Hasan Ikhata, Executive Director, reported that there is \$832 million available for this fiscal year's budget for projects that reduce greenhouse gases and will be directly available to cities, counties and transportation agencies. Mr. Ikhata stated that SCAG will closely monitor the development of these programs including the Affordable Housing and Sustainable Communities (AHSC) program. The AHSC allocated \$130 million through projects that implement land use, transportation and agricultural land preservation practices to support infill and compact development. SCAG, as a metropolitan planning organization, has the responsibility to coordinate with the Strategic Growth Council (SGC) on the selection of the projects. Mr. Ikhata announced that SCAG will be hosting a workshop on the draft guidelines on October 27, 2014 at the Los Angeles office with video-conferencing available at the satellite offices.

Poverty Summit: Follow-up

Mr. Ikhata reported that the Global Land Use and Economic (GLUE) Council met to discuss the follow-up items and possible solutions to develop a region-wide poverty action plan from the recently-held Poverty Summit to be presented at the upcoming 5th Annual Economic Summit on December 4, 2014.

As member of the GLUE Council, Randall Lewis, Lewis Group of Companies, reported that the GLUE Council and the Southern California Leadership Council (SCLC) are committed and focused in building progress especially on the poverty issue.

First Vice-President Cheryl Viegas-Walker echoed Mr. Lewis' comment and commended the GLUE Council's commitment to move these initiatives and best practices forward.

December 4, 2014 Economic Summit

Mr. Ikhata announced that in lieu of the Regional Council and Policy Committees meeting in December, SCAG will hold the 5th Annual Economic Summit scheduled for December 4, 2014 at the Westin Bonaventure Hotel in downtown Los Angeles.

PRESIDENT'S REPORT

First Vice-President Cheryl Viegas-Walker announced that the U.S. Department of Transportation (DOT) granted a waiver to retain the Essential Air Service (EAS) program in El Centro, Imperial County. She stated that SCAG, along with other agencies sent letters of support to U.S. DOT Secretary Anthony Foxx to reconsider the termination of the much-needed EAS program. First Vice-President Cheryl Viegas-Walker, along with Imperial County Supervisor Jack Terrazas and Imperial County Transportation Commission (ICTC) Executive Director Mark Baza, recognized the following SCAG staff for their immediate assistance on this matter and presented each of them with a token of appreciation: Hasan Ikhata, Rich Macias, Naresh Amatya, Ryan Hall and Tomás Oliva.

President Morehouse thanked Councilmember Kris Murray, Anaheim, District 19, as the American Planning Association (APA) state conference was recently held in Anaheim.

President Morehouse asked Joe Silvey, General Counsel, to report on the Executive Director's projected salary increase. Mr. Silvey reported that the Executive Director's employment agreement stipulates that the Executive Director is eligible for a merit salary increase during those years when SCAG staff is eligible for a merit increase. However, if no merit increase is to be provided to staff, then none is to be provided to the Executive Director. Mr. Silvey explained that SCAG staff would be receiving merit salary increases in the latter half of October. He then explained that a 9% increase was projected for the Executive Director based on the performance evaluation performed by the EAC and the merit increase matrix applicable to SCAG staff. In order to process the increase for the Executive Director, the authorization of the SCAG President is required. President Morehouse indicated that he would authorize the merit salary increase for the Executive Director, which will be applied to his paycheck starting in the second half of the month of October.

Electronic Voting System

President Morehouse reminded the members of SCAG's electronic voting system process that requires members to vote on the communicator keypad using a pre-coded identifying smartcard. He asked the members to insert the smartcards in the keypad when they are present in the meeting room; to remove the cards if they need to leave the meeting room; and to re-insert the cards when they return to the meeting. The electronically-recorded votes will indicate how each member voted, by selecting "1" for a "Yes" vote; "2" for a "No" vote and "3" for an "Abstention;" and the votes will be a part of the official record of the Regional Council minutes of the meeting.

New Members and Committee Appointments

President Morehouse congratulated and announced the following new members and committee appointments:

New Regional Council Members

Hon. Ross Chun, Aliso Viejo, TCA
Hon. Rex Richardson, Long Beach, District 29

Bylaws and Resolutions Committee

Chair: 2nd Vice President Michele Martinez, Santa Ana, District 16
Imperial County: Hon. Cheryl Viegas-Walker and Hon. Jack Terrazas
Los Angeles County: Hon. Mike Bonin and Hon. John Sibert
Orange County: Hon. Art Brown and Hon. Leroy Mills
Riverside County: Hon. Jeff Stone and Hon. Andrew Masiel, Sr.
San Bernardino County: Hon. Larry McCallon and Hon. Paul Eaton
Ventura County: Hon. Linda Parks and Hon. Keith Millhouse

Business Update

Randall Lewis, Business Representative, Lewis Group of Companies, reported that there is not much to report as the economy is continuing to proceed at a slow pace.

Air Resources Board – Update

As an ARB Board Member, representing the South Coast Air Quality Management District (SCAQMD), Councilmember Judy Mitchell, Rolling Hills Estates, District 40, stated that in reference to the cap-and-trade funds that was previously reported, the ARB approved the Interim Guidance that provides criteria and direction to agencies for maximizing benefits to disadvantaged communities. The \$832 million cap-and-trade funds will be divided among eleven (11) state agencies with certain amounts allocated to each agency for expenditure within their sphere of influence. Councilmember Mitchell also reported that ARB had discussions on CalEnviroScreen Tool.

COMMITTEE REPORTS/ACTION ITEMS

Information Item

1. Compton Creek Natural Park at Washington Elementary School

President Morehouse introduced Melissa Guerrero, Project Manager, Urban Projects and Watershed Planning Division, Santa Monica Mountains Conservancy/Mountains Recreation and Conservation Authority (MRCA).

Ms. Guerrero provided background information on MRCA and stated that the Compton Creek Natural Park project was developed in partnership with the following: Compton Unified School District – donated 4 acres of land; MRCA – worked on the design element of the project; and the Los Angeles Conservation Corps (LACC) – handled the construction and maintenance of the project. In closing, Ms. Guerrero stated that the project provided at-risk young adults and students the opportunity for job skills training, education and work experience with an emphasis on conservation that will benefit the community.

On behalf of the Regional Council, Hasan Ikhata, Executive Director, thanked Melissa Guerrero and presented her with a token of appreciation.

Executive/Administration Committee (EAC) Report

2. Proposed 2015 Regional Council and Policy Committees Meeting Schedule

Hasan Ikhata, Executive Director, introduced the item and stated that staff recommends: 1) to go “dark” on January 1, 2015 due to a holiday; 2) to go “dark” in the summer month of August instead of July; and 3) to keep the October 1, 2015 meeting schedule regardless of an identified conflict with the Annual Conference of the League of California Cities.

Suggestions were made regarding moving the October 1 meeting to October 8; the possibility of another schedule conflict should meeting be moved to October 8; to allow members to attend the LOCC Annual Conference (Viegas-Walker, Clark, McCallon, Wapner, Mitchell and Finlay).

A MOTION was made (Clark) to approve the 2015 Regional Council and Policy Committees Meeting Schedule with an amendment to move the October 1 meeting to October 8, 2015. Motion was SECONDED (Pettis) and passed by the following votes:

AYE/S: Acosta, Barrows, Brown, Clark, Curtis, Daigle, Daniels, Finlay, Gazeley, Hofbauer, Jahn, M. Martinez, McCullough, Millhouse, Mitchell, Morehouse, Munzing, Murabito, Murdock, Murray, L. Parks, Pedroza, Pettis, Rodriguez, Spiegel, Viegas-Walker, Wapner, Wilson, Goodland and Sibert.

NOE/S: Hyatt, McCallon, Medina, Mills, Navarro, Nelson, O'Connor, Saleh and Terrazas

ABSTAIN: [None]

3. Amendment 2 of the Fiscal Year (FY) 2014-15 Overall Work Program (OWP)

Basil Panas, Chief Financial Officer introduced the item and provided background information.

A MOTION was made (Viegas-Walker) to adopt Resolution No. 14-563-1 approving Amendment 2 to the FY 2014-15 OWP and authorize the Executive Director, or his designee, to submit the necessary administrative documentation to the California Department of Transportation (Caltrans). Motion was SECONDED (Finlay) and passed by the following votes:

AYE/S: Acosta, Barrows, Brown, Clark, Curtis, Daigle, Daniels, Finlay, Gazeley, Hofbauer, Hyatt, M. Martinez, Masiel, Sr., McCallon, McCullough, Medina, Millhouse, Mills, Mitchell, Morehouse, Munzing, Murabito, Murdock, Murray, Navarro, O'Connor, L. Parks, Pedroza, Pettis, Rodriguez, Spiegel, Terrazas, Viegas-Walker, Wapner, Wilson, Goodland and Sibert.

NOE/S: Nelson

ABSTAIN: [None]

Transportation Committee (TC) Report

4. 2014 Southern California Regional Active Transportation Program: Funding Recommendations and Project List

As Chair of the Transportation Committee, Councilmember Alan Wapner, Ontario, SANBAG, introduced the item and provided background information.

A MOTION was made (Wapner) to adopt Resolution No. 14-563-2 approving: 1) the 2014 Southern California Regional Active Transportation Program; and 2) authorize the submittal of the recommended project list to the California Transportation Commission for programming in the 2014 Active Transportation Program. Motion was SECONDED (McCallon) and passed by the following votes:

AYE/S: Acosta, Barrows, Brown, Clark, Curtis, Daigle, Daniels, Finlay, Gazeley, Hofbauer, Hyatt, Jahn, M. Martinez, Masiel, Sr., McCallon, Medina, Millhouse, Mills, Mitchell,

Morehouse, Murabito, Murdock, Murray, Navarro, Nelson, O'Connor, L. Parks, Pedroza, Pettis, Rodriguez, Spiegel, Terrazas, Viegas-Walker, Wapner, Wilson, Goodland and Sibert.

NOE/S: Munzing

ABSTAIN: [None]

5. Approval of the 2014 Amendment to the Joint Powers Agreement concerning the Los Angeles – San Diego – San Luis Obispo (LOSSAN) Corridor Rail Agency

As Chair of the Transportation Committee, Councilmember Alan Wapner, Ontario, SANBAG, introduced the item and provided background information.

A MOTION was made (Wapner) to approve the 2014 Amendment to the Joint Powers Agreements concerning LOSSAN. Motion was SECONDED (Millhouse) and passed by the following votes:

AYE/S: Acosta, Barrows, Brown, Clark, Curtis, Daigle, Daniels, Finlay, Gazeley, Hofbauer, Hyatt, Jahn, M. Martinez, Masiel, Sr., McCallon, Medina, Millhouse, Mills, Mitchell, Morehouse, Munzing, Murabito, Murdock, Murray, Navarro, Nelson, O'Connor, L. Parks, Pedroza, Pettis, Rodriguez, Saleh, Spiegel, Terrazas, Viegas-Walker, Wapner, Wilson, Goodland and Sibert.

NOE/S: [None]

ABSTAIN: [None]

Energy and Environment Committee (EEC) Report

6. Notice of Exemption for the Southern California Active Transportation Safety and Encouragement Campaign (Project)

As stated noted by President Morehouse, Agenda Item No. 6 was pulled and will be brought back for consideration at the November 6, 2014 Regional Council meeting.

As Chair of the EEC, Councilmember Cheryl Viegas-Walker, El Centro, District 1, reported that there were several discussions at the meeting concerning the 101 Freeway Wildlife Bridge in Agoura Hills; update on the CalEnviroScreen Tool; Open Space Strategic Planning; Active Transportation; Bottom-up local process; and the SCAG GIS Program. Councilmember Viegas-Walker also announced the vacancy of the Vice Chair position as former EEC Vice Chair Lisa Bartlett has vacated the position.

Community, Economic, and Human Development (CEHD) Committee Report

As Chair of the CEHD Committee, Councilmember Margaret Finlay, Duarte, District 35, reported that there were several presentations at the CEHD meeting, particularly the item regarding “Socioeconomic Characteristics of Millennial and Baby Boomers—Implications upon Regional Planning,” and suggested to provide a similar presentation at a future Regional Council and Joint Policy Committees’ meeting.

Councilmember Bill Jahn, Big Bear Lake, District 11, also reported regarding the Regional Housing Needs Assessment and Housing Element Reform particularly the issues and recommendations which will be presented to the CEHD Committee after the conclusion of its work.

Legislative/Communications and Membership Committee (LCMC) Report

As Chair of the LCMC, Councilmember Pam O'Connor, Santa Monica, District 41, noted that hard-copies of the October 2014 State and Federal Legislative Update report are available and highlighted that the House passed a short-term Continuing Resolution through December 2014; and noted the following state legislative bills that the Regional Council adopted positions are consistent with the 2014 Legislative Priorities: AB 1721 (Lindner); AB 1839 (Gatto); SB 1077 (DeSaulnier); and SB 1298 (Hernandez). Councilmember O'Connor stated that SB 69 (Roth) was vetoed by the Governor.

CONSENT CALENDAR

Approval Items

7. Minutes of the September 11, 2014 Meeting

Recognizing that some members may wish to abstain from voting to approve meeting minutes if they have been absent from a previous meeting, President Morehouse announced that future agenda items relating to the Minutes of the Meeting of the Regional Council and Policy Committees will be a separate Action Item to allow members to vote on the matter separately.

With respect to the recap of the SCAG/SCLC "Fifty Years into the War on Poverty" Summit, Councilmember Margaret Clark, Rosemead, District 32, would like to amend the Minutes to add the following language on page 47; 1st paragraph; last sentence to read, "*As an attendee, Councilmember Margaret Clark, Rosemead, District 32, stated that she appreciated that the panel of speakers included advocating financial literacy and education while emphasizing the importance of teaching people how to manage their money.*"

A MOTION was made (Saleh) to approve the Minutes of the September 11, 2014 Meeting, as amended. Motion was SECONDED (Navarro) and passed by the following votes:

AYE/S: Acosta, Barrows, Brown, Clark, Daigle, Daniels, Finlay, Gazeley, Hofbauer, Hyatt, M. Martinez, Masiel, Sr., McCallon, Medina, Millhouse, Mills, Mitchell, Morehouse, Munzing, Murabito, Murdock, Navarro, Nelson, O'Connor, Pedroza, Pettis, Rodriguez, Saleh, Viegas-Walker, Wapner, Wilson, Goodland and Sibert.

NOE/S: Murray

ABSTAIN: Curtis, Jahn, Spiegel and Terrazas

Receive and File

8. SCAG Sustainability Planning Grants Program - Monthly Update

9. 2014 Regional Council and Policy Committees Meeting Schedule
10. SCAG GIS Services Program Status Report
11. Purchase Orders \$5,000 but less than \$200,000/Contracts \$25,000 but less than \$200,000/Amendments \$5,000 but less than \$75,000
12. October 2014 State and Federal Legislative Update
14. CFO Monthly Report

A MOTION was made (Wilson) to approve the remainder of the Consent Calendar Agenda Item Nos. 8 to 14, except for Agenda Item No. 13, with a correction on Agenda Item No. 9 to reference the December 4, 2014 meeting as the 5th Annual Economic Summit. Motion was SECONDED (Navarro) and passed by the following votes:

AYE/S: Acosta, Barrows, Brown, Clark, Curtis, Daniels, Finlay, Gazeley, Goodland, Hofbauer, Hyatt, Jahn, M. Martinez, Masiel, Sr., McCallon, Medina, Millhouse, Mills, Mitchell, Morehouse, Munzing, Murabito, Murdock, Murray, Navarro, Nelson, O'Connor, Pedroza, Pettis, Rodriguez, Saleh, Sibert, Spiegel, Terrazas, Viegas-Walker, Wapner and Wilson.

NOE/S: [None]

ABSTAIN: [None]

PULLED ITEM

13. Update on SCAG's Bottom-up Local Input Process for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS)

Kimberly Clark, SCAG staff, provided an update of the bottom-up local input process for the 2016 RTP/SCS. Ms. Clark emphasized the importance of engaging and collaborating local jurisdictions in establishing accurate base geographic and socioeconomic datasets for the 2016 RTP/SCS.


FUTURE AGENDA ITEM/S

None.

ADJOURNMENT

There being no further business, the Regional Council meeting adjourned at 1:45 p.m.

The next meeting of the Regional Council is scheduled for Thursday, November 6, 2014 at the Los Angeles office.


Lillian Harris-Neal, Clerk of the Board

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Contracts \$200,000 or Greater: Contract No. 15-004-C1, Program Environmental Impact Report (PEIR) for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS)

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Approve Contract No. 15-004-C1 with Sapphos Environmental, Inc., in an amount not-to-exceed \$449,163, to provide the PEIR for the 2016 RTP/SCS.

EXECUTIVE SUMMARY:

The consultant shall provide services to ensure that environmental compliance procedures under California Environmental Quality Act (CEQA), Moving Ahead for Progress in the 21st Century (MAP 21) Performance Requirements, as well as other federal and state environmental laws are adequately addressed and fulfilled in the 2016 PEIR. The consultant shall be responsible for developing a complete, environmentally-compliant and legally-defensible 2016 RTP/SCS PEIR in the compressed timeframe and under the schedule constraints of developing the RTP and SCS simultaneously.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Sapphos Environmental, Inc. (15-004-C1)	The consultant shall provide the PEIR for the 2016 RTP/SCS.	\$449,163

FISCAL IMPACT:

Funding of \$150,000 is available in the FY 2014-15 budget, and the remaining \$299,163 is expected to be available in the FY 2015-16 budget, subject to budget availability.

ATTACHMENT:

Consultant Contract No. 15-004-C1

CONSULTANT CONTRACT 15-004-C1

Recommended Consultant: Background & Scope of Work:

Sapphos Environmental, Inc.

The Southern California Association of Governments is responsible for developing a 2016 Program Environmental Impact Report (PEIR) for the 2016-2040 Regional Transportation Plan / Sustainable Communities Strategy (2016 RTP/SCS). The 2016 RTP is a long-term strategy for the development and preservation of the region's transportation system. For a transportation project to become eligible for federal and state funding, it must be included in the financially-constrained portion of the RTP. In addition, with the passage of SB 375 (Steinberg 2008), the 2016 RTP must be integrated with a Sustainable Communities Strategy (SCS) that meets Air Resources Board greenhouse gas (GHG) emissions targets from cars and light duty trucks.

The 2016 PEIR is an environmental compliance requirement for the RTP/SCS. Without a certified environmental impact report, the 2016 RTP/SCS and transportation strategies in the 2016 RTP/SCS cannot move forward. The 2016 RTP/SCS PEIR will serve as a first-tier, programmatic document that provides a region-wide assessment of the potential significant environmental effects of implementing the projects, programs, and policies included in the proposed 2016 RTP/SCS. The PEIR must effectively evaluate regional-scale impacts and propose effective and feasible mitigation measures so that the document may be used as a first-tier document to facilitate California Environmental Quality Act (CEQA) streamlining (including SB 375 and SB 743 specific CEQA streamlining).

The consultant shall provide services to ensure that environmental compliance procedures under CEQA; Moving Ahead for Progress in the 21st Century (MAP 21) Performance Requirements; as well as other federal and state environmental laws are adequately addressed and fulfilled in the 2016 PEIR. The consultant shall be responsible for developing a complete, environmentally compliant, and legally-defensible 2016 RTP/SCS PEIR in the compressed timeframe and under the schedule constraints of developing the RTP and SCS simultaneously.

Project's Benefits & Key Deliverables:

The project's benefits and key deliverables include, but are not limited to:

- A completed, environmentally compliant, and legally defensible PEIR for the 2016 RTP/SCS;
- A CEQA compliant public notification and consultation process with all the appropriate stakeholders; and
- Delivery of 2016 RTP/SCS PEIR, a first-tier, programmatic document that provides a region-wide assessment of the potential significant environmental effects of implementing the projects, programs, and policies included in the proposed 2016 RTP/SCS.

Strategic Plan:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

Contract Amount:	Total not to exceed:	\$449,163
	Sapphos Environmental, Inc. (prime)	\$404,223
	Kleinfelder (subconsultant)	\$44,940

Sapphos Environmental, Inc. proposed \$777,657, but staff negotiated the price down to \$449,163, without reducing the scope of work.

Contract Period: November 17, 2014 through June 30, 2016

Work Element: 14-020.SCG00161.04 \$150,000
15-020.SCG00161.04 \$299,163
Funding sources: Consolidated Planning Grant – FTA and TDA

Request for Proposal (RFP): SCAG staff notified 2,467 firms of the release of RFP No. 15-004 via SCAG's Solicitation Management System and the American Planning Association's website. A total of 64 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:

Sapphos Environmental, Inc. (1 subconsultant)	\$777,657
Impact Sciences (4 subconsultants)	\$638,508

Selection Process: The Proposal Review Committee (PRC) evaluated both proposals in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating both proposals, the PRC interviewed both proposers.

The PRC consisted of the following individuals:

Michael Krause, Program Supervisor, SCAQMD
Mine Struhl, Senior Environmental Planner, Caltrans
Lijin Sun, Senior Regional Planner, SCAG

Basis for Selection: The PRC recommended Sapphos Environmental, Inc., for the contract award because the consultant's team:

- Best demonstrated the need for thorough adherence to, and understanding of CEQA requirements, in support of the implementation of SB 375;
- Demonstrated the most extensive and successful experience in creating legally-defensible and program-level environmental reviews, and proposed the best plan to work with SCAG staff to prepare a legally-defensible document for the project;
- Addressed in detail the enhanced CEQA sensitivities due to recent developments on CEQA legislation, including SB 375 and SB 743, and case laws. The consultant also demonstrated the best understanding of the importance of developing a workable framework for the PEIR to optimize both SB 375, SB 743, and other CEQA streamlining opportunities;
- Demonstrated the best understanding of the public outreach requirement set forth in MAP-21, and best demonstrated a comprehensive, thoughtful approach to public outreach during the interview;
- Described the best technical approach in its proposal and especially during

- Demonstrated in its proposal and best articulated during the interview an efficient approach to allocating work efforts between the prime and its subconsultant with clearly defined roles.

Although the other firm proposed a lower price, the PRC did not recommend the firm for contract award because the firm:

- Did not clearly demonstrate a sufficient level of effort, primarily in the form of staff allocation and contribution among its four (4) subconsultants, to satisfactorily complete the tasks in the Scope of Work; and
- Did not demonstrate the same level of creativity and innovation within their proposed technical approach.

**Conflict Of Interest Form - Attachment
For November 6, 2014 Regional Council Approval**

Item #: 5

Approve Contract No. 15-004-C1, in an amount not to exceed \$449,163 for the 2016 RTP/SCS PEIR effort.

The consultants for this contract include:

Saphhos Environmental, Inc. (prime)

Kleinfelder Inc. (subconsultant)

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-004

SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal.

Name of Firm: Sapphos Environmental, Inc.

Name of Preparer: Thomas Kmett

Project Title: SCAG 2016 Regional Transportation Plan (RTP) / Sustainability Communities Strategy (SCS) Program EIR

RFP Number: 15-004

Date Submitted: August 18, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Marie Campbell, (Social Security Number; optional) _____ hereby declare that I am the (position or title) President of (firm name) Sapphos Environmental, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 14 August 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

August 14, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-004

SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal.

Name of Firm: Kleinfelder, Inc.

Name of Preparer: Mark W. Miller

Project Title: Vice President

RFP Number: 15-004 Date Submitted: 8/13/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
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2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☒ YES ☐ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
Marion Ashley	March 13, 2014	\$100.00
Michael Tahan	September 20, 2012	\$250.00

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Mark W. Miller, (Social Security Number; optional) _____ hereby declare that I am the (position or title) Vice President of (firm name) Kleinfelder, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8/13/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


Signature of Person Certifying for Proposer
(original signature required)

8/13/14

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

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REPORT

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Contracts \$200,000 or Greater: Contract No. 15-005-C1, Scenario Planning Services

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

Approve Contract No. 15-005-C1 with Calthorpe Analytics, in an amount not-to-exceed \$310,000, to develop various growth scenarios.

EXECUTIVE SUMMARY:

SCAG is seeking consultant services for the development of growth scenarios exploring regional options for land use, transportation and non-infrastructure components such as pricing and technology for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS), and associated Program Environmental Impact Report (PEIR).

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Calthorpe Analytics (15-005-C1)	The Consultant shall provide scenario planning services.	\$310,000

FISCAL IMPACT:

Funding of \$250,000 is available in the FY 2014-15 budget, and the remaining \$60,000 is expected to be available in the FY 2015-16 budget, subject to budget availability.

ATTACHMENT:

Consultant Contract No. 15-005-C1

CONSULTANT CONTRACT 15-005-C1

Recommended Consultant:

Calthorpe Analytics

Background & Scope of Work:

SCAG is seeking consultant services for the development of growth scenarios exploring regional options for land use, transportation and non-infrastructure components such as pricing and technology for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS), and associated Program Environmental Impact Report (PEIR).

The scenarios and 2016 RTP/SCS will demonstrate that the region can collectively meet or exceed greenhouse gas (GHG) emissions reduction targets pursuant to Senate Bill 375 (SB 375) as well as meeting other planning mandates and providing a range of “co-benefits” for the region. The scenarios for the 2016 RTP/SCS will reflect the demographics and vision of a changing and diverse Southern California region.

Project’s Benefits & Key Deliverables:

The project’s benefits and key deliverables include, but are not limited to:

- Updating the goals and policies articulated in the adopted 2012-2035 RTP/SCS;
- Assembly of data on land use and housing, and transportation strategies provided by SCAG local jurisdictions into a “Local Input” scenario, and analysis of each scenario using various performance measures;
- Assembly of data into a “2012-2035 RTP/SCS-Updated” scenario built on the land use and transportation strategies in the adopted 2012-2035 RTP/SCS and analysis of the scenario using various performance measures; and
- Preparation of outreach materials for communicating the content and implications of all scenarios for stakeholder workshops.

Strategic Plan

This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

Contract Amount:

Total not-to-exceed	\$310,000
Calthorpe Analytics (prime consultant)	\$241,752
Fehr and Peers (subconsultant)	\$68,248

Note: Calthorpe originally proposed \$353,053, but staff negotiated the price down to \$310,000 without reducing the Scope of Work.

Contract Period:

Notice to Proceed through June 30, 2016

Project Number:

065.2663.02 \$100,000

Funding of \$250,000 is available in the FY 2014-15 budget, and the remaining \$60,000 is expected to be available in the FY 2014-15 budget, subject to budget availability.

Funding sources: Consolidated Planning Grant – FTA and TDA

Request-for-Proposal (RFP): SCAG staff notified 2,492 firms of the release of RFP 15-005-C1 via SCAG's Solicitation Management System. A total of 79 firms downloaded the RFP. SCAG received the following proposal in response to the solicitation:

Calthorpe Analytics (1 subconsultant)

\$353,053

After receiving only one (1) proposal, staff surveyed the 79 firms that downloaded the RFP to determine why each did not submit a proposal. 24 firms responded to staff's inquiry, which disclosed the main reason these firms did not respond was due to them not having the required expertise or being unable to team-up with a prime.

Selection Process: The Proposal Review Committee (PRC) evaluated the proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposal the PRC interviewed the offeror.

The PRC consisted of the following individuals:

Christopher Tzeng, Associate Regional Planner, SCAG
Rye D. Baerg, Associate Regional Planner, SCAG
Rebecca Sanchez, Associate Transportation Planner, Caltrans – District 7
JungA Uhm, Senior Regional Planner, SCAG

Basis for Selection: The PRC recommended Calthorpe Analytics for the contract award because the consultant:

- Demonstrated a thorough understanding of the project, specifically, proposed a methodology that will make the transition from policy to actual scenario planning and analysis to be seamless and will work via the Urban Footprint/Scenario Planning Model user interface to prepare and run the analytical engines. They described the importance of defending the 2016 RTP/SCS scenarios through calibration and verification of the analytical engines and policy variables.
- Provided an appropriate technical approach to accomplish the tasks in the scope of work. For example, they included land use policies that address sensitivity to changing climate conditions (sea rise and flood risk, fire risk, and other climate adaptation challenges).
- Will utilize a tool that will allow users of the Scenario Planning Model to compose scenarios and visualize their effects on the amount of future travel that will occur within their region; and
- Focused on the calibration of UrbanFootprint's sketch travel model. This model is supported by the United States Environmental Protection Agency (US EPA) and American Planning Association (APA), and is proven to be an accurate sketch-level replication of forecasts produced by sophisticated Metropolitan Planning Organization regional travel models. It provides scenario-specific estimates of county and regional vehicle miles traveled (VMT) and mode share for the evaluation of fuel consumption, GHG emissions, and other transportation emissions. In addition, this specific transportation analysis will produce other direct and indirect effects of travel impacts, including energy consumption and public health.

**Conflict Of Interest Form - Attachment
For November 6, 2014 Regional Council Approval**

Item #: 6

Approve Contract No. 15-005-C1, in an amount not to exceed **\$310,000**, to Calthorpe Associates/Calthorpe Analytics for assistance in the development of growth scenarios exploring regional options for land use, transportation and non-infrastructure components.

This consultant team for this contract includes:

Calthorpe Associates (prime consultant)

Fehr and Peers (subconsultant)

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-005

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Calthorpe Associates

Name of Preparer: Peter Calthorpe

Project Title: Development of Land Use and Transportation Scenarios for the 2016 RTP and SCS

RFP Number: 15-005 Date Submitted: August 21, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Peter Calthorpe, hereby declare that I am the (position or title) Principal and Partner of (firm name) Calthorpe Associates, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated August 21, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

August 21, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-005

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel, especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Fehr & Peers

Name of Preparer: Christopher J. Gray

Project Title: Development of Land Use and Transportation Scenarios

RFP Number: 15-005 Date Submitted: 8/19/2014 for 2016 RTP/SCS

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

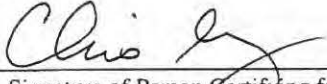
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Christopher J. Gray, hereby declare that I am the (position or title) Principal of (firm name) Fehr & Peers, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 8/19/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


Signature of Person Certifying for Proposer
(original signature required)

8/19/2014
Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Contracts \$200,000 or Greater: Contract Nos. 15-009-C1 through 15-009-C10, On-Call Modeling and Technical Support Services

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Approve Contract Nos. 15-009-C1 through 15-009-C10, with various consultants, in an amount not-to-exceed \$500,000, to provide on-call modeling and technical support, for a two-year period.

The consultants are:

1. AECOM
2. Caliper Corporation
3. Calthorpe Analytics
4. Cambridge Systematics
5. Fehr & Peers
6. Konstadinos Goulias
7. ME Consulting
8. Parsons Brinckerhoff
9. Resource Systems Group
10. Sierra Research

EXECUTIVE SUMMARY:

The above consultants shall provide modeling and technical support as well as maintenance services for the preparation of the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS) modeling analysis.

SCAG has selected the above consultants for the contract award, and may request on-call services for three (3) areas: 1) Transportation Model Support and Development; 2) Land Use and Socio-Economic Data/Model Support and Development; and 3) Air Quality Model Support and Development.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective a: Develop and maintain planning models that support regional planning; and Objective b: Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.

REPORT

BACKGROUND:

Staff recommends executing the following contract \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Consultants Identified Above (15-009-C1 to C10)	The selected consultants shall provide a variety of on-call modeling and air quality Services to support SCAG's implementation of the 2016 RTP/SCS	\$500,000

FISCAL IMPACT:

Funding of \$250,000 is available in the FY 2014-15 budget and the remaining \$250,000 is expected to be available in the FY 2015-16 budget, subject to budget availability.

ATTACHMENT:

Consultant Contract Nos. 15-009-C1 to C10

CONSULTANT CONTRACTS 15-009-C1 through 15-009-C10

Recommended Consultant:	<ol style="list-style-type: none">1. AECOM2. Caliper Corporation3. Calthorpe Analytics4. Cambridge Systematics5. Fehr & Peers6. Konstadinos Goulias7. ME Consulting8. Parsons Brinckerhoff9. Resource Systems Group10. Sierra Research	
Background & Scope of Work	<p>The development, maintenance, and application of SCAG's travel demand and emissions models are complex and often unpredictable. These activities greatly benefit by having consultants available for quick turnaround of unforeseen modeling tasks and updates. The selected consultants shall provide modeling and technical support as well as maintenance services for the preparation of the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS) modeling analysis. SCAG solicited consultants to provide the following services on an on-call basis:</p> <p>Task 1 - Transportation Model Support and Development; Task 2 - Land Use and Socio-Economic Data/Model Support and Development; Task 3 - Air Quality Model Support and Development.</p>	
Project's Benefits & Key Deliverables:	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none">• Expertise and technical assistance in developing and maintaining modeling tools that staff will use in the upcoming 2016-2040 RTP/SCS analysis;• Assistance researching and developing new technical tools to address emerging transportation issues; and• Software support needed to enhance and link SCAG's various models.	
Strategic Plan	<p>This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective a: Develop and maintain planning models that support regional planning; and Objective b: Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.</p>	
Contract Amount:	Total not-to-exceed	\$500,000
<p>Note: This is for on-call or as needed services with consultants to be paid a set hourly rate for their services. As such, there is no specific award amount to each consultant, nor does SCAG guarantee any specific amount of work to a consultant. Therefore, no award amounts are shown below.</p>		
<ol style="list-style-type: none">1. AECOM (Tasks 1&3) As Needed2. Caliper Corporation (Task 1) As Needed3. Calthorpe Analytics (Task 2) As Needed4. Cambridge Systematics (Task 1) As Needed		

- | | | |
|-----|--|-----------|
| 5. | Fehr & Peers (Tasks 1&2) | As Needed |
| 6. | Konstadinos Goulias (Task 2) | As Needed |
| 7. | ME Consulting (Task 3) | As Needed |
| 8. | Parsons Brinckerhoff (Tasks 1&3) | As Needed |
| 9. | Resource Systems Group (RSG) (Tasks 2&3) | As Needed |
| | Sub: Kittelson & Associates | |
| | Sub: The Sierra Group | |
| 10. | Sierra Research (Task 3) | As Needed |
| | Sub: ME Consulting | |
| | Sub: Arnold Sherwood | |

Contract Period: December 1, 2014 through June 30, 2016

Project Number: 15-070.SCG00130.10 \$250,000
 16-070.SCG00130.10 \$250,000
 Funding sources: Consolidated Planning Grant – FHWA and FTA

Request-for-Proposal (RFP): SCAG staff notified 1,978 firms of the release of RFP 15-009-C1 and posted the RFP on SCAG's Solicitation Management System. A total of 56 firms downloaded the RFP. SCAG received the following 14 proposals in response to the solicitation:

AECOM (no subconsultants)
 AFSHA Consulting (no subconsultants)
 Caliper Corporation (no subconsultants)
 Calthorpe Analytics (no subconsultants)
 Cambridge Systematics (no subconsultants)
 Fehr & Peers (no subconsultants)
 Iteris (1 subconsultants)
 Kittelson & Associates (no subconsultants)
 Konstadinos Goulias (no subconsultants)
 ME Consulting (no subconsultants)
 Metropia (2 subconsultants)
 Parsons Brinckerhoff (no subconsultants)
 Resource Systems Group (RSG) (2 subconsultants)
 Sierra Research (2 subconsultants)

Selection Process: The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information to base a contract award.

The PRC consisted of the following individuals:

Chao Wei, Caltrans - District 7 , Senior Transportation Engineer
 Tim Byrne, SANBAG, Chief of Planning
 Hsi-Hwa Hu, SCAG, Transportation Modeling Manager
 Michael Ainsworth, SCAG, Transportation Modeling Manager

Basis for Selection: The PRC recommended the contract be awarded to multiple consultants to allow SCAG to capitalize on the extensive experience and qualifications of the “Benched” firms, while assigning tasks based on the firm’s unique expertise and experience. The PRC determined that a Bench approach provides SCAG greater flexibility to meet the various needs of the scope of work, will improve the quality of deliverables, and enable SCAG to meet its aggressive model development schedule. Staff qualified ten (10) consultants to perform three (3) different tasks. Some consultants are qualified for just one (1) task, while others are qualified for two (2) different tasks. Once staff identifies work under a specific task, the consultants qualified for that task will compete for the work.

The PRC used the following criteria to determine consultant selection:

- Knowledge/experience working with SCAG’s Model or similar;
- Successful experiences with similar projects;
- Qualifications, capabilities & availability of Project Manager and key staff;
- Ability to demonstrate understanding of applicable Federal/State modeling requirements;
- Realistic and reasonable costs for services to be performed; and
- Ability to shift resources to meet an aggressive schedule.

**Conflict Of Interest Form - Attachment
For November 6, 2014 Regional Council Approval**

Item #: 7

Approve Contract No. 15-009-C1, in an amount not to exceed \$500,000 for On-call services for Model Enhancement and Maintenance

The consultants for this contract include:

AECOM (prime)

Caliper Corp. (prime)

Calthorpe Analytics (prime)

Cambridge Systematics (prime)

Fehr & Peers (prime)

Konstadinos Goulas (prime)

ME Consulting (prime)

Parsons Brinckerhoff (prime)

Resource Systems Group (prime)

Subconsultant: Kittelson & Associates

Subconsultant: The Sierra Group

Sierra Research (prime)

Subconsultant: ME Consulting

Subconsultant: Arnold Sherwood

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: AECOM Technical Services, Inc.
Name of Preparer: Stephen Polechronis
Project Title: On-Call Services for Model Enhancement and Maintenance
RFP Number: 15-009 **Date Submitted:** September 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
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2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☐ NO Please see statement below.

If “yes,” please list name and the nature of the relationship:

Name	Relationship
AECOM is a large multinational corporation with over 45,000 employees worldwide. As such, there is no way for us to know whether any of AECOM’s managers, partners, or officers are related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council.	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☒ YES ☐ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
See attached.		

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Stephen Polechronis, hereby declare that I am the (position or title) Senior Vice President of (firm name) AECOM Technical Services, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/24/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 24, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG Conflict of Interest Form**RFP No. 15-009, On-Call Services for Model Enhancement and Maintenance****Response to Section II, Question 5**

Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or behalf of a member/candidate)? **YES**

The following is a list of campaign contributions made over the past ten (10) years by AECOM, or its subsidiary company, to a current member of the SCAG Regional Council.

Name	Date	Dollar Value	Operating Entity
Garcetti, Eric	3/27/2013	\$ 25,000	AECOM
Buscaino, Joel	3/22/2012	\$ 500	AECOM
Martinez, Michelle	12/21/2011	\$ 2,500	AECOM
Englander, Mitch	10/5/2010	\$ 500	AECOM
Murray, Kris	2/16/2010	\$ 1,700	AECOM
Garcetti, Eric	10/5/2009	\$ 500	AECOM
Garcetti, Eric	6/1/2009	\$ 500	AECOM
Martinez, Nury	12/17/2008	\$ 1,000	AECOM
Fuentes, Felip	5/12/2008	\$ 1,000	AECOM
Blumenfield, Bob	4/15/2008	\$ 1,000	AECOM
Cedillo, Gilbert	2/26/2008	\$ 1,000	DMJM+Harris
Huizar, Jose	3/6/2007	\$ 500	DMJM+Harris
Huizar, Jose	7/31/2006	\$ 500	DMJM+Harris
Ridley-Thomas, Mark	7/31/2006	\$ 1,500	DMJM+Harris
Huizar, Jose	6/30/2005	\$ 500	DMJM+Harris
Brown, Art C.	7/9/2004	\$ 250	DMJM+Harris

Updated September 9, 2014

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Caliper Corporation
Name of Preparer: Jim Lam
Project Title: 15-009 Model Enhancement and Maintenance On-call
RFP Number: 15-009 Date Submitted: 9/26/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) HOWARD SLAVIN, hereby declare that I am the (position or title) PRESIDENT of (firm name) CALIBER CORPORATION, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated _____ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Howard Slavin
Signature of Person Certifying for Proposer
(original signature required)

Sept. 25, 2017
Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Calthorpe Analytics
Name of Preparer: Joe DiStefano
Project Title: On-Call Services for Model Enhancement and Maintenance
RFP Number: 15-009 **Date Submitted:** September 26, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
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2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

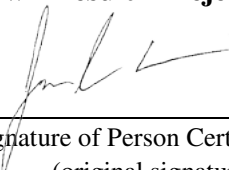
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Joe DiStefano, hereby declare that I am the (position or title) Principal of (firm name) Calthorpe Analytics, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/29/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

	<u>9/29/2014</u>
Signature of Person Certifying for Proposer (original signature required)	Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Cambridge Systematics, Inc.

Name of Preparer: Eric Bierce

Project Title: On-Call Services for Model Enhancement and Maintenance

RFP Number: 15-009 Date Submitted: September 26, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

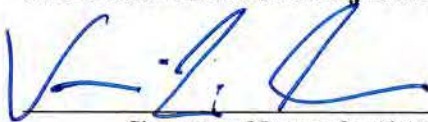
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Vassili Alexiadis, hereby declare that I am the (position or title) Executive Vice President of (firm name) Cambridge Systematics, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated Sep 26, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 26, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Fehr & Peers
Name of Preparer: Christopher Gray, Principal
Project Title: On-Call Services for Model Enhancement and Maintenance
RFP Number: 15-009 **Date Submitted:** Sept. 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Steven J. Brown, PE, hereby declare that I am the (position or title) Senior Vice President of (firm name) Fehr & Peers, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/22/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



9/22/2014

Signature of Person Certifying for Proposer
(original signature required)

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: KONSTADINOS G. GOULIAS
Name of Preparer: KONSTADINOS G. GOULIAS
Project Title: On-call services for Modeling
RFP Number: 15-009 Date Submitted: SEP. 26, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) KONSTADINOS GOULIAS, hereby declare that I am the (position or title) OWNER of (firm name) KONSTADINOS GOULIAS, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 09/26/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 26, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: M ECS.

Name of Preparer: ~~Ms~~ Maryam Ershaghi

Project Title: On-call Services for Model Enhancement and Maint.

RFP Number: RFP No. 15-009 Date Submitted: _____

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

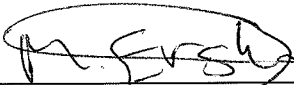
Name	Date	Dollar Value

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Maryam Ershaghi, hereby declare that I am the (position or title) President of (firm name) MECS, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/25/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 9/25/2014
Signature of Person Certifying for Proposer Date
(original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Parsons Brinckerhoff, Inc.

Name of Preparer: Lloyd Graham

Project Title: On-call services for Model Enhancement and Maintenance

RFP Number: 15-009 **Date Submitted:** September 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<u></u>	<u></u>
<u></u>	<u></u>
<u></u>	<u></u>
<u></u>	<u></u>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☒ YES ☐ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:


Name	Date	Dollar Value
Kris Murray, City Council 2014	11/11/13; 4/24/14	\$1,000.00; \$400.00
Alan D. Wapner, Wapner for Council 2014	6/4/14	\$250.00

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Lloyd Graham, hereby declare that I am the (position or title) Senior Vice President of (firm name) Parsons Brinckerhoff, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated September 22, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 22, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Resource Systems Group, Inc

Name of Preparer: Maren Outwater

Project Title: On-Call Services for Model Enhancement and Maintenance

RFP Number: 15-009 Date Submitted: September 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Maren Outwater, hereby declare that I am the (position or title) Vice President of (firm name) Resource Systems Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated Sept 29, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 29, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Kittelson & Associates, Inc.

Name of Preparer: Michael Aronson, PE

Project Title: On-call services for Model Enhancement and Maintenance

RFP Number: 15-009 Date Submitted: September 29, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Michael Aronson, PE, hereby declare that I am the (position or title) Principal Engineer of (firm name) Kittelton & Assoc., Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 09/29/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 29, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

Name of Firm: The Sierra Group

Name of Preparer: Rebecca Barrantes

Project Title: SCAG Model Enhancement and Maintenance

RFP Number: 15-009

Date Submitted: 9/25/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: **VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Rebecca Barrantes, hereby declare that I am the (position or title) President of (firm name) The Sierra Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/25/14 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

9/25/14

Date

NOTICE

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SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Sierra Research, Inc.

Name of Preparer: Robert Dulla

Project Title: Model Enhancement and Maintenance, Task 3

RFP Number: 15-009 **Date Submitted:** September 26, 2014

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
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2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If “yes,” please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If “yes,” please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Robert G. Dulla, hereby declare that I am the (position or title) Senior Partner of (firm name) Sierra Research, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated September 25, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

September 25, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: MECS.
Name of Preparer: Manam Ershaghi
Project Title: On-call Services for Model Enhancement and Hait
RFP Number: RFP No. 15-009 Date Submitted: 9/26/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

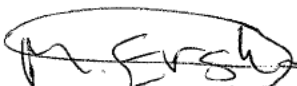
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Margam Ershaghi, hereby declare that I am the (position or title) president of (firm name) MECS, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/25/2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

9/25/2014

Date

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SCAG CONFLICT OF INTEREST FORM

RFP No. 15-009

SECTION I: INSTRUCTIONS

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel especially if you answer yes to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: ARNOLD I SHERWOOD
Name of Preparer: ARNOLD I SHERWOOD
Project Title: Model Enhancement and Maintenance Task 3
RFP Number: 15-009 Date Submitted: 9/26/14

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

☐ YES ☒ NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

☐ YES ☒ NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

☐ YES ☒ NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

☐ YES ☒ NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) ARNOLD I SHERWOOD, hereby declare that I am the (position or title) PRINCIPAL of (firm name) ARNOLD I SHERWOOD, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated Sept 25, 2014 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Arnold I Sherwood

Signature of Person Certifying for Proposer
(original signature required)

Sept 25, 2014

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836; chidsey@scag.ca.gov

SUBJECT: SCAG Sponsorships & Memberships

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION FOR EAC:

Recommend that the Regional Council approve the proposed Sponsorships and Membership.

RECOMMENDED ACTION FOR RC:

Approve the proposed Sponsorships and Membership.

EXECUTIVE SUMMARY:

The Legislative/Communications & Membership Committee (LCMC) reviews proposed SCAG sponsorships and memberships prior to Regional Council action. However, due to lack of quorum at the LCMC meeting, there was no review of the following SCAG sponsorships and membership. Therefore, the Executive/Administration Committee (EAC) and Regional Council (RC) are asked to approve up to \$20,000 in sponsorships for: 1) University of Southern California Sol Price School of Public Policy – Executive Education (EXED) Program (\$10,000); 2) California Contract Cities Association – Southern California Water Summit & Fall Conference (\$5,000); and 3) Southern California Leadership Network (SCLN) Southern California Visionaries 2014 Awards Luncheon, December 2, 2014 – (\$5,000). In addition, the EAC and RC are asked to approve up to \$11,500 in FY 14-15 memberships for 4) Coalition for America's Gateways and Trade Corridors (CAGTC) (\$6,500); and 5) Mileage-Based User Fee Alliance (MBUFA) (\$5,000).

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

BACKGROUND:

Sponsorships

1. University of Southern California Sol Price School of Public Policy – Executive Education (EXED) Program – (\$10,000)

The Executive Education (EXED) Forum for Policy at the University of Southern California (USC) Sol Price School of Public Policy (Price) offers a broad-based specialized non-degree certificate programs for local and global leaders. The EXED Forum is a suite of programs targeting public sector and other senior, mid-level, and emerging leaders, and is designed to deepen their understanding of substantive policy issues, augment their ability to leverage and increase existing

public sector capacity, and foster leadership – all with the purpose of improving public and nonprofit administration and solving public problems. The Forum achieves this by bringing together world-renowned faculty of USC Price, experienced practitioners and a dynamic curriculum to teach and reach across boundaries.

The EXED Forum offers two programs: 1) Local Leaders Program, and 2) Global Leaders Program. The Local Leaders Program is designed for local elected officials and offers a focused curriculum in ethics, governance, leadership and public policy to promote and enhance commitment to public value and to reach across sectors. The target audiences for this program are mayors; council members; and supervisors and special district board members. The next Local Leaders Program is scheduled for spring, November 14-15, 2014 at the USC Davidson Conference Center.

SCAG has been a supporter of the USC Price EXED Forum since the 2011-2012 program and is listed on their website as a Strategic/Sponsoring Partner. Several SCAG cities have participated in the Local Leaders Program, including past SCAG President Greg Pettis; Larry McCallon and Pam O'Connor; Regional Council member Alan Wapner, and Policy Committee member Ray Musser. SCAG staff is again recommending a sponsorship in the amount of \$10,000.

2. California Contract Cities Association – Southern California Water Summit & Fall Conference – (\$5,000)

Elected officials and city/county leaders from throughout the region will gather in San Diego on November 7-9, 2014 to learn about how to prepare their communities and constituents for an ever-changing world of water resources. The Southern California Water Summit and Fall Seminar is being presented by the California Contract Cities Association.

SCAG staff is recommending a “Gold” sponsorship, which will include:

- Recognition on dinner signage and all materials sent to invitees;
- Recognition on the California Contract Cities Association website;
- Verbal recognition at all events, including the award ceremony at the welcome dinner; and
- Ten (10) registrations to the event with preferred seating for the luncheon and welcome dinner.

3. Southern California Leadership Network (SCLN) Southern California Visionaries 2014 Awards Luncheon, December 2, 2014 – (\$5,000)

On Tuesday, December 2, 2014, the Southern California Leadership Network (SCLN) will hold the Southern California Visionaries 2014 Awards Luncheon. The Southern California Visionaries Awards Luncheon celebrates dynamic leadership by recognizing outstanding achievements of the region’s leaders. SCLN’s leadership fellowships—Leadership L.A., Leadership Southern California, and California Connections—have already equipped more than 1,500 civic-minded thinkers with the community and regional collaboration skills necessary to address quality of life issues including traffic, water, clean air, education, and public safety. SCAG has successfully partnered with the SCLN for many years, with SCAG board members participating in the SCLN’s leadership development program, the annual awards luncheon, and panel discussions on regional policy issues. The SCLN estimates there will be over 400 business, government, and non-profit leaders from Southern California in attendance. SCAG staff is recommending a “Bronze” sponsorship in the amount of \$5,000, which will include:

- Ten (10) tickets for the luncheon;
- Company name in event program;
- Sponsor recognition on all event signage and electronic promotions;
- Verbal recognition at the event; and
- Half page “bronze ad” (black and white) in tribute book.

Memberships

4. Coalition for America’s Gateways & Trade Corridors (CAGTC) – (\$6,500)

SCAG is a founding member of the Coalition for America’s Gateways & Trade Corridors (CAGTC) as part of the RC high-priority goal to seek a federal freight funding partnership. CAGTC works with and through its members to raise public recognition and Congressional awareness of U.S. freight needs to promote sufficient funding in federal legislation for trade corridors, gateways, intermodal connectors, and freight facilities. CAGTC is comprised of over sixty representative organizations, including state Departments of Transportations (DOTs), MPOs, ports, engineering firms, and freight corridors who work to improve national freight policy. All of the Southern California freight stakeholders are a member of CAGTC. As a CAGTC member, SCAG will have the opportunity to participate in helping shape national freight policy and legislation with the organization. SCAG Chief Deputy Executive Director Sharon Neely currently serves as Chairman of the CAGTC Executive Committee. RC Board Officers regularly travel to Washington, DC to attend the meetings and conferences. The annual dues are \$6,500.

5. Mileage-Based User Fee Alliance (MBUFA) – (\$5,000)

The Mileage-Based User Fee Alliance (MBUFA) is a national non-profit organization that brings together government, business, academic, and transportation policy leaders to conduct education and outreach on the potential for mileage-based user fees as an alternative for future funding and improved performance of the U.S. transportation system. Formed in 2010, MBUFA is comprised of nearly 30 public and private sector entities from across the United States including AECOM, California Department of Transportation (Caltrans), CDM Smith, Parsons Brinckerhoff, and seven other state departments of transportation. As a MBUFA member, SCAG will have the opportunity to shape the debate regarding mileage-based user fees in line with the funding strategies outlined in the 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS). Staff is recommending a “Gold” level membership, which in addition to education, advisory, and advocacy, also includes:

- Maximum exposure at MBUFA workshops;
- Podium recognition, signage, logo, and attendance at workshop sponsor dinners for up to three (3) guests; and
- Logo on programs and appropriate literature distribution.

FISCAL IMPACT:

\$31,500 for sponsorships and memberships are included in the approved FY 14-15 General Fund budget.

ATTACHMENT:

None

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Hasan Ikhmeta, Executive Director, ikhmeta@scag.ca.gov, 213-236-1944

SUBJECT: SCAG Sustainability Planning Grants Program – Monthly Update

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Receive and File.

EXECUTIVE SUMMARY:

SCAG is providing a monthly update regarding the successful implementation of the seventy-five (75) grants Sustainability Grants to member agencies. Forty-four (44) of the seventy-five (75) approved SCAG Sustainability Planning Grants were funded in the fall of 2013. An additional fifteen (15) projects were funded in the summer of 2014. Six (6) of these projects will be funded by an award to SCAG from the California Strategic Growth Council. The remaining projects were funded in the fall of 2014. At the time this report was distributed, forty-eight (48) grant projects have had Scopes of Work developed and finalized, forty-eight (48) grant projects have had Request for Proposals (RFPs) released, forty-six (46) grant projects have selected consultants, and forty (40) grant projects have had contracts executed.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies.

BACKGROUND:

On September 12, 2013, the Regional Council approved seventy-three (73) Sustainability Planning Grant projects and directed staff to proceed with funding projects with available funds for Phases I and Phase II projects (total of 44 projects). The remaining projects comprised Phase III and are proceeding as additional funds have become available in FY 2014/2015. An additional fifteen (15) projects were funded in the summer of 2014. On August 7, 2014 the Regional Council approved adding two (2) Sustainability Planning Grant projects to the approved list for a total of seventy-five (75) projects. On October 2, 2014 the Regional Council approved funding for the remaining projects on the list.

SCAG staff is providing monthly updates to the Board regarding implementation of the seventy-five (75) grants. At the time this report was written, forty-eight (48) grant projects have had Scopes of Work developed and finalized, forty-eight (48) grant projects have had Request for Proposals (RFPs) released, forty-six (46) grant projects have selected consultants, and forty (40) grant projects have completed negotiations and have contracts executed.

FISCAL IMPACT:

Funding is included in SCAG's FY 2014-15 Overall Work Program (OWP) Budget. Staff's work budget for the current fiscal year are included in FY 2014-15 OWP 065.SCG02663.02.

ATTACHMENT:

Summary Progress Chart

SCAG Sustainability Planning Grants

October 21, 2014

Regional Council Progress Update

			Working / Last Contact					
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
	Phase 1 (Available funds FY 13-14)							
1	San Bernardino County	Bloomington Area Valley Blvd. Specific Plan Health and Wellness Element - Public health; Active transportation; Livability; Open space	x	x	x	x	x	
2	Los Angeles - Department of City Planning	Van Nuys & Boyle Heights Modified Parking Requirements - Economic development; TOD; Livability	x	x	x	x	x	
3	Los Angeles - Department of City Planning	Bicycle Plan Performance Evaluation - Active transportation; performance measures	x	x	x	x	x	
4	Western Riverside Council of Governments	Public Health: Implementing the Sustainability Framework - Public health; Multi-jurisdiction coordination; Sustainability	x	x	x	x	x	
5	Santa Ana	Complete Streets Plan - Complete streets; Active transportation; Livability	x	x	x	x	x	
6	San Bernardino Associated Governments	Climate Action Plan Implementation Tools - GHG reduction; Multi-jurisdiction coordination; Implementation	x	x	x	x	x	
7	Riverside	Restorative Growthprint Riverside - GHG reduction; Infrastructure investment; Economic development	x	x	x	x	x	
8	Orange County Parks	Orange County Bicycle Loop - Active transportation; Multi-jurisdictional; Public health	x	x	x	x	x	
9	Ventura County	Connecting Newbury Park - Multi-Use Pathway Plan - Active transportation; Public health; Adaptive re-use	x	x	x	x	x	
10	Imperial County Transportation Commission	Safe Routes to School Plan - Multi-modal; Active transportation	x	x	x	x	x	
11	Yucaipa	College Village/Greater Dunlap Neighborhood Sustainable Community - Complete Streets; TOD	x	x	x	x	x	

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
12	Las Virgenes-Malibu Council of Governments	Multi-Jurisdictional Regional Bicycle Master Plan - Active transportation; Public health; Adaptive re-use	x	x	x	x	x	
13	Eastvale	Bicycle & Pedestrian Master Plan - Active Transportation	x	x	x	x	x	
14	West Covina	Downtown Central Business District - Multi-modal; Active transportation	x	x	x			
15	Placentia	General Plan/Sustainability Element & Development Code Assistance - General Plan Update; Sustainability Plan	x	x	x	x	x	
16	Paramount/Bellflower	Regional Bicycle Connectivity - West Santa Ana Branch Corridor - Active transportation; multi-jurisdiction	x	x	x	x	x	
17	Costa Mesa	Implementation Plan for Multi-Purpose Trails - Active Transportation	x	x	x	x	x	
	Phase 2 (Available funds)							
18	Fullerton	East Wilshire Avenue Bicycle Boulevard - Active transportation; Livability; Demonstration project	x	x	x	x	x	
19	Beaumont	Climate Action Plan - GHG reduction	x	x	x	x	x	
20	Palm Springs	Sustainability Master Plan Update - Leverages larger effort; commitment to implement	x	x	x			
21	Big Bear Lake	Rathbun Corridor Sustainability Plan - Multi-modal; Economic development; Open space	x	x	x	x	x	
22	Western Riverside Council of Governments	Land Use, Transportation, and Water Quality Planning Framework - Integrated planning, Sustainability	x	x	x	x	x	
23	Anaheim	Bicycle Master Plan Update - Active transportation	x	x	x	x	x	
24	Ontario	Ontario Airport Metro Center - Multi-modal; Visualization; Integrated planning	x					
25	Coachella Valley Association of Governments	CV Link Health Impact Assessment - Active transportation; Public health; Multi-jurisdiction	x	x	x	x	x	

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
26	San Bernardino Associated Governments	San Bernardino Countywide Complete Streets Strategy - Multi-modal; Livability; Multi-jurisdiction	x	x	x	x	x	
27	Chino Hills	Climate Action Plan and Implementation Strategy - GHG reduction; Implementation; Sustainability	x	x	x	x	x	
28	Coachella	La Plaza East Urban Development Plan - Mixed-use, TOD, Infill	x	x	x	x	x	
29	South Bay Bicycle Coalition/Hermosa, Manhattan, Redondo	Bicycle Mini-Corral Plan - Active transportation; implementable; good value	x	x	x	x	x	
30	Hawthorne	Crenshaw Station Area Active Transportation Plan and Overlay Zone - Multi-modal; Active transportation; GHG reduction	x	x	x	x	x	
31	Chino	Bicycle & Pedestrian Master Plan - Multi-modal; Active transportation	x	x	x	x	x	
32	Stanton	Green Planning Academy - Innovative; Sustainability; Education & outreach	x	x	x	x	x	
33	Hermosa Beach	Carbon Neutral Plan - GHG reduction; Sustainability	x	x	x	x	x	
34	Palm Springs	Urban Forestry Initiative - Sustainability; Unique; Resource protection	x	x	x	x	x	
35	Orange County	"From Orange to Green" - County of Orange Zoning Code Update - Sustainability; implementation	x	x	x	x	x	
36	Calimesa	Wildwood and Calimesa Creek Trail Master Plan Study - Active transportation; Resource protection	x	x	x	x		
37	Western Riverside Council of Governments	Climate Action Plan Implementation - GHG Reduction; Multi-jurisdiction; implementation	x	x	x	x	x	
38	Lynwood	Safe and Healthy Community Element - Public health & safety, General Plan update	x	x	x	x	x	

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
39	Palmdale	Avenue Q Feasibility Study - Mixed-use; Integrated planning	x	x	x	x	x	
40	Long Beach	Willow Springs Wetland Habitat Creation Plan - Open Space; Resource protection	x	x	x	x	x	
41	Indio	General Plan Sustainability and Mobility Elements - Sustainability; Multi-modal, General Plan update	x	x	x	x		
42	Glendale	Space 134 - Open space/Freeway cap; Multi-modal	x	x	x	x	x	
43	Rancho Palos Verdes/City of Los Angeles	Western Avenue Corridor Design Implementation Guidelines - Urban Infill; Mixed-use; Multi-modal	x	x	x	x	x	
44	Moreno Valley	Nason Street Corridor Plan - Multi-modal; Economic development	x	x	x	x	x	
Phase 3 (Pending additional funds)								
45	Park 101/City of Los Angeles	Park 101 District - Open space/Freeway cap; Multi-modal	x					
46	Los Angeles/San Fernando	Northeast San Fernando Valley Sustainability & Prosperity Strategy - Multi-jurisdiction; Economic development; Sustainability	x	x	x	x		
47	San Dimas	Downtown Specific Plan - Mixed use; Infill	x					
48	Los Angeles - Department of City Planning	CEQA Streamlining: Implementing the SCS Through New Incentives - CEQA streamlining	Oct-13					
49	Pico Rivera	Kruse Road Open Space Study - Open space; Active transportation	x	x	x			
50	South Bay Cities Council of Governments	Neighborhood-Oriented Development Graphics - public outreach	x					
51	San Bernardino Associated Governments	Safe Routes to School Inventory - Active transportation; Public health	x	x	x	x	x	
52	Burbank	Mixed-Use Development Standards - Mixed use; Urban infill	x					

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
53	San Bernardino Associated Governments	Countywide Habitat Preservation/Conservation Framework - Open Space; Active Transportation	x					
54	Rancho Cucamonga	Healthy RC Sustainability Action Plan - Public health; implementation	x					
55	Pasadena	Form-Based Street Design Guidelines - Complete Streets; Multi-modal; Livability	x					
56	South Gate	Gateway District/Eco Rapid Transit Station Specific Plan - Land Use Design; Mixed Use; Active Transportation	x					
57	Lancaster	Complete Streets Master Plan - Complete Streets Plan	x					
58	Rancho Cucamonga	Feasibility Study for Relocation of Metrolink Station - Transit Access	x					
59	Santa Clarita	Soledad Canyon Road Corridor Plan - Land Use Design; Mixed Use Plan	x					
60	Seal Beach	Climate Action Plan - Climate Action Plan	x					
61	La Mirada	Industrial Area Specific Plan - Land Use Design	Sep-14					
62	Hemet	Downtown Hemet Specific Plan - Land Use Design; Mixed Use Plan	x					
63	Hollywood Central Park/City of Los Angeles	Hollywood Central Park EIR - Open Space/Freeway Cap; Multi-modal	x					
64	Desert Hot Springs	Bicycle/Pedestrian Beltway Planning Project - Active Transportation	x					
65	Cathedral City	General Plan Update - Sustainability - General Plan Update; Sustainability Plan	x					
66	Westminster	General Plan Update - Circulation Element - General Plan Update; Complete Streets	x	x	x	x		
67	La Canada Flintridge	Climate Action Plan - Climate Action Plan	Sep-14					
68	Huntington Beach	Neighborhood Electric Vehicle Plan - Electric Vehicle	x					
69	Pasadena	Green House Gas (GHG) Emission Reduction Evaluation Protocol - Climate Action Plan	Sep-14					

Working / Last								
Rank	Applicant	Project	Contact	Scope	RFP	Selection	Contract	
70	San Bernardino Associated Governments	Countywide Bicycle Route Mobile Application - Active Transportation	x					
71	Dana Point	General Plan Update - General Plan Update	Sep-14					
72	Garden Grove	RE:IMAGINE Downtown - Pedals & Feet - Active Transportation; Infill	x					
73	Barstow	Housing Element and Specific Plan Update - Housing; Land Use Design	Sep-14					
74	Bell	General Plan Update - General Plan Update	x					
75	Fountain Valley	Euclid/I-405 Overlay Zone - Mixed use; Urban infill	x	x	x	x		

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**Main Office**

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Officers

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Carl Morehouse, San Buenaventura

First Vice President
Cheryl Viegas-Walker, El Centro

Second Vice President
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Policy Committee Chairs

Community, Economic and
Human Development
Margaret Finlay, Duarte

Energy & Environment
Deborah Robertson, Rialto

Transportation
Alan Wapner, San Bernardino
Associated Governments

2015 MEETING SCHEDULE

REGIONAL COUNCIL AND POLICY COMMITTEES

**All Regular Meetings are scheduled on the
1st Thursday of each month; except for the month of October***

Executive/Administration Committee (EAC)	9:00 AM – 10:00 AM
Community, Economic and Human Development Committee (CEHD)	10:00 AM – 12:00 PM
Energy and Environment Committee (EEC)	10:00 AM – 12:00 PM
Transportation Committee (TC)	10:00 AM – 12:00 PM
Regional Council (RC)	12:15 PM – 2:00 PM

January 1, 2015 (DARK)

February 5, 2015

March 5, 2015

April 2, 2015

**May 7 – 8, 2015
(2015 SCAG Regional Conference & General Assembly)**

June 4, 2015

July 2, 2015

August 6, 2015 (DARK)

September 3, 2015

October 8, 2015*

(Note: League of California Cities Annual Conference, San Jose, CA, on Sept. 30 – Oct. 2)

November 5, 2015

December 3, 2015

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DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, panas@scag.ca.gov. 213-236-1817

SUBJECT: FY 2015-16 Comprehensive Budget Development Schedule

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

For Information Only - No Action Required.

EXECUTIVE SUMMARY:

The FY 2015-16 Comprehensive Budget Development Schedule has been completed. The schedule accommodates all federal and state guidelines and lists the dates of action required by SCAG Management; the Executive/Administration Committee, Regional Council, General Assembly; Federal Highway Administration (FHWA)/Federal Transit Administration (FTA); and the California Department of Transportation (Caltrans).

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

As a Metropolitan Planning Organization (MPO), SCAG is required by FHWA, FTA and Caltrans to develop an annual Overall Work Program (OWP) which identifies the work that will be accomplished during the fiscal year. The OWP represents a major part of the Comprehensive Budget and is primarily funded by federal and state transportation funds. The Comprehensive Budget also includes other federal and state grant funded program budgets; the SCAG General Fund budget; and the Indirect Cost and Fringe Benefits budgets. Completion of the development of each budget component and the associated tasks takes a significant amount of time and coordination with our funding and regional partners. Therefore, a schedule was developed to ensure that all SCAG, Caltrans and FHWA/FTA due dates are met by keeping the process on track. The following are key milestones and due dates to assist the Regional Council, Caltrans, FHWA, FTA, our regional partners and SCAG with awareness of these dates during the development period.

- | | |
|--|------------------|
| • Budget/OWP Schedule presented as information | November 6, 2014 |
| • Present Comprehensive Budget Document and Indirect Cost and General Fund to EAC/RC | February 5, 2015 |
| • Submit Draft OWP to Caltrans, FHWA/FTA | March 2, 2015 |
| • EAC and RC approve release of Draft OWP for public comments | March 5, 2015 |
| • EAC and RC approve SCAG's Comprehensive Budget | March 5, 2015 |

REPORT

- Mail General Fund (GF) Budget to General Assembly for May 7, 2015 meeting March 5, 2015
- Coordinate Draft Work Plans from Partner Agencies to minimize duplication of work effort March 2-6, 2015
- Receive Caltrans/FHWA/FTA comments on Draft OWP April 2, 2015
- Public Comment period closes April 3, 2015
- Response to Public Comments completed April 10, 2015
- Finalize OWP based on comments April 13-30, 2015
- Submit Indirect Cost Allocation Plan to Caltrans April 30, 2015
- General Assembly approved General Fund Budget May 7, 2015
- RC approves Final OWP May 7, 2015
- Submit Final OWP to Caltrans May 7, 2015
- Caltrans Submits Final OWP for FHWA/FTA approval May 29, 2015
- FHWA/FTA grants approval of OWP to Caltrans June 30, 2015
- Caltrans approves SCAG OWP June 30, 2015

FISCAL IMPACT:

The 2015-16 Comprehensive Budget and OWP provide funding for the work program planned for FY 2015-16.

ATTACHMENT:

None

DATE: November 6, 2014

TO: Regional Council (RC)

FROM: Huasha Liu, Director, Land Use & Environmental Planning, Liu@scag.ca.gov, 213-236-1838

SUBJECT: Upcoming Environmental Justice (EJ) Workshop for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS)

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

For Information Only – No Action Required.

EXECUTIVE SUMMARY:

As part of the development of the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS), SCAG is beginning the preparation of its Environmental Justice analysis. To reach out to stakeholders including low-income and minority communities and fulfill SCAG's Public Participation Plan for the development of the 2016 RTP/SCS, the first of a series of workshops will be held on Thursday, November 20, 2014, to discuss the Environmental Justice topics and solicit input from stakeholders.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan; Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

BACKGROUND:

As SCAG continues to develop the 2016 RTP/SCS which is scheduled for adoption in April 2016, SCAG will prepare an Environmental Justice analysis to address any disproportionate impacts the RTP/SCS may have upon minority and low-income populations, at a minimum.

The first EJ Workshop will be held on Thursday, November 20, 2014, from 2:00 to 4:00 p.m. at the SCAG Los Angeles office. Videoconferencing will be available at all SCAG regional office locations in addition to locations in Palmdale, Torrance, and Coachella Valley. The workshop will cover topics such as:

- What are the Environmental Justice requirements?
- What was done for the EJ analysis for 2012-2035 RTP/SCS?
(see <http://scagrt.net/MediaViewer/10942>)
- Staff's initial thoughts of the EJ analysis for the 2016-2040 RTP/SCS
- Input from stakeholders
- Next Steps

REPORT

A save-the-date email was sent on October 22, 2014 to over 300 stakeholders, including groups representing low-income, ethnic minority, transit-dependent, and other traditionally underrepresented groups in addition to advocacy groups representing health and sustainable communities. Stakeholders are encouraged to RSVP with their preferred participation location to EnvironmentalJustice@scag.ca.gov by November 13, 2014.

FISCAL IMPACT:

Staff support for this item is included as part of the FY 15 Overall Work Program (020.SCG00161.04 and 080.SCG00153.04).

ATTACHMENT:

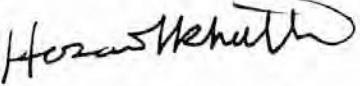
None.

DATE: November 6, 2014

TO: Regional Council (RC)

FROM: Huasha Liu, Director of Land Use and Environmental Planning, 213-236-1838, liu@scag.ca.gov

SUBJECT: SCAG Draft Public Health Program and FY 2014-2015 Public Health Action Plan

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Receive and File.

EXECUTIVE SUMMARY:

SCAG developed a Draft Public Health Program (Program) and FY 2014-2015 Public Health Action Plan (Action Plan) to direct the incorporation of public health into SCAG's planning activities based on the recommendations of the Public Health Subcommittee. The Program outlines goals and strategies that SCAG can pursue to address public health issues related to transportation, land use and the built environment. The Action Plan outlines existing and planned activities that SCAG will complete related to public health for FY 2014-2015.

This report is to inform the Regional Council regarding the actions taken thus far by SCAG staff to implement the recommendations of the Public Health Subcommittee. Staff intends to begin appropriate outreach to public health stakeholders from across the region to gain additional feedback on the Program and Action Plan. The final Program and Action Plan will be presented to the Regional Council at a future date.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan, Goal 1 (Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies), Objective c (Provide practical solutions for moving new ideas forward).

BACKGROUND:

Public Health has increasingly become an area of emphasis for Metropolitan Planning Organizations and Departments of Transportation across the country. During the 2012 RTP/SCS process, SCAG received numerous comments from public health stakeholders and direction from the Regional Council to address public health more broadly in its planning process. Since the adoption of the 2012 RTP/SCS, SCAG has taken several steps to integrate public health into its planning processes. These include the convening of the Public Health Subcommittee, the development of public health policy recommendations, and participation in the Randall Lewis Health Policy Fellowship.

To further develop SCAG's Public Health Program, a wider discussion on the Agency's health related activities is necessary in order to allocate and prioritize resources and to respond to the region's needs. Furthermore, several planned initiatives will require expanded coordination with the region's public health departments, county transportation commissions and local agencies.

To provide a clear understanding of SCAG's role and responsibilities in relation to public health and to and maximize the efficient use of resources across the region, SCAG has developed a Draft Public Health Program (Program). The Program was designed to implement the policy recommendations developed by the Public Health Subcommittee and outlines goals and strategies for SCAG to pursue in both its daily planning activities and the development of the 2016 RTP/SCS. Staff also developed a Public Health Action Plan for that outlines deliverables the agency will be pursuing related to public health during FY 2014-2015.

FISCAL IMPACT:

Work associated with this item is included in the Fiscal Year 2014-2015 Overall Work Program (WBS Number 15-050.SCG00169.01: Regional Active Transportation Strategy).

ATTACHMENTS:

- (1) SCAG Draft Public Health Program
- (2) FY 2014-2015 Public Health Action Plan

SCAG PUBLIC HEALTH PROGRAM

SCAG is committed to the development of policies and plans that balance regional goals for mobility, livability, prosperity and sustainability. Public health is closely linked with each of these goals. It is a key indicator of regional prosperity and sustainability, and an outcome of the mobility and livability strategies pursued in the region. Studies have consistently shown that the built environment affects a range of chronic health conditions including obesity, hypertension, heart disease, diabetes, cancer, and asthma. Further evidence suggests that land-use and transportation planning and policy decisions can play a role in public health outcomes by improving air quality, reducing greenhouse gas emissions, increasing opportunities for physical activity, reducing the risk of injury, and creating access to jobs, education and everyday necessities such as health care.

SCAG has a long history of supporting the region in achieving Federal and state mandates designed to protect public health as it relates to air quality, safety, and environmental justice. However, more recent research and guidance released by federal and state agencies suggests that earlier engagement between health practitioners and transportation professionals in a collaborative process can improve decision-making and help balance competing interests. SCAG can guide and influence the evolution of federal and state policies related to health and built environment by strengthening its technical capacity and leadership related to public health.

POLICY DIRECTION

The 2012 RTP/SCS supports SCAG in taking a more proactive role in public health. The plan seeks to “Protect the environment and health of our residents by improving air quality and encouraging active transportation.” It also calls on SCAG to: “Collaborate with the region’s public health professionals to enhance how SCAG addresses public health issues in its regional planning, programming, and project development activities.” Following the adoption of the 2012 RTP/SCS, the Regional Council convened a Public Health Subcommittee and adopted policy recommendations that further define SCAG’s public health role. The recommendations include supporting transportation investments with an active transportation component, providing robust public health data and information to support regional policy and the development of the 2016 RTP/SCS, and promoting ongoing partnerships with regional partners, local public health departments and other stakeholders.

GOALS

- 1) Provide leadership to measure and improve public health outcomes by expanding the knowledge base and increasing awareness of the relationship between health and the built environment throughout the region.
- 2) Develop and implement balanced policies in the 2016 Regional Transportation Plan/Sustainable Communities Strategy that drive positive health outcomes related to physical activity from walking and bicycling, collision related injuries and fatalities, air quality impacts and emissions, accessibility, climate adaptation, environmental justice, job creation and economic development.
- 3) Provide regional support, including data, statistics, benchmarks, and analysis tools, to help local agencies integrate public health into the multimodal transportation, economic development, job creation and land use planning processes.

STRATEGIES

1) REGIONAL COLLABORATION AND PARTNERSHIPS (ENGAGEMENT AND EDUCATION)

Increase regional engagement and collaboration on the issue of public health as related to the built environment and SCAG core planning functions by raising awareness among policy leaders, agency staff, business, and the public.

- a) **Regional Convenings:** Facilitate information exchange through SCAG Committees, health forums, issue integration within other SCAG-led forums (active transportation, poverty, economy, etc...)
- b) **Partnerships:** Develop and sustain partnerships with agencies, foundations, and stakeholder groups to accelerate economic development, improve public health, support environmental justice, and reduce socio-economic disparities
- c) **Support Active Transportation Education:** Implement GA resolution to create partnerships between county public health departments and county transportation commissions that promote roadway safety and encourage active transportation by supporting public education and co-benefit research
- d) **Expand Regional Capacity:** Support opportunities to collaborate with partners and stakeholders to expand the knowledge base and momentum for integrating public health considerations into local and regional planning activities

2) PUBLIC HEALTH IN REGIONAL PLANNING (INTEGRATION)

Measure and improve health outcomes in the region by incorporating consideration of health in the regional transportation/SCS planning process.

- a) **Sustained Engagement and Collaboration:** Engage with policy makers, partners and stakeholders around health-specific topics to inform policy and planning discussions
- b) **Performance Measurement:** Develop information on the performance of alternatives across broad spectrum of health issues through data/statistics collection, modeling enhancements and research
- c) **Public Health Policy Development:** Build off of existing policies to close policy gaps and incorporate public health into regional transportation planning efforts

3) TECHNICAL ASSISTANCE (SUPPORT AND ENCOURAGEMENT)

Provide technical assistance to local agencies to support implementation of the 2012 RTP/SCS.

- a) **Planning Resources:** Continued support through Sustainability Program Grants for transportation, land-use, and sustainability planning focused on improved health outcomes
- b) **Access to Expertise:** Toolbox Tuesdays, Website Resources
- c) **Communications:** Fact Sheets, Best Practices Documentation, Sustainability Awards

DRAFT

FY 2014-2015 PUBLIC HEALTH ACTION PLAN

SCAG plans to engage in the following activities in FY 2014-2015 to implement the public health program. These activities will complement other efforts being performed related to active transportation, the 2016 RTP/SCS and the county Joint Work Programs.

1) REGIONAL COLLABORATION AND PARTNERSHIPS

- a) Randall Lewis Health Policy Fellows:** Support placement of Randall Lewis Health Policy Fellows local cities to engage, educate and support local and regional efforts aimed at integrating public health into land use and planning activities.

Deliverables & Schedule

- i. Fellows Placed in Cities (October 2014-May 2015)
- ii. Public Health Forum (Spring 2015)

- b) Active Transportation Safety and Encouragement Campaign (ATP Grant):** SCAG will conduct planning activities to prepare for a Fall 2015 media campaign to reduce bicycle and pedestrian collisions.

Deliverables & Schedule

- i. Caltrans Contract (Sept-Dec 2015)
- ii. Procure Consultant (Oct 2014-Jan 2015)
- iii. Steering Committee Meetings (January-June 2015)
- iv. Draft Outreach and Communications Plan (April 2015)
- v. Final Outreach and Communications Plan (June 2015)

- c) Public Health Working Group:** Coordinate a public health working group including members of the county transportation commissions, county departments of public health and stakeholders to provide input to SCAG staff related to implementation of SCAG's Public Health Program.

Deliverables & Schedule

- i. Quarterly Meetings (Starting November 2014)

2) PUBLIC HEALTH IN REGIONAL PLANNING

- a) Active Transportation Health & Economic Impact Study:** This study will fill a key knowledge gap related to the economic and health benefits generated regionally from current levels of active transportation trips. The results will be used to inform the 2016 RTP/SCS. (Funding Approved through 2014-2015 OWP – Fall 2014 to Summer of 2015)

Deliverables & Schedule

- i. Develop Scope of Work (July –Oct 2014)
- ii. Procure Consultant (Oct 2014-Jan 2015)
- iii. Conduct analysis (January-May 2015)
- iv. Draft, Final Report (June 2015)

- b) 2016 RTP Update Public Health White Paper:** Staff will develop a public health white paper exploring opportunities to integrate analysis of health impacts into the policy and technical components of 2016 RTP/SCS, including Scenario Planning, Sustainable Communities Strategy, Performance Measurement, Transportation Strategies, and Environmental Justice. The white paper will be used to inform internal strategy and to communicate to stakeholders the agency's plans for integrating health in the planning process.

Deliverables & Schedule

- i. Review SCAG 2012 Plan (October 2014))
- ii. Literature Review (October-November 2104)
- iii. Draft White Paper (January 2014)
- iv. Final White Paper (February 2015)

3) TECHNICAL ASSISTANCE

- a) Trainings Toolkits (ATP Grant):** The training toolkits activity builds off of deliverable 1.C to expand support for active transportation across the region. In partnership with the county public health departments and county transportation commissions, SCAG will develop Active Transportation Trainings and Training Toolkits for 3-4 target audiences. The trainings will create and empower local champions in key sectors to lead education and encouragement programs in their communities.

Deliverables & Schedule

- i. Targeted Trainings Strategy (April May 2015)
- ii. Develop RFP (May- June 2015)
- iii. Procure Consultant (June -August 2105)
- iv. Prepare Toolkits/Conduct Trainings (FY15-16)

- b) Website Upgrades:** SCAG will develop a website to track and provide information to stakeholders on SCAG's public health related initiatives. Developed with existing staff resources.

Deliverables & Schedule

- i. Develop site design with Communications team (October-November 2014)
- ii. Develop Content/Text (December 2014-January 2015)
- iii. Website Launch (Spring 2015)

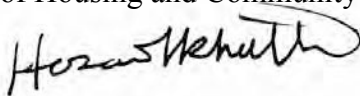
REPORT

DATE: November 6, 2014

TO: Regional Council (RC)

FROM: Huasha Liu, Director of Land Use & Environmental Planning, (213) 236-1838, liu@scag.ca.gov

SUBJECT: Notice of Funding Availability (NOFA) for the Infill Infrastructure Grant (IIG) Program from the California Department of Housing and Community Development (HCD)

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

For Information Only – No Action Required.

EXECUTIVE SUMMARY:

On October 2, 2014, the California Department of Housing and Community Development (HCD) released a Notice of Funding Availability (NOFA) for the Infill Infrastructure Grant (IIG) Program. IIG Program funds are available as grant funds for the gap funding of infrastructure improvements that are an integral part of or are necessary to facilitate the development of new infill housing for specific residential or mixed use projects. A total of \$40 million is available for the Fiscal Year (FY) 2014-15 funding round. Grant applications are due to HCD by December 10, 2014.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan; Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

BACKGROUND:

The purpose of HCD's IIG Program is to assist in the new construction and rehabilitation of infrastructure that supports higher-density affordable and mixed-income housing in locations designated as infill. The FY 2014-15 NOFA (see attached) appropriates \$40 million to provide grant funds for infrastructure improvements necessary to facilitate new infill housing development. Eligible applicants include non-profit and for profit developers and as a joint applicant with the developer, a locality or public housing authority.

Eligible improvements include: development or rehabilitation of parks or open space, water, sewer or other utility service improvements, transit shelters, and sidewalk and streetscape improvements. Funds will be allocated through a competitive process based on scoring outlined in the IIG Program guidelines. Selection criteria include project readiness, housing affordability, housing density, and proximity and access to transit.

Applications for the IIG Program FY 2014-15 NOFA must be submitted to HCD by December 10, 2014. HCD held an application workshop on Wednesday, October 22, 2014 at the SCAG downtown Los Angeles office. IIG Program guidelines, application forms, and related program information is posted on the HCD website at: <http://www.hcd.ca.gov/fa/iig>.

REPORT

FISCAL IMPACT:

Staff support for this item is included as part of the FY 14-15 Overall Work Program.

ATTACHMENTS:

1. Notice of Funding Availability, dated October 2, 2014
2. IIG Program Round 4 Guidelines

**DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT
DIVISION OF FINANCIAL ASSISTANCE**

2020 W. El Camino Avenue, Suite 500, 95833
P. O. Box 952054
Sacramento, CA 94252-2054
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October 2, 2014

MEMORANDUM FOR: ALL POTENTIAL APPLICANTS**FROM:** Laura A. Whittall-Scherfee, Deputy Director
Division of Financial Assistance

A handwritten signature in black ink, appearing to read "Laura A. Whittall-Scherfee".

**SUBJECT: NOTICE OF FUNDING AVAILABILITY - \$40 MILLION
INFILL INFRASTRUCTURE GRANT PROGRAM**

The Department of Housing and Community Development (HCD) is pleased to announce the availability of approximately \$40 million in funding for the Infill Infrastructure Grant (IIG) Program (Program). Funding for this Notice of Funding Availability (NOFA) is provided under the Housing and Emergency Shelter Trust Fund Act of 2006 (Proposition 1C) pursuant to Chapter 2 of Part 12 of Division 31 of the Health & Safety Code, Sections 53545.12 and 53545.13. Additional funds may be added to the NOFA based on future project fund disencumbrances.

A complete original application, plus one copy, must be received by HCD no later than **5:00 p.m. on December 10, 2014 (Wednesday)**.

No facsimiles, late applications, incomplete applications, application revisions, electronically transmitted, or walk in application packages will be accepted.

The IIG Program's application forms, workshop details, and related program information, will be posted on its website at <http://www.hcd.ca.gov/fa/iig>. Questions may be directed to the IIG Program at (916) 263-2771 or emailed to infill@hcd.ca.gov.

Attachment

INFILL INFRASTRUCTURE GRANT PROGRAM

**FY 2014-15 FUNDING ROUND
Notice of Funding Availability**



**State of California
Governor Edmund G. Brown Jr.**

**Claudia Cappio, Director
Department of Housing and Community Development**

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INFILL INFRASTRUCTURE GRANT PROGRAM

NOTICE OF FUNDING AVAILABILITY

PROGRAM DESCRIPTION

A. Introduction

The Department of Housing and Community Development (HCD) is announcing the availability of approximately \$40 million in funding for the Infill Infrastructure Grant (IIG) Program (Program). Funding for this Notice of Funding Availability (NOFA) is provided under the Housing and Emergency Shelter Trust Fund Act of 2006 (Proposition 1C) pursuant to Chapter 2 of Part 12 of Division 31 of the Health & Safety Code, Sections 53545.12 and 53545.13. Additional funds may be added to the NOFA based on future project fund disencumbrances.

B. Program Summary

IIG Program funds being offered through this NOFA are available as grant funds for the gap funding of infrastructure improvements that are an integral part of or are necessary to facilitate the development of new infill housing for specific residential or mixed use projects.

Available funds are subject to the revised IIG Program Guidelines issued by HCD and dated September 25, 2014 (Guidelines). These Guidelines include detailed information on eligibility requirements and application selection criteria, and may be accessed at <http://www.hcd.ca.gov/fa/iig>.

C. Grant Amounts

A **Qualifying Infill Project** is a residential or mixed-use residential development project that meets all of the criteria as set forth in Sections 303 and 308 of the Guidelines. Except as set forth below, the minimum Program grant for a **Qualifying Infill Project** is \$500,000 in urban areas and \$250,000 in rural areas. The maximum Program grant for a Qualifying Infill Project is \$4 million for this NOFA.

The total maximum grant amount shall be limited based on the number of units in the Qualifying Infill Project, the bedroom count of these units, and the density and affordability of the housing to be developed. The following tables outline the values used in calculating the maximum grant amount allowed in this NOFA. The maximum grant limit equals the basic grant limit multiplied by the net density adjustment factor. The total actual grant amount shall be based upon the lesser of the gap funding amount necessary to fund the Capital Improvement Project or the maximum amount calculated from the tables.

Basic Grant Limits

Income Level & Tenure	0-Bdrm	1-Bdrm	2-Bdrm	3-Bdrm	4-Bdrm
200%+ FMR* or Exceeds CALHFA Sales Prices**	\$3,000	\$6,000	\$9,000	\$12,000	\$15,000
IIG Unrestricted***	\$20,000	\$23,000	\$27,000	\$33,000	\$36,000
Moderate Owner	\$23,000	\$26,000	\$31,000	\$37,000	\$40,000
Low Owner	\$26,000	\$29,000	\$35,000	\$41,000	\$45,000
60% AMI Rental	\$26,000	\$29,000	\$35,000	\$41,000	\$45,000
50% AMI Rental	\$30,000	\$34,000	\$39,000	\$47,000	\$50,000
30% AMI Rental	\$35,000	\$37,000	\$42,000	\$54,000	\$57,000

***200% Fair Market Rent (FMR) Unit:** A 200 percent FMR Unit is a rental unit with a proposed monthly rent which is equal to or greater than 200 percent of its county's FMR as defined by HUD.

****Exceeds CalHFA Maximum Sales Price Unit:** A for-sale unit with a proposed sales price which exceeds its county's maximum allowable sales price for a new construction unit as published by CalHFA.¹

*****IIG Unrestricted:** An Unrestricted Unit for the purposes of calculating grant amounts in the IIG Program is any unit not restricted at the other levels identified in Section 302(a) but also not meeting either of the above definitions.

¹ The current CalHFA sales price chart can be viewed at <http://www.calhfa.ca.gov/homeownership/limits/salesprice/salesprice.pdf>.

To determine if a project is in a "target" area, utilize the CalHFA tools found at <http://www.calhfa.ca.gov/homebuyer/property.htm>.

Net Density Adjustments to Basic Grant Limits

Net Density (housing units per acre)	Adjustment Factor
Less than 30	1
30 – 34.9	1.04
35 – 39.9	1.08
40 – 44.9	1.12
45 – 49.9	1.16
50 – 54.9	1.2
55 – 59.9	1.24
60 – 64.9	1.28
65 – 69.9	1.32
70 – 74.9	1.36
75 – 79.9	1.4
80 – 89.9	1.44
90 – 99.9	1.48
100 and above	1.52

D. Application Scoring

Qualifying Infill Project applications shall be evaluated based on the criteria set forth in Sections 303, 305, 307, 308, and 309 of the IIG Guidelines.

Pursuant to Section 307(e), project applications must receive a minimum score of 210, excluding bonus points, in order to be considered for funding. Applications must contain a completed IIG Self-Scoring Worksheet along with documentation acceptable to HCD that adequately supports the Self-Score provided. **Applications that do not include a Self-Scoring Worksheet will not be considered for funding.** Self-Scoring Worksheets must be included within the application at the time of submittal to HCD. No additional information may be added to an application after it has been submitted.

The highest scoring applications that meet all threshold requirements will be selected for funding under each allocation in this NOFA. Applications will be funded in descending order. HCD may make adjustments in this procedure to meet approximately the following geographic distribution objectives:

- (a) Target 45% of total funds to projects located in Southern California (Kern, San Bernardino, San Luis Obispo, Santa Barbara, Ventura, Los Angeles, Orange, Riverside, San Diego or Imperial counties);
- (b) Target 10% of total funds to projects located in the Central Valley (Fresno, Kings, Madera, Merced, San Joaquin, Stanislaus and Tulare counties); and

- (c) Target 45% of total funds to projects located in Northern California (those counties not specified in paragraphs (a) and (b) above).

In the event two or more applications have the same rating and ranking scores, the following bonus points will be awarded pursuant to Section 307(f) in this order of priority until there is no longer a tie:

- (1) 10 bonus points will be awarded to the Qualifying Infill Project having the lowest ratio between the requested grant amount to the total allowable maximum grant amount per the maximum calculated in accordance with the respective program guideline (i.e. as that maximum is calculated pursuant to Section 305(a)). All such ratios will be rounded to the nearest second decimal point.
- (2) If a tie still remains after applying the above criteria, then, an additional 3 bonus points will be awarded to the Qualifying Infill Project for each prior awarded Qualifying Infill Project developed by the Applicant Entity that has received a certificate of occupancy by the deadline for submittal of applications set forth in the NOFA.

Pursuant to IIG Guidelines Section 305(e), HCD must determine that the housing to be developed is reasonably expected to be financially feasible as proposed in the application in order for the application to be considered for funding.

Except for conditions pursuant to IIG Guidelines Section 308(d), applications are ineligible if construction has commenced as of the application due date on either the CIP or on the housing units designated in the application.

Pursuant to IIG Guidelines Section 305(d), applications are ineligible if the project is already fully funded or compatible funding is reasonably available.

Housing Element Compliance

Pursuant to Section 303(a)(2), the Qualifying Infill Project must be located in a Locality which has an adopted (per Government Code Section 65588) housing element that has been found by HCD to be in substantial compliance with the requirements of Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of the Government Code, pursuant to Section 65585 of the Government Code. Housing element compliance must be established as of the NOFA application deadline date. To obtain housing element status, access this link - <http://www.hcd.ca.gov/hpd/hrc/plan/he/status.pdf>.

The ultimate awarding of Program funds is subject to the approval of the Division of Financial Assistance Deputy Director. The decision of the Deputy Director is final.

APPLICATION PROCEDURES AND DEADLINE

A. Application Packaging and Submittal

For Qualifying Infill Projects (QIP), the non- or for-profit developer of the QIP is a required applicant, either by itself or as a joint applicant with a locality or public housing authority with jurisdiction over the area in which the QIP is located. Public agencies are not eligible as sole applicants for QIPs. Transit districts, regional planning agencies, and joint powers authorities are not eligible joint applicants, except that joint powers authorities comprised entirely of eligible applicants are eligible, jointly with the developer of the QIP, where the authority granted to the joint powers authority encompasses the activities necessary to comply with the requirements of the Program.

HCD will only accept applications through a mail carrier service such as U.S. Postal Service, UPS, Fed Ex or other carrier services that provide date stamp verification confirming delivery to HCDs offices at:

INFILL INFRASTRUCTURE GRANT PROGRAM
Department of Housing and Community Development
Division of Financial Assistance
2020 W. El Camino Avenue, Suite 650
Sacramento, CA 95833

A complete original application, plus one copy, must be received by HCD no later than **5:00 p.m. on Wednesday, December 10, 2014.**

No facsimiles, late applications, incomplete applications, application revisions, electronically transmitted, or walk in application packages will be accepted.

Applications must meet all eligibility requirements upon submission. Applications having material internal inconsistencies will not be rated and ranked.

The IIG Program will utilize a Grant Application with attachments. **The application forms will be available on HCD's website.** Modification of the application forms by the applicant is prohibited. HCD will fund only one application for each Capital Improvement Project or portion thereof. In this NOFA, HCD will fund only one application for each QIP.

It is the applicant's responsibility to ensure that the application is clear, complete and accurate. After the application deadline, IIG staff may request clarifying information and/or inquire as to where in the application specific information is located provided that such information does not affect the competitive rating of the application. No information, whether written or oral, will be solicited or accepted if this information would result in a competitive advantage to an applicant or a competitive disadvantage to other applicants. No applicant may appeal HCD's evaluation of another applicant's application.

B. Application Workshop

To assist applicants in preparing their application, HCD will conduct Application Workshops. Detailed information regarding the workshop dates and locations as well as an RSVP form will be available on HCD's website.

C. State Prevailing Wages

Program funds will be considered to be public funds pursuant to State Prevailing Wage Law: Chapter 1 of Part 7 of Division 2 of the Labor Code, commencing with Section 1720, unless exempt by the terms of that statute. See Labor Code Section 1720, subdivisions (c) and (d).

The nature and extent of prevailing wage obligations will depend on the financial structure of each project receiving or supported by Program assistance. Applicants are urged to seek professional advice as to how this law may affect their application.

D. Disclosure of Application

Information provided in the application will become a public record available for review by the public pursuant to the Public Records Act. As such, any materials provided will be disclosable to any person making a public records request. As such, HCD cautions you to use discretion in providing information that is not specifically requested, including but not limited to, bank account numbers, personal phone numbers and home addresses. By providing this information to HCD, the Applicant is waiving any claim of confidentiality and consents to the disclosure of all submitted material upon request.

E. Other Information

The IIG Program's application forms, workshop details, and related program information, will be posted on HCD's website at <http://www.hcd.ca.gov/fa/iig>.

Questions may be directed to the IIG Program at (916) 263-2771 or infill@hcd.ca.gov.

INFILL INFRASTRUCTURE GRANT PROGRAM

PROGRAM GUIDELINES (Rev. 9/25/14)

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ARTICLE 1. General

Section 300. Purpose and Scope

The purpose of these Guidelines is to implement and interpret Part 12 of Division 31 of the Health and Safety Code (commencing with Section 53545.12), which establishes the Infill Incentive Grant Program of 2007, hereinafter referred to as the Infill Infrastructure Grant Program.

Section 301. Program Description- An Overview

The Infill Infrastructure Grant Program was funded by Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006. Its primary objective is to promote infill housing development. The program seeks to accomplish this objective by providing financial assistance for infrastructure improvements necessary to facilitate new infill housing development.

Under the program, grants are available as gap funding for infrastructure improvements necessary for specific residential or mixed-use infill development projects. Both infill projects and areas must have either been previously developed or be largely surrounded by development. Specific eligible improvements include: development or rehabilitation of parks or open space, water, sewer or other utility service improvements, streets, roads, parking structures, transit linkages, transit shelters, traffic mitigation features, sidewalks and streetscape improvements.

Funds will be allocated through a competitive process, based on the merits of the individual infill projects and areas. The application selection criteria include project readiness, housing affordability, housing density, proximity and access to transit, parks, employment centers, and consistency with a regional blueprint or similar regional growth plan.

Section 302. Definitions

The following definitions apply to the capitalized terms used in these Guidelines:

- (a) "Affordable Unit" means a unit that is made available at an affordable rent, as defined in Section 50053 of the Health & Safety Code, to a household earning no more than 60 percent of the Area Median Income or at an affordable housing cost, as defined in Section 50052.5 of the Health & Safety Code, to a household earning no more than 120 percent of the Area Median Income.

Rental units shall be subject to a recorded covenant ensuring affordability for a duration of at least 55 years. Ownership units shall be sold to and occupied by a qualified household, and subject to a recorded covenant with a duration of at least 30 years that includes either a resale restriction or equity sharing upon resale. Rent and income limits for rental Affordable Units shall be those established by TCAC except for units targeted for other income categories for which applications receive rating points under Section 309 or 310. Those units will be restricted to the targeted income levels with rents not to exceed 30 percent of the income level in accordance with TCAC procedures. The covenant must be recorded against a fee estate in the property; covenants recorded against a leasehold estate in the property standing alone do not satisfy the requirement of ensuring affordability for the duration of at least 55 years.

- (b) "Area Median Income" means the most recent applicable county median family income published by TCAC.
- (c) "BID" means an owners' association as defined in Section 36614.5 of the Streets and Highways Code, for a business or property improvement district.
- (d) "Bus Hub" means an intersection of three or more bus routes, where one route or a combination of routes has a minimum scheduled headway of 10 minutes or at least six buses per hour during peak hours. Peak hours means the time between 7 a.m. to 10 a.m., inclusive, and 3 p.m. to 7 p.m., inclusive, Monday through Friday or the alternative peak hours designated for the transportation corridor by the transit agency.
- (e) "Bus Transfer Station" means an arrival, departure, or transfer point for the area's intercity, intraregional, or interregional bus service having permanent investment in multiple bus docking facilities, ticketing services, and passenger shelters.
- (f) "Capital Asset" means tangible physical property with an expected useful life of 15 years or more. "Capital assets" also means tangible physical property with an expected useful life of 10 to 15 years for costs not to exceed 10 percent of the Program grant. "Capital Asset" includes major maintenance, reconstruction, demolition for purposes of reconstruction of facilities, and retrofitting work that is ordinarily done no more often than once every 5 to 15 years or expenditures that continue or enhance the useful life of the capital asset. "Capital Assets" also includes equipment with an expected useful life of two years or more. Costs allowable under this definition include costs incidentally but directly related to

construction or acquisition, including, but not limited to, planning, engineering, construction management, architectural, and other design work, environmental impact reports and assessments, required mitigation expenses, appraisals, legal expenses, site acquisitions, and necessary easements.

- (g) "Capital Improvement Project" or "Project" means the construction, rehabilitation, demolition, relocation, preservation, acquisition, or other physical improvement of a Capital Asset that is an integral part of, or is necessary for the development of a Qualifying Infill Project or the housing designated in the application for a Qualifying Infill Area.
- (h) "CCR" means the California Code of Regulations.
- (i) "Department" means the Department of Housing and Community Development of the State of California.
- (j) "Locality" means a California city, county or city and county.
- (k) "Lower Income" has the meaning set forth in Health and Safety Code Section 50079.5.
- (l) "Major Transit Stop" means a bus, ferry or rail stop served by either:
 - (1) one (1) route departing nine (9) (six (6) for Localities with minimum Net Densities of 15 units per acre or less pursuant to Paragraph 303(a)(4)) or more times between both 7:00 a.m. to 10:00 a.m., inclusive, and 3:00 p.m. to 7:00 p.m., inclusive, Monday through Friday or the alternative peak hours designated for the transportation corridor by the transit agency; or
 - (2) two (2) or more routes departing 12 (eight (8) for Localities with minimum Net Densities of 15 units per acre or less pursuant to Paragraph 303(a)(4)) or more times between both 7:00 a.m. to 10:00 a.m., inclusive, and 3:00 p.m. to 7:00 p.m., inclusive, Monday through Friday or the alternative peak hours designated for the transportation corridor by the transit agency; or
 - (3) one (1) route departing two (2) or more times for a stop located in a Rural Area, or four (4) or more times for a stop located in a Locality larger than 40,000 in population but not more than 100,000, between both 7:00 a.m. to 10:00 a.m., inclusive, and 3:00 p.m. to 7:00 p.m., inclusive, Monday

through Friday or the alternative peak hours designated for the transportation corridor by the transit agency; or

- (4) two (2) or more routes departing four or more times for a stop located in a Rural Area, or six (6) or more times for a stop located in a Locality larger than 40,000 in population but not more than 100,000, between both 7:00 a.m. to 10:00 a.m., inclusive, and 3:00 p.m. to 7:00 p.m., inclusive, Monday through Friday or the alternative peak hours designated for the transportation corridor by the transit agency.
- (m) "Moderate Income" has the meaning set forth in Health and Safety Code Section 50093.
- (n) "MHP" shall mean the Multifamily Housing Program authorized and governed by Sections 50675 through 50675.14 of the Health and Safety Code and the regulations promulgated there under in 25 CCR Section 7300, *et seq.*
- (o) "Net Density" means the total number of dwelling units per acre of land to be developed for residential or mixed use, excluding allowed deductible areas. Allowed deductible areas are public dedications of land which are for public streets, public sidewalks, public open space, and public drainage facilities. Non-allowed deductible areas include utility easements, setbacks, private drives and walkways, landscaping, common areas and facilities, off street parking, drainage facilities exclusive to a development project, and any other related mitigation space required for development. Except for the determination of project eligibility pursuant to Section 303, the number of dwelling units in mixed use Qualifying Infill Projects shall be adjusted to include the number of units that could have been developed in the non-residential space of the development at the same densities as the residential space. This number shall not exceed 25 percent of the actual number of residential units in the development.
- (p) "NOFA" means a Notice of Funding Availability for the Program issued by the Department.
- (q) "Program" means the Infill Infrastructure Grant Program as implemented by these Guidelines.
- (r) "Qualifying Infill Area" means an area designated in the Program application that meets the criteria for a Qualifying Infill Area set forth in Section 303.

- (s) “Qualifying Infill Project” means a residential or mixed-use residential development project designated in the Program application that meets the criteria for a Qualifying Infill Project set forth in Section 303.
- (t) “Recipient” means the public agency, private developer or BID receiving a commitment of Program funds for an approved project.
- (u) “Rural Area” has the meaning set forth in Health and Safety Code Section 50199.21. To determine whether a project is located in a Rural Area, please refer to the document entitled Infill Infrastructure Grant (IIG) Program, Rural Area Determination Procedures.
- (v) “Site Control” means the applicant or developer has control of property through one or more of the following:
 - (1) fee title;
 - (2) a leasehold interest on the property with provisions that enable the lessee to make improvements on and encumber the property provided that the terms and conditions of any proposed lease shall permit, prior to grant funding, compliance with all program requirements;
 - (3) an enforceable option to purchase or lease which shall extend through the anticipated date of the Program award as specified in the Notice of Funding Availability;
 - (4) an executed disposition and development agreement, right of way, or irrevocable offer of dedication to a public agency;
 - (5) an executed encroachment permit for construction of improvements or facilities within the public right of way or on public land;
 - (6) an executed agreement with a public agency that gives the Applicant exclusive rights to negotiate with the agency for the acquisition of the site; provided that the major terms of the acquisition have been agreed to by all parties;
 - (7) a land sales contract or other enforceable agreement for acquisition of the property; or
 - (8) other forms of site control that give the Department equivalent assurance that the applicant or developer will be able to complete the Project and all housing designated in the application in a timely manner and in accordance with all the requirements of the Program.
- (w) “TCAC” means the California Tax Credit Allocation Committee.

- (x) "Transit Station" means a rail or light-rail station, ferry terminal, Bus Hub, or Bus Transfer Station. Included in this definition are planned transit stations otherwise meeting this definition whose construction is programmed into a Regional or State Transportation Improvement Program to be completed no more than five years from the deadline for submittal of applications set forth in the NOFA.
- (y) "Urbanized Area" means an incorporated city, or an urbanized area or urban cluster as defined by the United States Census Bureau, or an unincorporated area within an urban service area that is designated in the local general plan for urban development and is served by public sewer and water.
- (z) "Urban Uses" mean any residential, commercial, industrial, public institutional, transit or transportation passenger facility, or retail use, or any combination of those uses. Urban Uses do not include lands used for agricultural uses or parcels in excess of 15,000 square feet in size and containing only one single family residence.
- (aa) "Very-low Income" has the meaning set forth in Health and Safety Code Section 50105.

ARTICLE 2. Program Requirements

Section 303. Eligible Projects

- (a) To be eligible for funding, a Capital Improvement Project must be an integral part of, or necessary for the development of either a Qualifying Infill Project or the housing designated in the application for a Qualifying Infill Area. The Qualifying Infill Project or Area must:
 - (1) Be located in an Urbanized Area.
 - (2) Be located in a Locality which has an adopted housing element that has been found by the Department to be in substantial compliance with the requirements of Article 10.6 (commencing with Section 65580) of Chapter 3 of Division 1 of Title 7 of the Government Code, pursuant to Section 65585 of the Government Code. Housing element compliance must be established as described in the NOFA.

- (3) Include not less than 15 percent of the total residential units to be developed in the Qualifying Infill Project or Qualifying Infill Area as Affordable Units.
- (A) For developments that contain both rental and ownership units, units of either or both product types may be included in the calculation of the percentage of Affordable Units.
 - (B) A disposition and development agreement or other project- or area-specific agreement between the developer and the local agency having jurisdiction over the Project executed on or before August 24, 2007 shall be deemed to meet the affordability requirement of this paragraph if the agreement includes affordability covenants that subject the Qualifying Infill Project or Area to the production of affordable units for very low, low-, or moderate-income households.
 - (C) Replacement housing units required to be provided by a community redevelopment agency pursuant to redevelopment law shall not be counted toward meeting the requirements of this paragraph. For example, if the total number of residential units to be developed in the Qualifying Infill Project or Qualifying Infill Area is 100 units, and the development is required to provide 20 replacement housing units, then the 20 replacement units cannot be included when calculating the 15 percent threshold requirement. In this example, $100 \text{ residential units} \times 15\% = 15 \text{ affordable housing units}$. Therefore, 15 affordable housing units must be produced in addition to the 20 replacement housing units for a total of 35 affordable housing units needed to meet this threshold requirement.
- (4) Include average residential Net Densities on the parcels to be developed that are equal to or greater than the densities described in Subparagraph (B) of Paragraph (3) of Subdivision (c) of Section 65583.2 of the Government Code, except that in a Rural Area the average residential Net Densities on the parcels to be developed shall be at least ten units per acre. Minimum densities for Localities that are not Rural Areas may be found at Appendix 1 of the Housing Element Law memorandum issued by the Department and

found at

http://www.hcd.ca.gov/hpd/Default_2010census_update.pdf

- (5) Be located in an area designated for mixed-use or residential development (including areas where these types of development are allowable through a conditional use permit process) pursuant to one of the following adopted plans:
 - (A) A general plan adopted pursuant to Section 65300 of the Government Code.
 - (B) A project area redevelopment plan approved pursuant to Section 33330 of the Health & Safety Code.
 - (C) A regional blueprint plan as defined in the California Regional Blueprint Planning Program administered by the Business, Transportation and Housing Agency, or a regional plan as defined in Section 65060.7 of the Government Code.
- (6) Have any of the following:
 - (A) at least 75 percent of the area included within the Qualifying Infill Project or Qualifying Infill Area as previously improved (including areas where improvements have been demolished) or used for any use other than open space, agriculture, forestry, or mining waste storage; or
 - (B) at least 75 percent of the perimeter of the Qualifying Infill Project or Qualifying Infill Area adjoining parcels that are developed with Urban Uses, or is separated from parcels that are developed with Urban Uses only by an improved public right-of-way. In calculating this percentage, perimeters bordering navigable bodies of water and improved parks shall not be included; or
 - (C) the combination of at least 50 percent of the area included within the Qualifying Infill Project or Qualifying Infill Area as previously improved (including areas where improvements have been demolished) or used for any use other than open space, agriculture, forestry or mining waste storage, and at least 50 percent of the perimeter of the Qualifying Infill Project or Qualifying Infill Area adjoining parcels that are

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developed with Urban Uses, or is separated from parcels that are developed with Urban Uses only by an improved public right-of-way. In calculating this percentage, perimeters bordering navigable bodies of water and improved parks shall not be included.

- (7) If located in a redevelopment project area, meet the replacement housing requirements contained in Subdivision (a) of Section 33413 of the Health & Safety Code.
- (b) In addition, each Qualifying Infill Area must:
- (1) include entirely within its boundaries a Qualifying Infill Project which meets the definition and criteria for a Qualifying Infill Project that has received all land use entitlements required for construction, or has a land use entitlement application pending before the appropriate jurisdiction, which application has been deemed to be complete pursuant to the Permit Streamlining Act (Chapter 4.5 of Division 1 of Title 7 of the Government Code, commencing with Section 65920), where applicable;
 - (2) be a contiguous, coherent area treated as a discrete planning area in local planning documents and that does not contain extensions or satellite areas included solely to meet Program requirements; and
 - (3) include a Qualifying Infill Project that cannot contain more than 50 percent of the total housing units proposed for the Qualifying Infill Area.
- (c) A Qualifying Infill Project must be a discrete development. All the housing development components must have been planned as one development and jointly considered for local land use approval. They must also have common, affiliated or contractually-related ownership and financing structures.

Section 304. Eligible Costs

- (a) Program grant funds must be used for reasonable and necessary costs of a Capital Improvement Project required as a condition of, or approved by the local jurisdiction in connection with its approval of, entitlements for the Qualifying Infill Project or Qualifying Infill Area. Costs must be reasonable compared to similar infrastructure

projects of modest design in the general area of the Capital Improvement Project. Eligible costs include the construction, rehabilitation, demolition, relocation, preservation, acquisition, or other physical improvement of the following:

- (1) The creation, development, or rehabilitation of parks or open space. No more than \$200 million of the total available Program funds shall be awarded for this activity.
- (2) Water, sewer, or other utility service improvements and relocation.
- (3) Streets and road construction and improvement.
- (4) Required replacement of transit station parking spaces, not to exceed \$40,000 per space.
- (5) The minimum residential per unit parking spaces in parking structures as required by local land-use entitlement approval, not to exceed one parking space per residential unit, and not to exceed \$40,000 per permitted space.
- (6) Transit linkages and facilities, including, but not limited to, related access plazas or pathways, or bus and transit shelters.
- (7) Facilities that support pedestrian or bicycle transit.
- (8) Traffic mitigation devices, such as street signals.
- (9) Site preparation or demolition.
- (10) Sidewalk or streetscape improvements, including, but not limited to, the reconstruction or resurfacing of sidewalks and streets or the installation of lighting, signage, or other related amenities.
- (11) Storm drains, storm water detention basins, culverts, and similar drainage features.
- (12) Required environmental remediation necessary for the development of the Capital Improvement Project, where the cost of the remediation does not exceed 50 percent of the Program grant amount.

- (13) Site acquisition or control for the Capital Improvement Project including, but not limited to, easements and rights of way.
- (14) Other capital asset costs approved by the Department and required as a condition of local approval for the Capital Improvement Project.
- (b) Impact fees required by local ordinance are eligible for funding, said fees are not to exceed five (5) percent of the total Program grant amount, only if used for the identified eligible Capital Improvement Project.
- (c) Costs are not eligible for funding if there is another feasible, available source of funding for the Capital Asset or portion thereof to be funded by the Program.
- (d) The following costs are not eligible:
 - (1) Parking spaces and structures, except as provided in Paragraphs (a)(4) and (5) above.
 - (2) For buildings with parking structures below housing, costs of site acquisition for housing and mixed use structural improvements. For all other building types, the costs of site acquisition, grading and foundations for housing and mixed use structural improvements.
 - (3) Costs of housing or mixed use structures.
 - (4) Any costs not permitted as a Capital Asset cost under Government Code Section 16727.
 - (5) Soft costs related to ineligible costs.
 - (6) In lieu fees for local inclusionary programs.
 - (7) Brownfield cleanup activities eligible for funding under the CalReUSE program administered by the California Pollution Control Financing Authority.

Section 305. Grant Terms and Limits

- (a) The total maximum grant amount shall be limited based on the number of units in the Qualifying Infill Project or Qualifying Infill Area, the bedroom count of these units, and the density and affordability of the housing to be developed. Replacement housing units required to be provided by a community redevelopment agency pursuant to redevelopment law may be included in the calculation of the total maximum grant amount. The Department shall publish a table listing per unit grant limits for each NOFA based on these factors. The total actual grant amount shall be based upon the lesser of the amount necessary to fund the Capital Improvement Project or the maximum amount calculated from the table published by the Department.
- (b) For Qualifying Infill Projects, the Program grant amount shall not be less than \$500,000 or \$250,000 for Rural Areas. The Program grant amount for Qualifying Infill Projects shall not exceed \$4 million for each NOFA. For Qualifying Infill Areas and multi-phased Qualifying Infill Projects with over 200 units that elect to be scored pursuant to Section 310, the Program grant amount shall not be less than \$2 million, or \$1 million for Rural Areas. The Program grant amount for Qualifying Infill Areas and multi-phased Qualifying Infill Projects with over 200 units that elect to be scored pursuant to Section 310 shall not exceed \$30 million for each NOFA. Over the life of the Program, the total of all Program awards for any single Qualifying Infill Project, Qualifying Infill Area or multi-phased Qualifying Infill Project with over 200 units, including the Qualifying Infill Project within a Qualifying Infill Area, shall not exceed \$50 million. The Department will fund only one application for each Capital Improvement Project or portion thereof. In each NOFA, the Department will fund only one application for each Qualifying Infill Project and Qualifying Infill Area.
- (c) The applicant must demonstrate that the grant does not result in the developer or developers benefiting from the Qualifying Infill Project or Area or the Capital Improvement Project by realizing a profit that exceeds the commercially reasonable range for other developments of similar size and level of risk.
- (d) The applicant must show that Program funds are reasonably necessary for Project feasibility and no other source of compatible funding is reasonably available, including excess surplus amounts as defined by Paragraph (1) of Subdivision (g) of Section 33334.12

of the Health and Safety Code held by redevelopment agencies in their Low- and Moderate-Income Housing Funds.

- (e) The applicant must demonstrate that the Qualifying Infill Project or the housing to be developed in the Qualifying Infill Area, as proposed in the application, is financially feasible as evidenced by documentation such as, but not limited to, a market study, project pro-forma, sources and uses statement, or other feasibility documentation that is standard industry practice for the type of proposed housing development.
- (f) Where the Qualifying Infill Project is receiving low income housing tax credits, the Recipient may provide Program funds to the developer of the Qualifying Infill Project in the form of a zero (0) percent, deferred payment loan, with a term of at least 55 years. The loan may be secured by a deed of trust which may be recorded with the local county recorder's office. Provided, however, the beneficiary of the loan shall not under any circumstances exercise any remedy, including, without limitation, foreclosure, under the deed of trust without the prior written consent of the Department, in its sole and absolute discretion. The loan may not be sold, assigned, assumed, conveyed or transferred to any third party without prior written Department approval in its sole and absolute discretion. For Projects assisted by other Department funding programs, repayment of the loan between the Recipient and the developer shall be limited to (1) no repayments to the Recipient until the maturity date or (2) repayment only from "distributions" from the project within the meaning of Title 25, California Code of Regulations Section 8301(h). The Recipient shall be responsible for all aspects of establishing and servicing the loan. The provisions governing the loan shall be entirely consistent with these Guidelines and all documents required by the Department with respect to the use and disbursement of Program funds. All documents governing the loan between the Recipient and the developer borrower shall contain all the terms and conditions set forth in this subdivision and shall be subject to the review and approval of the Department prior to making the loan. This subdivision shall apply to any Qualifying Infill Project receiving low income housing tax credits regardless of the date of the Program award.
- (g) BID applicants must demonstrate that receipt of Program funds will not result in a decrease in the level of assessments for businesses in the business improvement area as provided in Chapter 3, Part 6, Division 18 (commencing with Section 36530) of the Streets and Highways Code.

- (h) Conditions precedent to the first disbursement of Program funds shall include receipt of all required public agency entitlements and all required funding commitments for the Qualifying Infill Project supported by the Capital Improvement Project. Alternatively, if the Qualifying Infill Project includes multiple phases or developments, no program funds shall be disbursed until all entitlements and funding commitments for at least the first phase of the Qualifying Infill Project have been received.
- (i) Prior to the disbursement of grant funds to joint applicants where one of the applicants is a BID, they shall submit to the Department documentation from the local permitting authority demonstrating that the applicant has received building permits for Affordable Units associated with the Qualifying Infill Project within the Qualifying Infill Area in an amount equal to or greater than the number of housing units in the approved grant application in terms of number of bedrooms and level of affordability.
- (j) Funds will be disbursed as progress payments for approved eligible costs incurred subject to the requirements of these Guidelines. In a Qualifying Infill Area, disbursement of funds for improvements in excess of those needed for the first phase of the Qualifying Infill Project shall be conditioned i) on the need for the additional improvements at the time of the disbursement request and ii) the receipt of evidence acceptable to the Department that the housing development(s) proposed to be supported by the additional fund disbursement are consistent with applicable planning and zoning requirements.
- (k) Where approval by a local public works department, or its equivalent, is required for the Capital Improvement Project, the applicant must submit, prior to the disbursement of grant funds, a statement from that department, or other documentation acceptable to the Department, indicating that the Capital Improvement Project is consistent with all applicable policies and plans enforced or implemented by that department.

Section 306. Performance Requirements

- (a) Recipients shall begin construction of the housing units to be developed in the Qualifying Infill Project and the housing designated in the application for a Qualifying Infill Area within the

time set forth in the Standard Agreement but not more than 2 years from the date of the Program grant award.

- (b) The housing units to be developed in the Qualifying Infill Project and the housing designated in the application for a Qualifying Infill Area must be completed, as evidenced by receipt of a certificate of occupancy, within the period of time set forth in the Standard Agreement, but not more than five (5) years from the date of the award of the Program grant.
- (c) Program funds must be disbursed in accordance with deadlines specified in the Standard Agreement, and in no event later than the following disbursement deadlines. The Department may approve a disbursement extension deadline request up to the applicable Maximum Disbursement Extension Deadline (as shown below) if the Recipient demonstrates, to the satisfaction of the Department, that it has complied with the following performance milestones related to the Notice of Funding Availability (NOFA) round in which the Department made its award to the Recipient:

Table 1

NOFA Date	Current Disbursement Deadline	PERFORMANCE MILESTONE DATES		Maximum Disbursement Extension Deadline
		Standard Agreement Executed	Disbursement Agreement Executed	
February 28, 2008	February 1, 2012	June 30, 2012	June 30, 2012	February 1, 2015
January 30, 2009	February 1, 2013	June 30, 2013	June 30, 2013	February 1, 2016
May 2013	October 1, 2017	June 30, 2014	June 30, 2014	N/A
September 2014	October 1, 2017*	June 30, 2016	June 30, 2016	N/A

* Pursuant to Health and Safety Code 53545.15

- (d) Recipients will be required to repay disbursed Program grant funds where construction of residential units used as the basis for calculating the grant amount pursuant to Section 305(a) has not received building permits within two (2) years from the date of the Program grant award. The amount to be repaid shall be the same proportion to the total grant amount as the number of residential

units where construction has not timely commenced to the total number of designated residential units.

- (e) Notwithstanding anything to the contrary herein, the Department will not consider, nor will it approve, a disbursement extension deadline request for any and all awards that are provided pursuant to the May 2013 NOFA. As such there shall not be a Maximum Disbursement Extension Deadline for said award, no extension will be available.

ARTICLE 3. Application Procedures

Section 307. Eligible Applicants and Application Process

- (a) The Department shall offer Program funds through a NOFA in accordance with the procedures for MHP NOFAs set forth in 25 CCR Section 7317, consistent with the requirements of these Guidelines. Each NOFA may allocate funds between Qualifying Infill Projects and Qualifying Infill Areas. Applicants cannot submit an application for a Capital Improvement Project, or portion thereof, for which an application is submitted under the other allocation in the same NOFA or for which an award of Program funds has been made under previous NOFAs. Applicants may submit applications for different phases of a Capital Improvement Project under different NOFA's.
- (b) Applications shall be made on forms made available by the Department.
- (c) Applicant Entities shall be the following:
 - (1) For Qualifying Infill Projects, the nonprofit or for-profit developer of the Qualifying Infill Project is a required applicant, either by itself or as a joint applicant with a Locality or public housing authority with jurisdiction over the area in which the Qualifying Infill Project is located. Public agencies are not eligible as sole applicants for Qualifying Infill Projects. Transit districts, regional planning agencies, and joint powers authorities are not eligible joint applicants, except that joint powers authorities comprised entirely of eligible applicants are eligible, jointly with the developer of the Qualifying Infill Project, where the authority granted to

the joint powers authority encompasses the activities necessary to comply with the requirements of the Program.

- (2) For Qualifying Infill Areas, a Locality or public housing authority that has jurisdiction over the Qualifying Infill Area, or one of these entities together with a BID as joint applicants, provided that the BID includes, or is contained within, the Qualifying Infill Area. Transit districts, regional planning agencies, and joint powers authorities are not eligible applicants or joint applicants, except that joint powers authorities comprised entirely of eligible applicants are eligible, either individually or jointly with other eligible applicants, where the authority encompasses the activities necessary to comply with the requirements of the Program.
- (3) Joint applications are permitted where each applicant individually is an eligible applicant. All recipients are to be joint and severally liable for breach of the Standard Agreement.
- (d) The Department shall evaluate applications for compliance with the threshold requirements listed in Section 308, and score them based on the application selection criteria listed in Sections 309 or 310. The highest scoring applications that meet all threshold requirements shall be selected for funding as specified in the NOFA, except that the Department may make adjustments in this procedure to meet approximately the following geographic distribution objectives of each NOFA:
 - (1) target 45 percent of total funds to projects located in Southern California (Imperial, Kern, Los Angeles, Orange, Riverside, Santa Barbara, San Bernardino, San Diego, San Luis Obispo, or Ventura);
 - (2) target 10 percent of total funds to projects located in the Central Valley (Fresno, Kings, Madera, Merced, San Joaquin, Stanislaus and Tulare counties); and
 - (3) target 45 percent of total funds to projects located in Northern California [those not located in the counties specified in previous paragraphs (d)(1) and (d)(2)].
- (e) The NOFA may specify a minimum number of ranking points for a Project to be eligible for funding.

- (f) The Department may elect to not evaluate compliance with some or all threshold requirements for applications that are not within a fundable range, as indicated by a preliminary point scoring. In the event of two or more applications having the same rating and ranking scores, the Department will apply a tie breaking criteria outlined in the NOFA.
- (g) Applications selected for funding shall be approved subject to conditions specified by the Department.

Section 308. Application Threshold Requirements

- (a) To be considered for Program funding, applications must include a Qualifying Infill Project, including those Qualifying Infill Projects used to establish the eligibility of a Qualifying Infill Area, and meet all of the following threshold requirements:
 - (1) The application must be for a Capital Improvement Project eligible pursuant to Section 303 and the applicant must be eligible pursuant to Section 307(c).
 - (2) All proposed uses of Program funds must be eligible pursuant to Section 304.
 - (3) The application must be sufficiently complete to assess the feasibility of the application and its compliance with Program requirements.
 - (4) Construction of the Capital Improvement Project has not commenced as of the deadline for submittal of applications set forth in the NOFA.
 - (5) The Capital Improvement Project is infeasible without Program funds, and other available funds are not being supplanted by Program funds.
 - (6) The applicant or developer of the Capital Improvement Project must have site control sufficient to ensure the timely commencement of the Capital Improvement Project as determined by the Department.
- (b) To be eligible for funding, a Qualifying Infill Area must meet all of the following threshold requirements:

- (1) It must have a definite, described border.
 - (2) It must contain at least one Qualifying Infill Project completely within its borders.
 - (3) It must be subject to a public plan or ordinance adopted for the purpose of guiding development within the area. The process leading to the adoption of this plan or ordinance must have public notification as required by law and involved significant input from affected stakeholders, including potential developers. Examples of qualifying plans include specific plans, redevelopment area plans, or transit station area plans.
 - (4) The applicant must identify a mechanism, such as a minimum density ordinance or a recorded, binding covenant, acceptable to the Department to reliably ensure that future development will occur at an overall Net Density equaling or exceeding that set forth in Section 303(a)(4) and the Net Density proposed in the application for the purposes of rating pursuant to Section 310 and determining the maximum grant amount pursuant to Section 305. This mechanism must be in effect and legally enforceable prior to the disbursement of Program funds.
- (c) Applicants shall designate the proposed residential units in the Qualifying Infill Project, or within the Qualifying Infill Area that the applicant intends to utilize for the purpose of establishing the maximum Program grant amount pursuant to Section 305 and for the purpose of rating applications pursuant to Sections 309 or 310. Any such designated units must be utilized for both purposes.

Application must demonstrate that the percentage of Affordable Units, and units restricted to other income limits and rents as designated for the purpose of determining the maximum Program grant amount in Section 305 and for rating purposes pursuant to Sections 309 or 310, shall be maintained or exceeded through the completion of each development phase or each residential development proposed in the application. The Department may modify the requirement set forth in the previous sentence to conform to a similar local public agency requirement, provided that it determines that the local requirement will reliably result in completion of the required Affordable Units within a reasonable period of time.

- (d) Construction shall not have commenced on any units designated in the application prior to the deadline for applications submittal set forth in the NOFA, except for Affordable Units identified in a disposition and development agreement or other project- or area-specific agreement between the developer and the local agency having jurisdiction over the Affordable Units executed on or before August 24, 2007 that requires the Affordable Units to be built as a condition of local approval for the other units designated in the application, where the developer of the other units contributed funds or land to cover costs of developing the Affordable Units, in an amount not less than 25 percent of the total development cost of the Affordable Units.

Section 309. Application Selection Criteria for Qualifying Infill Projects

Applications for Capital Improvement Projects associated with Qualifying Infill Projects shall be rated using the criteria detailed below. Applicants may elect to exclude from consideration discrete phases or portions of their developments, provided that these portions or phases are not included for other purposes under these Guidelines, including rating pursuant to this Section 309 and determining the maximum grant amount calculated pursuant to Section 305(a). For a Qualifying Infill Project consisting of a multi-phased development with 200 or more residential units which meets the eligibility and threshold requirements for a Qualifying Infill Project under Sections 303 and 308 respectively, the applicant may elect to be rated pursuant to the criteria in Section 310 and ranked for funding with Qualifying Infill Area applications for funds allocated in the NOFA for Qualifying Infill Areas. Points are not cumulative within each subparagraph unless otherwise specified.

(a) Project Readiness – 100 points Maximum

Readiness points will be awarded as follows:

(1) Environmental Review Status - 30 points maximum

Applications will be awarded points based on the extent to which environmental reviews have been completed for the Qualifying Infill Project:

- (A) Completion of all necessary environmental clearances or mitigated negative declaration, including those required under the California Environmental Quality Act and the National Environmental Policy Act and all

applicable time periods for filing appeals or lawsuits have lapsed, shall receive 30 points.

- (B) Issuance of a public notice of the availability of a draft environmental impact report, negative declaration, or environmental assessment, shall receive 15 points.

(2) Land Use Entitlement Status - 30 points maximum

Applications will be awarded points based on the extent that the Qualifying Infill Project can secure necessary entitlements from the local jurisdiction within a reasonable period of time, as follows:

- (A) Applications which demonstrate that all necessary discretionary local land use approvals, excluding design review, have been granted for the Qualifying Infill Project, including sites zoned for residential use by right pursuant to Subdivision (h) and (i) of Section 65583.2 of the Government Code, shall receive 30 points.
- (B) Applications which demonstrate that the Qualifying Infill Project is consistent with local planning documents and zoning ordinances and applications for all necessary discretionary local land use approvals, excluding design review, have been submitted, accepted, and deemed complete by the appropriate local agencies shall receive 15 points.

(3) Funding Commitments - 20 points maximum

Applications will be awarded points as follows based on the extent the applicant can secure enforceable funding commitments for the combined development cost of the Capital Improvement Project and the Qualifying Infill Project.

- (A) Funding Commitment Levels:

Rental housing developments

Construction Period	Permanent Period	Points
At least 90% of the total development cost less deferred costs	At least 90% of the total development cost less deferred costs	20

Construction Period	Permanent Period	Points
At least 75% of the total development cost less deferred costs	At least 75% of the total development cost less deferred costs	10

Construction Period		Points
At least 50% of total development cost less deferred costs		5

Ownership developments

Construction Period	Permanent Period	Points
At least 90% of the total development cost including all necessary public agency funds, less deferred costs	At least 90% of the total development cost including all necessary public agency funds less private mortgage financing and deferred costs	20

Construction Period	Permanent Period	Points
At least 75% of the total development cost less deferred costs	At least 75% of the total development cost less deferred costs	10

Construction Period		Points
At least 50% of the total development cost including all necessary public agency funds, less deferred costs		5

Combined rental and ownership developments

Applications designating both rental and ownership units will be awarded points on the funding commitments for the combined development cost of the Capital Improvement Project and the Qualifying Infill Project on a percentage basis in proportion to the number of rental and ownership units. For example, in a 100 unit development consisting of 80 rental units and 20 ownership units, the amount of points will be weighted 80 percent for the funding commitments associated with the rental units and 20 percent for the funding commitments associated with the ownership units, then the respective scores for each component will be combined, not to exceed 20 points.

- (B) Allowable Program funds and low income housing tax credit equity contributions (without the necessity of a tax credit reservation letter) will be considered committed in this calculation. A land donation in fee for no other consideration that is supported by an appraisal or purchase/sale agreement ("Land Donation") or a local fee waiver resulting in quantifiable cost savings for the Project where those fees are not otherwise required by federal or state law ("Local Fee Waiver") may be considered a funding commitment. The value of the Land Donation will be the greater of either the original purchase price or the current appraised value as supported by an independent third party appraisal prepared by a qualified appraiser (MAI) conducted within one year of the application deadline. A funding commitment in the form of a Local Fee Waiver must be supported by written documentation from the local public agency. Funds conditionally reserved under the following

programs shall be accepted as funding commitments: the Department of Housing and Urban Development's (HUD) Supportive Housing Program (SHP), Home Investment Partnerships Program (HOME), Community Development Block Grant Program (CDBG), and the California Department of Mental Health's Mental Health Services Act Program. Funding commitments issued by the Department simultaneously with the commitment of Program funds will be considered committed for the purposes of this paragraph.

- (C) For self-help homeownership developments utilizing USDA 502 Loans, those funds shall be considered committed if the applicant is an active 523 grantee that has site control of the capital improvement project and a letter of support from USDA.
- (D) Owner equity contributions or developer funds shall not be substituted later with a different funding source or forgone if committed in the application, except that a substitution may be made for up to 50% of deferred developer fee. The Department may require the applicant to evidence the availability of the proposed amount of owner equity or developer funds.

(4) Local Support - 20 points maximum

Points will be awarded for one of the following:

(A) Points will be awarded for one of the following:

- (i) Obtaining a funding commitment or commitments from a local public agency or agencies for the Qualifying Infill Project or Capital Improvement Project equivalent to at least 25 percent of the Program grant will be awarded twenty (20) points.
- (ii) Obtaining a funding commitment or commitments from a local public agency or agencies for the Qualifying Infill Project or Capital Improvement Project equivalent to at least 15 percent of the Program grant will be awarded five (5) points.

- (B) For purposes of awarding points pursuant to this section, the following will also be considered a commitment of local support:
 - (i) Conditionally reserved Federal or State program funds administered by a local public agency or agencies for the Qualifying Infill Project or Capital Improvement Project shall also be accepted as funding commitments demonstrating local support. Such programs include, but are not limited to, the Department of Housing and Urban Development's (HUD) Supportive Housing Program (SHP), Home Investment Partnerships Program (HOME), and Community Development Block Grant Program (CDBG).
 - (ii) A Land Donation or a Local Fee Waiver may be considered a commitment of local support. The value of the Land Donation will be the greater of either the original purchase price or the current appraised value as supported by an independent third party appraisal prepared by a qualified appraiser (MAI) conducted within one year of the application deadline. A commitment of local support in the form of a Local Fee Waiver must be supported by written documentation from the local public agency.

(b) Affordability – 60 points Maximum

Applications will be awarded points based on the percentage of units in the Qualifying Infill Project restricted to occupancy by various income groups. Applications designating only rental units in the Qualifying Infill Project may elect to have their applications scored in accordance with any one of the three following scales. Applications designating ownership units, or a combination of rental and ownership units, must utilize the scale set forth in paragraph 3 below.

- (1) The scale used by MHP, as specified in 25 CCR Section 7320(b)(1). Applicants making this election shall be awarded 60/35 points for every one (1) point they would be

eligible to receive using MHP's system (so that applications eligible for the maximum possible 35 points using the MHP scale receive the maximum possible points in this category for the Program)

- (2) The scale used by TCAC to score 9 percent low income housing tax credit applications, under the Lowest Income point category. Applicants making this election shall be awarded 60/52 points for every one (1) point they would be eligible to receive using TCAC's system (so that applications eligible for the maximum possible 52 points using the 9 percent scale receive the maximum possible points in this category for the Program).
- (3) The following scale:
 - (A) .30 points will be awarded for each percent of total units that are owner-occupied and restricted to occupancy by households with incomes not exceeding the Moderate Income limit.
 - (B) .80 points will be awarded for each percent of total units that are owner-occupied and restricted to occupancy by households with incomes not exceeding the Lower Income limit.
 - (C) .40 points will be awarded for each percent of total units that are rental units restricted to occupancy by households with incomes not exceeding 50 percent of Area Median Income.
 - (D) 2.0 points will be awarded for each percent of total units that are rental units restricted to occupancy by households with incomes less than or equal to 30 percent of Area Median Income, or that are or will be covered by a long-term, project-based rental or operating subsidy contract under a program that either has a history of predominately serving households at this income level or that by design will reliably serve this population.
- (4) Owner-occupied units shall be subject to a recorded covenant with a duration of at least 30 years that includes either a resale restriction or a requirement for sharing equity upon resale.
- (5) For rental units used as the basis for point scores in the application, rent limits for initial occupancy and for each

subsequent occupancy shall be based on unit type, applicable income limit, and area in which the Qualifying Infill Project is located, following the calculation procedures used by TCAC. Rents shall be restricted in accordance with the rent and income limits specified in the application and approved by the Department, and set forth in a legally binding agreement recorded against the Qualifying Infill Project with a duration of at least 55 years. Rents shall not exceed 30 percent of the applicable income eligibility level.

(c) Density – 40 points Maximum

Applications will be scored based on the extent to which the average Net Density of the Qualifying Infill Project, adjusted by unit size, exceeds the required density specified in Section 303(a)(4). Maps used for calculating Net Density shall be evidenced by a date stamped map certified by a California State-licensed professional such as an engineer, surveyor, or landscape architect.

- (1) Net density will be adjusted by unit size (and commercial space as applicable) as follows:

Example = Mixed-use project, three-quarter ($\frac{3}{4}$) acre, urban site, with 12 1-bedroom units at 800 sq. ft. each, 12 2-bedroom units at 1,100 sq. ft. each, and 5,000 sq. ft. of commercial space.

Based on the Density factors in the chart below, the equation looks like this:

$$12 \times 0.9 \text{ (1 bedroom units)} = 10.8$$

$$12 \times 1.2 \text{ (2 bedroom units)} = 14.4$$

Then, to attribute density to the commercial space, utilize the square footage and bedroom count of the largest unit in the project to determine how many whole units would fit into the square footage of the commercial space.

For our example, the largest unit is a 2-bedroom, 1,100 square foot unit. 5,000 square feet (commercial space) would accommodate four (4) of these units. Multiply that number by the appropriate factor:

$$4 \times 1.2 \text{ (2-bedroom units)} = 4.8$$

To calculate the percentage at which this project meets or exceeds the required density add all three resulting calculations above, and divide by the minimum density required for your site (in this case 30 units/acre for an urban site), then by the number of acres in the project, then multiply by 100 (for percentage):

$$(10.8+14.4+4.8)/30 = 1/.75=1.3333 \times 100=133.33\%$$

Unit Size (Bedrooms)	Factor
0-Bdrm	0.7
1-Bdrm	0.9
2-Bdrm	1.2
3-Bdrm	1.6
4-Bdrm	1.8

- (2) Points will be awarded in accordance with the following schedule:

Adjusted Net Density as a Percentage of Required Density	Points
150% or More	40
140% to 149.9%	30
130% to 139.9%	20
120% to 129.9%	15
110% to 119.9%	10
Less than 110%	0

(d) Access to Transit – 20 points Maximum

Points will be awarded based on the proximity of the Qualifying Infill Project to a Transit Station or Major Transit Stop as follows. The distance to a Transit Station or Major Transit Stop shall be evidenced by a date stamped map certified by a California State-licensed professional such as an engineer, surveyor, or landscape architect.

- (1) 20 points will be awarded to a Qualifying Infill Project within one half mile of a Transit Station or Major Transit Stop as defined in Sections 302(I)(1) or (2) measured by a walkable route from the nearest boundary of the Qualifying Infill

Project to the outer boundary of the site of the Transit Station or Major Transit Stop.

- (2) 10 points will be awarded to a Qualifying Infill Project within one mile of a Transit Station or Major Transit Stop as defined in Sections 302(l)(1) or (2) measured by a walkable route from the nearest boundary of the Qualifying Infill Project to the outer boundary of the site of the Transit Station or Major Transit Stop.
- (3) 5 points will be awarded to a Qualifying Infill Project within one mile of a Transit Station or a Major Transit Stop as defined in Sections 302(l)(3) or (4), measured by a walkable route from the nearest boundary of the Qualifying Infill Project to the outer boundary of the site of the Transit Station or Major Transit Stop.
- (4) For the purposes of this subdivision (d), “walkable route” shall mean a route which after completion of the proposed Project, shall be free of negative environmental conditions that deter pedestrian circulation, such as barriers; stretches without sidewalks or walking paths; noisy vehicular tunnels; streets, arterials or highways without regulated crossings that facilitate pedestrian movement; or stretches without lighted streets.

(e) Proximity to Amenities – 20 points Maximum

Applications will be awarded points based on the proximity or accessibility of the Qualifying Infill Project to the following existing amenities or amenities that will be in service when the Qualifying Infill Project is completed. The distance to amenities shall be evidenced by a date stamped map certified by a California State-licensed professional such as an engineer, surveyor, or landscape architect.

Applications may receive only one award of points from each of the following subcategories:

- (1) The Qualifying Infill Project is within one-quarter (1/4) mile of a public park (one-half (1/2) mile for Rural Area projects) (not including school grounds unless there is a bona fide, formal joint use agreement between the jurisdiction responsible for the parks/recreational facilities and the school district providing availability to the general public of the school

grounds and/or facilities), six (6) points, or within one-half (1/2 mile) (one (1) mile for Rural Area projects), four (4) points.

- (2) The Qualifying Infill Project is within one (1) mile of a locally recognized employment center with a minimum of fifty (50) full-time employees (two (2) miles for Rural Area projects), seven (7) points, or within two (2) miles (four (4) miles for Rural Area projects), four (4) points. An employment center is a locally recognized concentration of employment opportunities practically available to the residents of the proposed Qualifying Infill Project, such as a large hospital, industrial park, commercial district, or office area.
- (3) The Qualifying Infill Project is within one (1) mile of a locally recognized retail center with a minimum of fifty (50) full-time employees (two (2) miles for Rural Area projects), seven (7) points, or within two (2) miles (four (4) miles for Rural Area projects), four (4) points. A retail center is a downtown area or recognized neighborhood or regional shopping mall.
- (4) For Qualifying Infill Projects where at least 50 percent of the units have two or more bedrooms, the Qualifying Infill Project is within one-quarter (1/4) mile of a public school or community college that residents of the Qualifying Infill Project may attend (one-half (1/2) mile for Rural Area projects), seven (7) points, or within one-half (1/2 mile) (one (1) mile for Rural Area projects), four (4) points.
- (5) For a Qualifying Infill Project that is a Special Needs or single room occupancy development, as defined by TCAC, or a Special Needs or Supportive Housing project, as defined under MHP, the Qualifying Infill Project is located within one-half (1/2) mile of a social service facility that operates to serve residents of the Qualifying Infill Project, seven (7) points or within one (1) mile, four (4) points.
- (6) For a Qualifying Infill Project that is reserved for qualified senior citizens under Sections 51.2, 51.3 and 51.4 of the Civil Code, the Qualifying Infill Project is within one-quarter (1/4) mile of a senior center or a facility regularly offering services specifically designed for seniors (one-half (1/2) mile for Rural Area projects), seven (7) points or within one-half (1/2 mile) (one (1) mile for Rural Area projects), four (4) points.

(f) Consistency with Regional Plans –10 points Maximum

10 points will be awarded if the Qualifying Infill Project's location is consistent with an adopted regional blueprint plan or other adopted regional growth plan (including, but not limited to, an adopted regional transportation plan or sustainable communities strategy) with the stated intent of fostering efficient land use and that encourages infill development. Such plans must have been adopted by a regional council of government, metropolitan planning organization, or regional transportation planning agency, and must be in effect by the application due date. Evidence of consistency with such plans must be demonstrated by a letter or resolution executed by an officer of, or an equivalent representative from the regional council of government, metropolitan planning organization, or regional transportation planning agency confirming such consistency. No points will be awarded if either (i) the Qualifying Infill Project is located in an area without an adopted plan, or (ii) the Qualifying Infill Project has failed to demonstrate consistency with an adopted plan by the aforementioned letter or resolution. As all jurisdictions are statutorily subject to Regional Housing Need Allocation (RHNA) Plans, which are required to promote infill development, a RHNA Plan does not qualify as an adopted plan under this scoring category.

Section 310. Application Selection Criteria for Qualifying Infill Areas and Large Multi-phased Projects

Applications for Capital Improvement Projects associated with Qualifying Infill Areas shall be awarded points using the criteria detailed below. Applicants may elect to exclude from consideration discrete phases or portions of the developments within the Qualifying Infill Area, provided that these portions or phases are not included for other purposes under these Guidelines, including rating pursuant to this Section 310 and the maximum grant amount calculated pursuant to Section 305(a). For a Qualifying Infill Project consisting of a multi-phased development with 200 or more residential units which meets the eligibility and threshold requirements for a Qualifying Infill Project under Sections 303 and 308 respectively, the applicant may elect to be rated pursuant to the criteria in this Section 310 and ranked for funding with Qualifying Infill Area applications for funds allocated in the NOFA for Qualifying Infill Areas. Points are not cumulative within each subparagraph unless otherwise specified.

(a) Area Readiness – 90 points Maximum

Readiness points will be awarded as follows:

- (1) Environmental Review Status - 25 points maximum
- (A) Applications for Qualifying Infill Areas for which a program, master or tiered environmental impact report for which the applicable time periods to file appeals or lawsuits have lapsed or mitigated negative declaration has been adopted by the appropriate agency and the developments included in the application constitute subsequent projects subject to environmental review as such pursuant to CEQA Guidelines, Chapter 3, Title 14, CCR, commencing with Section 15000 will receive 25 points.
 - (B) Applications for Qualifying Infill Areas for which a draft of a program, master or tiered environmental impact report has been certified by the appropriate agency and the developments included in the application will constitute subsequent projects subject to environmental review as such pursuant to CEQA Guidelines, Chapter 3, Title 14, CCR, commencing with Section 15000 will receive 15 points.
 - (C) Applications for Qualifying Infill Areas for which a draft of a program, master or tiered environmental impact report has been completed and filed with the appropriate agency and the developments included in the application will constitute subsequent projects subject to environmental review as such pursuant to CEQA Guidelines, Chapter 3, Title 14, CCR, commencing with Section 15000 will receive five (5) points.
 - (D) Applications for Qualifying Infill Areas in which not less than 50 percent of the land area is on sites that have been subject to a Phase 1 Site Assessment within one (1) year prior to the application due date will receive five (5) points.
- (2) Land Use Entitlement Status - 25 points maximum

Applications will be awarded points based on the extent that developments within the Qualifying Infill Area can secure necessary entitlements from the local jurisdiction within a reasonable period of time.

- (A) Applications which meet the criteria in Subparagraph (C) and demonstrate that all necessary discretionary local land use approvals, excluding design review, for not less than half of the housing units proposed for development within the Qualifying Infill Area have been granted, including sites zoned for residential use by right pursuant to Subdivision (h) and (i) of Section 65583.2 of the Government Code, will receive 25 points.
- (B) Applications which meet the criteria in Subparagraph (C) and demonstrate that all necessary discretionary local land use approvals, excluding design review, for not less than one third of the housing units proposed for development within the Qualifying Infill Area have been granted, including sites zoned for residential use by right pursuant to Subdivision (h) and (i) of Section 65583.2 of the Government Code, will receive 20 points.
- (C) Applications which demonstrate that the Qualifying Infill Area is subject to a general plan, specific plan, redevelopment area plan, community plan or similar area-specific plan adopted by the Locality in which the Qualifying Infill Area is located and the housing proposed in the application is consistent with such plan will receive 10 points.
- (D) Applications which demonstrate that all approvals by a local public works department, or its equivalent, for the Capital Improvement Project within the Qualifying Infill Area have been granted will receive five (5) points.

(3) Funding Commitments - 20 points maximum

Applications will be awarded points based on the extent to which the housing in the Qualifying Infill Area and the Capital Improvement Project can secure sufficient funding in a timely manner, as follows (An application may not receive points under both paragraphs (B) and (C)):

- (A) Up to 10 points shall be awarded based on the percentage of total residential units to be developed in the Qualifying Infill Area that are in developments for which enforceable commitments have been obtained

for all necessary construction period funding, in accordance with the following schedule, and excluding tax credit equity, tax exempt bonds, and funding provided by this and other Department funding program(s) provided that the other Department funding is awarded prior to or simultaneously with the final rating and ranking of the Program application. A land donation supported by an appraisal may be considered a commitment. For self-help homeownership developments utilizing USDA 502 loans, those funds shall be considered committed if the active 523 grantee has site control and a letter of support from USDA.

Percentage of Total Residential Units In Developments with Committed Construction Funding	Points
75% or more	10.0
50% to 74.9%	7.5
25% to 49.9%	5.0
10% to 24.9%	2.5

- (B) Ten (10) points shall be awarded for obtaining enforceable commitments for all construction period funding for the Capital Improvement Project, excluding funding provided by another Department funding program provided that this funding is awarded prior to or simultaneously with the final rating and ranking of the Program application. A land donation supported by an appraisal may be considered a commitment.
- (C) Five (5) points shall be awarded for obtaining documentation including, but not limited to, letters of intent, executive-approved term sheets, or a letter from a public agency expressing interest and/or intent to fund the Capital Improvement Project.
- (D) Owner equity contributions or developer funds shall not be substituted later with a different funding source or forgone if committed in the application, except that a substitution may be made up to 50 percent of the deferred developer fee. The Department may require the applicant to evidence the availability of the proposed amount of owner equity or developer funds.

(4) Local Support - 20 points maximum

- (A) Points will be awarded for obtaining a commitment or commitments of state or locally administered funds authorized under the 2009 federal economic stimulus package ("Stimulus Funds") for the Qualifying Infill Area or Capital Improvement Project in accordance with the following scale, provided that the sum of the Stimulus Funds plus other local public agency funding totals an amount at least equal to 35 percent of the amount of the Program grant. A land donation supported by an appraisal may be considered a commitment.

Ten (10) points will be awarded if the amount of Stimulus Funds is at least 20 percent of the amount of the Program grant;

Six (6) points will be awarded if the amount of Stimulus Funds is at least 15 percent of the Program grant; or

Four (4) points will be awarded if the amount of Stimulus Funds is at least 10 percent of the Program grant.

Stimulus Funds shall be considered committed at time of application if evidenced by a letter of intent from the director of the agency responsible for administering it, subject to receipt of a binding commitment by the time the Department completes its application rating and ranking process.

Additional points will be awarded for one of the following:

- (B) Obtaining a funding commitment or commitments from a local public agency or agencies for the Qualifying Infill Area or Capital Improvement Project equivalent to at least 25 percent of the Program grant will receive 10 points. A land donation supported by an appraisal may be considered a commitment.
- (C) Obtaining a funding commitment or commitments from a local public agency or agencies for the Qualifying Infill Area or Capital Improvement Project equivalent to at least 15 percent of the Program grant

will be awarded five (5) points. A land donation supported by an appraisal may be considered a commitment.

- (D) Three (3) points will be awarded for either of the following: (i) at least 50 percent of the residential units in the Qualifying Infill Area are located on a site or sites designated or identified in the housing element of the local general plan as suitable for housing development consistent with application, or (ii) submittal of a letter of support from the legislative body or director of the planning department of the Locality having jurisdiction over the Qualifying Infill Area. No more than three (3) points are available under this subparagraph.

(b) Affordability – 60 points Maximum

Applications will be awarded points based on the percentage of units to be developed in the Qualifying Infill Area that will be restricted to occupancy by various income groups, in accordance with the following schedule.

- (1) 2.0 point will be awarded for each percent of total units that are owner-occupied and restricted to occupancy by households with incomes not exceeding the Moderate Income limit.
- (2) 2.4 points will be awarded for each percent of total units that are owner-occupied and restricted to occupancy by households with incomes not exceeding the Lower Income limit.
- (3) 2.0 point will be awarded for each percent of total units that are rental units restricted to occupancy by households with incomes less than or equal to 60 percent of Area Median Income.
- (4) 4.0 points will be awarded for each percent of total units that are rental units restricted to occupancy by households with incomes less than or equal to 30 percent of Area Median Income.
- (5) Owner-occupied units proposed for points under this category shall be subject to a recorded covenant with a

duration of at least 30 years that includes either a resale restriction or a requirement for sharing equity upon resale.

- (6) For rental units used as the basis for point scores in the application, rent limits for initial occupancy, and for each subsequent occupancy, shall be based on unit type, applicable income limit, and area in which the Qualifying Infill Area is located, following the calculation procedures used by TCAC. Rents shall be restricted in accordance with the rent and income limits specified in the application and approved by the Department, and set forth in a legally binding agreement recorded against housing developments in the Qualifying Infill Area with a duration of at least 55 years. Rents shall not exceed 30 percent of the applicable income eligibility level.

(c) Density – 40 points Maximum

Applications will be awarded points based on the extent to which the average Net Density of the Qualifying Infill Area, adjusted by unit size, exceeds the required density specified in Section 303(a)(4).

- (1) Net Density will be adjusted for unit size by multiplying the factors shown below by the total number of units in each unit size category, then summing the resulting products then dividing by the net area of all projects For a suburban three-site QIA:

Project # 1 7 2-Bedroom Units 5 3-Bedroom Units .75 Acre
Project # 2 6 2-Bedroom Units 8 3-Bedroom Units .65 Acre
Project # 3 9 2-Bedroom Units 7 3-Bedroom Units .50 Acre

The adjusted Net Density would be (22 2-bedroom units times 1.2 plus 20 3-bedroom units times 1.6) or 58.4. Dividing this by 20 (Suburban Minimum Density) and 1.9 acres (net area of the 3 sites) and multiplied by 100 results in an Adjusted Net Density as a Percentage of Required Density of 153.7% which yields 15 points for Density.

Unit Size (Bedrooms)	Factor
0-Bdrm	0.7
1-Bdrm	0.9
2-Bdrm	1.2

3-Bdrm	1.6
4-Bdrm	1.8

- (2) Points will be awarded in accordance with the following schedule:

Adjusted Net Density as a Percentage of Required Density	Points
200% or More	40
175% to 199.9%	30
150% to 174.9%	20
125% to 149.9%	15
110% to 124.9%	10
Less than 110%	0

(d) Access to Transit – 20 points Maximum

Points will be awarded based on the percentage of residential units in the Qualifying Infill Area which are in developments which meet the criteria for proximity to a Transit Station or Major Transit Stop set forth in paragraph 309(d)(1) relative to the total number of housing units in the Qualifying Infill Area. Two (2) points will be awarded for each 10 percent of such housing units. Percentages shall be rounded off to the nearest whole ten.

(e) Proximity to Amenities – 20 points Maximum

Applications will be awarded points based on the amenities in the Qualifying Infill Area or within one-half (1/2) mile of its boundary, including amenities that will be in service when construction of the Qualifying Infill Project for the Qualifying Infill Area is completed.

The one-half (1/2) mile radius will be measured from the established boundaries of the Qualifying Infill Area, as defined in local planning documents.

Points shall be awarded per amenity as follows:

Amenities serving Qualifying Infill Areas consisting of less than 200 residential units will yield four (4) points each. Amenities serving Qualifying Infill Areas consisting of 200 or more residential units will yield two (2) points each. Each distinct amenity may be counted

only once. The applicant shall designate the specific subcategory for each amenity identified. No more than 50 percent of a Qualifying Infill Area's amenities may be from any one subcategory. Points for each amenity will be added to achieve the application score, not to exceed 20 points.

(1) Amenities include:

- (A) Public parks (not including school grounds unless there is a bona fide, formal joint use agreement between the jurisdiction responsible for the parks/recreational facilities and the school district providing availability to the general public of the school grounds and/or facilities).
- (B) Locally recognized employment center with a minimum of fifty (50) full-time employees. An employment center is a locally recognized concentration of employment opportunities such as a large hospital, industrial park, commercial district, or office area.
- (C) Locally recognized retail center with a minimum of fifty (50) full-time employees. A retail center is a downtown area or recognized neighborhood or regional shopping mall.
- (D) Where at least 50 percent of the units designated in this application have two or more bedrooms, public schools or community colleges available for residents of the Qualifying Infill Area to attend.
- (E) Where designated units in this application which qualify for Special Needs or single room occupancy use, as defined by TCAC, or has a Special Needs or Supportive Housing component, as defined under MHP, social service facilities available to serve the residents living in the Qualifying Infill Area.
- (F) Where designated units in this application which are reserved for qualified senior citizens under sections 51.2, 51.3 and 51.4 of the Civil Code, senior centers or facilities regularly offering services designed for seniors and available to the seniors residing in the Qualifying Infill Area.

(f) Consistency with Regional Plans –10 points Maximum

10 points will be awarded if the Qualifying Infill Area's location is consistent with an adopted regional blueprint plan or other adopted regional growth plan (including, but not limited to, an adopted regional transportation plan or sustainable communities strategy) with the stated intent of fostering efficient land use and that encourages infill development. Such plans must have been adopted by a regional council of government, metropolitan planning organization, or regional transportation planning agency, and must be in effect by the application due date. Evidence of consistency with such plans must be demonstrated by a letter or resolution executed by an officer of, or an equivalent representative from the regional council of government, metropolitan planning organization, or regional transportation planning agency confirming such consistency. No points will be awarded if either (i) the Qualifying Infill Area is located in an area without an adopted plan, or (ii) the Qualifying Infill Area has failed to demonstrate consistency with an adopted plan by the aforementioned letter or resolution. As all jurisdictions are statutorily subject to Regional Housing Need Allocation (RHNA) Plans, which are required to promote infill development, a RHNA Plan does not qualify as an adopted plan under this scoring category.

ARTICLE 4. Program Operations.

Section 311. Legal Documents.

Upon the award of funds the Department shall enter into a Standard Agreement with the Recipient constituting a conditional commitment of funds. This contract shall require the parties to comply with the requirements and provisions of these Guidelines. The Standard Agreement shall encumber funds in an amount sufficient to fund the approved project, subject to limits established in the NOFA and consistent with the application. The Standard Agreement shall contain, but not be limited to, the following as appropriate for the activity:

- (a) A description of the approved Capital Improvement Project and the approved Qualifying Infill Project or Area, or both, and the permitted uses of Program funds;

- (b) Provisions governing the amount, terms and conditions of the Program grant;
- (c) Provisions governing the construction work and, as applicable, the acquisition and preparation of the site of the Capital Improvement Project, and the manner, timing and conditions of the disbursement of grant funds;
- (d) The Recipient's responsibilities for the development of the approved Capital Improvement Project, including, but not limited to, construction management, maintaining of files, accounts and other records, and report requirements;
- (e) Provisions relating to the development, construction, affordability and occupancy of the Qualifying Infill Project supported by the Capital Improvement Project and the development, construction and occupancy of housing designated for development in the application for funding of a Qualifying Infill Area;
- (f) Provisions relating to the placement on, or in the vicinity of, the Project site, a sign indicating that the Department has provided financing for the Capital Improvement Project. The Department may also arrange for publicity of the Department grant in its sole discretion;
- (g) Remedies available to the Department in the event of a violation, breach or default of the Standard Agreement;
- (h) Requirements that the Recipient permit the Department or its designated agents and employees the right to inspect the Project and all books, records and documents maintained by the Recipient in connection with the Program grant;
- (i) Special conditions imposed as part of Department approval of the project;
- (j) Terms and conditions required by federal or state law; and
- (k) Other provisions necessary to ensure compliance with the requirements of the Program.

Section 312. Reporting Requirements

- (a) During the term of the Standard Agreement and according to the annual deadline identified in the Standard Agreement, the Recipient shall submit, upon request of the Department, an annual performance report regarding the construction of the Capital Improvement Project and the development, construction, affordability and occupancy of housing designated for development in the application. The reports will be filed on forms provided by the Department.
- (b) At any time during the term of the Standard Agreement, the Department may perform or cause to be performed a financial audit of any and all phases of the Recipient's Project. At the Department's request, the Recipient shall provide, at its own expense, a financial audit prepared by a certified public accountant.

Section 313. Defaults and Cancellations

- (a) In the event of a breach or violation by the Recipient of any of the provisions of the Standard Agreement, the Department may give written notice to the sponsor to cure the breach or violation within a period of not less than 15 days. If the breach or violation is not cured to the satisfaction of the Department within the specified time period, the Department, at its option, may declare a default under the Standard Agreement and may seek legal remedies for the default including the following:
 - (1) The Department may seek, in a court of competent jurisdiction, an order for specific performance of the defaulted obligation or the appointment of a receiver to complete the Project in accordance with Program requirements.
 - (2) The Department may seek such other remedies as may be available under the relevant agreement or any law.
- (b) Funding commitments and Standard Agreements may be canceled by the Department under any of the following conditions:
 - (1) The objectives and requirements of the Program cannot be met by continuing the commitment or Standard Agreement;

- (2) Construction of the Capital Improvement Project cannot proceed in a timely fashion in accordance with the timeframes established in the Standard Agreement; or
 - (3) Funding conditions have not been or cannot be fulfilled within required time periods.
- (c) Upon receipt of a notice of intent to cancel the grant from the Department, the Recipient shall have the right to appeal to the Director of the Department.

Section 314. Prevailing Wages

For the purposes of the State Prevailing Wage Law (Labor Code Sections 1720 – 1781), a grant under the Program shall be considered public funding for the construction, rehabilitation, demolition, relocation, preservation, or other physical improvement of the Capital Asset subject to the provisions of the State Prevailing Wage Law. Program funding of a Capital Improvement Project shall not necessarily, in and of itself, be considered public funding of a Qualifying Infill Project or the Qualifying Infill Area unless such funding is considered public funding under the State Prevailing Wage Law. It is not the intent of the Department in these regulations to subject Qualifying Infill Projects or Qualifying Infill Areas to the State Prevailing Wage Law by reason of Program funding of the Capital Improvement Project in those circumstances where such public funding would not otherwise make the Qualifying Infill Project or Qualifying Infill Area subject to the State Prevailing Wage Law. Although the use of Program funds does not require compliance with federal Davis Bacon wages, other funding sources may require compliance with federal Davis Bacon wages.

Section 315. Large, Multi-phased Project Modifications

For a Qualifying Infill Project awarded Program funds based on a multi-phased development with 200 or more residential units pursuant to Sections 308 and 309 of the IIG Guidelines (February 28, 2008), and Sections 309 and 310 of the IIG Guidelines (January 30, 2009), a Recipient may, upon Department approval, elect the following:

- (a) The project remains a multi-phased development with 200 residential units or more; but the original number of residential units used to calculate the Grant amount may be reduced. As a result of the reduction in number and/or type of residential units, the scope of work for the Capital Improvement Project associated with the

project may also be reduced. In addition, the Grant amount originally awarded will be reduced accordingly based on the methodology used by Department to calculate the Grant award. The Recipient choosing to make this election shall be subject to all of the Program requirements imposed on multi-phased developments to include, but not be limited to:

- (1) Completion of the minimum requirement of 200 residential units with 15% of the units consisting of affordable residential units.
 - (2) Recipients are joint and severally liable for breach of the Standard Agreement.
 - (3) Full repayment of the Program funds if all conditions are not met.
 - (4) The Recipient shall demonstrate, for review and approval by the Department, all funding commitments for first phase of housing no later than June 1, 2013. For the purposes of this section, "Funding Commitments" shall be evidenced by funding commitments exemplified in Section 309(a) of the 2008 and 2009 Program Guidelines.
- (b) The project converts to a Qualifying Infill Project (single or multi-phased development) and shall be subject to all of the requirements under the respective Program Guidelines to include, but not be limited to:
- (1) The Recipient must demonstrate that Program funds are necessary for Project feasibility.
 - (2) The Recipient must submit, for review and approval by the Department, revised milestones for the Capital Improvement Project and housing units evidencing compliance with the performance requirements as required by the Program to include but not limited to Section 305(i) "Grant Terms and Limits" and Section 312 "Performance Requirements" (Program Guidelines February 28, 2008) or Section 306 "Performance Requirements" (Program Guidelines January 30, 2009).
 - (3) The Project satisfies the threshold requirements of Section 307 "Application Threshold Requirements" (Program Guidelines February 28, 2008) or Section 308 "Application Threshold Requirements" (Program Guidelines January 30, 2009).
 - (4) The actual Grant amount shall be calculated pursuant to Section 305 "Grant Terms and Limits" of the 2008 and 2009 Program Guidelines.

- (5) One or more recipients may elect to withdraw from the award if all parties concur and upon approval by the Department.

A Recipient's election to either remain as a multi-phased development with a minimum of 200 residential units or convert to a Qualifying Infill Project shall not amend housing construction and completion deadlines established in the Program Guidelines or any amendments therein. In addition, disbursement condition requirements identified in the IIG grant documents shall continue to apply.

In order to be considered for one of the above options, a Recipient must notify the Department of the chosen option election and submit documents demonstrating the feasibility of the modified project by no later than 5:00 pm on April 1, 2013. The Department shall review the election and submitted documents to ensure that the modified project shall satisfy the requirements of the Program, which include but are not limited to, completion of the Capital Improvement Project as it relates to the statutory disbursement deadline and the construction and completion of the housing development(s).

REPORT

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

For Information Only - No Action Required.

STRATEGIC PLAN:

This item supports SCAG'S Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

SCAG executed the following Purchase Orders (PO's) between \$5,000 and \$200,000

<u>Vendor</u>	<u>PO Purpose</u>	<u>PO Amount</u>
La Quinta Resort & Club	2016 GA Summit Deposit	\$125,000
CompuCom Systems, Inc.	FY15 Microsoft Enterprise Agreement Software License Agreement	\$87,380
Westin Bonaventure Hotel & Suites	2014 Economic Summit Deposit	\$40,000
Sheraton Grand Sacramento Hotel	2014 Legislative Reception Deposit	\$20,000
U.S. Postal Service	FY15 Postage	\$10,000
City Fare, Inc.	FY15 Regional Council Meeting Provisions	\$10,000
KC's Mediterranean Grill	FY15 Regional Council Meeting Provisions	\$7,000
American Public Transportation Assoc.	FY15 SCAG Membership	\$5,602

SCAG executed the following Contracts between \$25,000 and \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
Burke, Williams, & Sorensen, LLP (15-015-SG1)	The Consultant shall provide the necessary General Counsel legal services to SCAG.	\$199,640

SCAG executed the Amendment between \$5,000 and \$74,999

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
N/A	N/A	N/A

ATTACHMENT:

Contract Summary

CONSULTANT CONTRACT 15-015-SG1

Recommended Consultant:	Burke, Williams, & Sorensen, LLP
Background & Scope of Work:	<p>The position of General Counsel was re-established in July 2012 to help provide legal support to the agency. Given the increased activities of the Regional Council, Joseph Silvey, Burke Williams & Sorenson, was retained as SCAG's General Counsel for the Regional Council and to address appropriate external affairs. Services in connection with this include, but are not limited to: advising and consulting with the SCAG's Regional Council and its committees on legal matters; attending Metropolitan Planning Organization (MPO) Executive Director's meetings with other MPO legal counsels; attending meetings and pursuing specific assignments as directed by SCAG's Executive Director or Chief Deputy Executive Director; collaborating, as needed, on items of Regional Council interest; and reviewing, as necessary, the SCAG Bylaws and Regional Council policies for future opportunities to improve clarity and understanding. These services will be accomplished in a new multi-year contract.</p>
Project's Benefits & Key Deliverables:	<p>The project's benefits and key deliverables include, but are not limited to: providing legal guidance and/or services to SCAG's Executive Director, Chief Deputy Executive Director and SCAG Regional Council, as requested.</p>
Strategic Plan	<p>This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.</p>
Contract Amount:	Total not-to-exceed \$199,640 Burke, Williams, & Sorensen, LLP (prime consultant)
Contract Period:	November 1, 2014 through June 30, 2016
Project Number:	800-0160.01 \$199,640 Funding sources: General Fund
Request-for-Proposal (RFP):	Not Applicable
Selection Process:	Not Applicable
Basis for Selection:	<p>The subject contract award was made in accordance with the Regional Council Policy Manual, Article VIII, Section 1.2, and the SCAG Procurement Manual (sections 3.3. and 3.4) which authorizes the Executive Director to approve contract under \$200,000 without competition and paid for from the General Fund. The selection was also made based upon Burke, Williams, & Sorensen, LLP's extensive knowledge and legal expertise with advising public agencies, and staff's determination that retaining the firm's services is in best interests of the agency.</p>

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Darin Chidsey, Director, Policy, Strategy & Public Affairs; Chidsey@scag.ca.gov;
(213)-236-1836

SUBJECT: November 2014 Federal and State Legislative Update

EXECUTIVE DIRECTOR'S APPROVAL: 

FEDERAL

October 1, 2014 was the start of the federal fiscal year 2015. The federal government began operating under the spending authority provided by the short-term continuing resolution (CR) signed into law by President Obama on September 19, 2014. The CR lasts for 72 days (from October 1, 2014 through December 11, 2014). As a general rule, most discretionary appropriations accounts will receive 19.73% of last year's appropriation level under the CR (the percentage representing 72 out of 365 days of the fiscal year).

Both Chambers continue to be in recess until after the November 4 election and upon return are expected to consider an omnibus appropriations bill for the remainder of FY 2015 prior to the end of the current Congress that expires on January 3, 2015.

STATE

For the 2014 calendar year, Governor Brown signed 931 regular session bills and rejected 143 bills, for a 13.3% veto rate; compared to 800 bills he signed in 2013, with 96 vetoes. Below is a list of legislative bills previously reported to the Regional Council, upon which SCAG has taken legislative position, has been either signed or vetoed by the Governor in the final days of the legislative session, or did not pass from the Legislature. Of the 11 state legislative bills SCAG took position upon and advocated for passage, 7 bills were chaptered into law and; 4 bills did not pass with 2 bills failing to pass the Legislature and 2 bills vetoed by the Governor.

Additionally, the cap-and-trade funding provisions included in SCAG's 2014 Legislative Priorities and advocated for by SCAG were wrapped into an omnibus budget trailer bill, SB 862, which passed on June 20, 2014 and is further addressed below. Also, at its September 11, 2014 meeting, SCAG supported Proposition 1, which passed the Legislature as AB 1471 and will appear on the November 4, 2014 General Election ballot where its chance of passage appears to be likely.

SCAG did not formally oppose any legislation in 2014 and it is typically more difficult to pass legislation into law than it is to stop legislation at any point during the legislative process. Thus, the passage of 7 of 11 legislative bills and, additionally, the cap-and-trade provisions as part of the budget process and a revised water bond on the General Election ballot can be considered a very successful session for achieving many of the policy objectives embodied within SCAG's adopted 2014 Legislative Priorities.

Assembly Bill 1721 (Lindner) – Vehicles: High-Occupancy Vehicle Lanes

Sponsored by the Riverside County Transportation Commission (RCTC) and supported early in the process by SCAG, the bill permits HOT lane operators to charge clean air vehicles a reduced toll, including in the Interstate 15 Corridor Improvement Project (I-15 CIP) into which it has invested millions of dollars to develop, in order to provide a stable revenue source and enhance the financial viability of the project.

AB 1721 was CHAPTERED on September 21, 2014.

Assembly Bill 1839 (Gatto/Bocanegra) – Income Taxes: Qualified Motion Pictures

A SCAG supported bill revising the California Entertainment Tax Credit program that would extend the scope of the credits for a qualified motion picture to the applicable percentage of qualified expenditures up to \$100,000,000, would extend the credit to qualified expenditures for television pilot episodes, qualified expenditures for qualified visual effects, and qualified expenditures relating to music scoring and music track recording by musicians, would provide limited credit allocation priority for specified television series, and determine an applicable percentage of 25% or 20% for qualified expenditures for television series relocating to California based on the number of years the series has received the tax credit since relocation to California and where in California photography occurs, among other provisions.

AB 1839 was CHAPTERED on September 18, 2014

Assembly Bill 2707 (Chau) – Vehicle: Buses Bicycle Transportation Devices

Sponsored by the Los Angeles County Metropolitan Transportation Authority (LA Metro) and supported by SCAG, authorizes installation of triple bike racks on 40 foot buses without being in violation of state vehicle length limit laws. As amended, the bill makes its provisions statewide rather than specific only to LA Metro. Bill provisions also specify that a bicycle transported on the triple bike rack may extend up to 42 inches from the front of the bus, increased from the existing 36-inch limit.

AB 2707 was CHAPTERED on September 9, 2014.

Senate Bill 1 (Steinberg) – Sustainable Communities Investment Authority

This bill would have authorized certain public entities of a Sustainable Communities Investment Area to form a Sustainable Communities Investment Authority to carry out the Community Redevelopment Law by financing projects with tax increment funding receipt under certain economic development and planning criteria. The bill established prequalification requirements for receipt of funding and required monitoring and enforcement of prevailing wage requirements within the area. SCAG supported SB 1 to provide local government with an additional tool to develop local projects consistent with a Sustainable Communities Strategy.

SB 1 did not pass from the Legislature.

Senate Bill 69 (Roth) – Property Tax Revenue: Vehicle License Fee

The bill would have restored funding to newly incorporated cities when, in 2011, the Legislature in order to close the state's budget gap passed Senate Bill 89 which eliminated Vehicle License Fee (VLF) revenue allocated to newly incorporated cities and annexed areas. SCAG supported SB 69 at behest of

newly formed member cities in the region and in support of the League of California Cities which also supported the bill.

SB 69 was VETOED on September 28, 2014.

Senate Bill 498 (Lara) – Solid Waste: Biomass Conversion

SB 498 revises the definition of biomass conversion to include non-combustion technologies and would expand authority for biomass processing facilities to convert more biomass organic materials to renewable energy in order to help the state reach its goals of waste reduction and of diversion of organic waste materials from landfills. SCAG supported this bill at the request of the Los Angeles County Department of Public Works as part of the development of a countywide solid waste management plan that supports the conversion of biomass materials to renewable energy.

SB 498 was CHAPTERED on September 28, 2014.

Senate Bill 511 (Lieu) – Trade Promotion of State Ports: Export Finance Office

Co-sponsored by SCAG and the Southern California Leadership Council, SB 511 would establish a new California Export Finance Office (CEFO) to help firms, especially small and medium businesses to finance export sales by providing working capital loan guarantees generally not made available by U.S. banks to support specific export transactions. Consistent with SCAG's board-adopted 2014 Legislative Priority to support legislation to increase exports at the ports and to establish a successor agency to CEFO, the bill as amended, clarified that in re-establishing the California Export Office in the GO-Biz administrative structure and within I-Bank that CEFO be fully coordinated and, where necessary, integrated with existing programs and services including but not limited to, the existing Small Business Finance Center, Small Business Loan Guarantee Program, small business technical assistance, and coordination with federal small business and export related programs, along with access to state and federal funding sources available for export support and development.

SB 511 did not pass from the Legislature.

Senate Bill 1077 (DeSaulnier) – Vehicles: Road Usage Charge Pilot Program

This bill would require the California Transportation Commission (CTC), in consultation with the California State Transportation Agency, to create the Road Usage Charge (RUC) Technical Advisory Committee to guide development and implementation of a pilot program to study the potential for RUC as an alternative to the gas tax to fund the state's transportation system. SCAG supported SB 1077 consistent with its support of a mileage-based user fee policy embodied within the 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy, and the 2014 State Legislative Priorities to support legislation seeking to provide dedicated, secure funding to state highways, streets and roads.

SB 1077 was CHAPTERED on September 29, 2014.

Senate Bill 1228 (Hueso) – Trade Corridors Improvement Fund

SB 1228 continues the Trade Corridors Improvement Fund (TCIF) established by Proposition 1B in 2006, and would provide for allocation of revenues upon appropriation by the California Transportation Commission (CTC) for largely similar purposes as the bond act funds, but would specifically reference as eligible projects infrastructure improvements that benefit the state's land ports of entry. SCAG, working with its regional partners and the author, supported the bill and was successful getting

clarifications amended into the bill that maintain existing TCIF funding distributions to California's primary corridors; and, to specify that future revenue sources shall be allocated through the Trade Corridor Improvement Fund according to the distribution ratios for each corridor as identified in Government Code Section 8879.52 to maintain status quo funding as under current law. Additionally, the amended bill requires the CTC to consult various plans in determining projects eligible for funding, including the Transportation Agency's state freight plan; the State Air Resources Board's Sustainable Freight Strategy; the trade infrastructure and goods movement plan submitted by the Secretary of Transportation and the Secretary of Environmental Protection Agency; the trade infrastructure and goods movement plans adopted by regional transportation planning agencies; adopted regional transportation plans required by state and federal law; and the statewide port master plan prepared by the California Marine and Intermodal Transportation System Advisory Council.

SB 1228 was CHAPTERED on September 29, 2014.

Senate Bill 1298 (Hernandez) – High Occupancy Toll Lanes

This LA Metro sponsored bill repeals LA Metro's authority to operate a value-pricing and transit development demonstration program on SR 10 and SR 110 involving HOT lanes and, instead, recasts its authority to conduct, administer, and operate the program indefinitely and generally under the same terms and conditions that governed the demonstration program. The bill additionally specifies requirements for agreements between the LACMTA, Caltrans, and the CHP that identify respective obligations and liability of each party relating to the program, and requires reimbursement of state agencies from toll revenue of the cost incurred in the implementation of the program and maintenance of State highway facilities in connection with the program. SCAG supported SB 1298 consistent with its adopted 2014 legislative priorities and in support of a stakeholder partner sponsored priority bill.

SB 1298 was CHAPTERED on September 21, 2014.

Senate Bill 1418 (DeSaulnier) – Vehicle Weight Fees: Transportation Bond Debt Service

This bill would have deleted provisions of existing law directing vehicle weight fees to the Transportation Debt Service Fund to pay for transportation-related general obligation bond debt, to restore funding for highways and local streets and roads as it existed prior to the 2010-11 gas tax swap. SCAG supported the bill, if amended, to clarify that a minimum percentage of funds from the State Highway Account be transferred to the State Transportation Improvement Program (STIP) consistent with that prior to the 2010-11 gas tax swap.

SB 1418 did not pass from the Legislature.

Additionally, although SCAG did not take formal positions, the following bills are considered by staff to be significant bills which either did or did not pass, listed according to policy areas that are consistent with the board-adopted 2014 State Legislative Priorities.

Financing, Economic Development and Community Reinvestment

Assembly Bill 2280 (Alejo) – Community Revitalization and Investment Authorities

A League of California Cities supported bill that would authorize certain local agencies to form a community revitalization authority to carry out provisions of the Community Redevelopment Law in that area for purposes related to infrastructure, affordable housing, and economic revitalization, and would provide for the financing of these activities by the issuance of bonds serviced by tax increment

revenues. The bill also provides for periodic audits of the authority with respect to affordable housing, conducted by the Controller, and for annual public reports by the authority.

AB 2280 was VETOED on September 29, 2014.

SB 628 (Beall) – Enhanced Infrastructure Financing Districts

One of the very few ‘post-RDA successor’ bills to pass the legislature and be signed by the Governor, this bill authorizes the legislative body of a city or a county, to establish an enhanced infrastructure financing district, adopt an infrastructure financing plan, and issue bonds, for which only the district is liable, upon approval by 55% of the voters; to finance public capital facilities or other specified projects of communitywide significance, including, but not limited to, brownfield restoration and other environmental mitigation; the development of projects on a former military base; the repayment of the transfer of funds to a military base reuse authority; the acquisition, construction, or rehabilitation of housing for persons of low and moderate income for rent or purchase; the acquisition, construction, or repair of industrial structures for private use; transit priority projects; and projects to implement a sustainable communities strategy.

SB 628 was CHAPTERED on November 29, 2014.

Cap-and-Trade Funding

Senate Bill 862 (Senate Budget & Finance Committee) – Greenhouse Gases; Emissions Reduction

This budget trailer bill was the principal vehicle for allocation of cap-and-trade funds for FY 2014-15; including \$130 million for Sustainable Communities and Housing; \$250 million for High Speed Rail; \$242 million for a variety of Energy, Water, Waste Diversion and Weatherization Programs; and additional funding and incentives for transit and intercity rail, and clean or zero emission vehicles.

SB 862 was CHAPTERED on June 20, 2014.

Water Bond

Three bills establishing in California a comprehensive, statewide system of groundwater management:

Assembly Bill 1739 (Dickerson) – Groundwater Management

This bill provides specific authority to a groundwater sustainability agency, as defined in SB 1168, to impose certain fees. The bill authorizes the State Department of Water Resources or a groundwater sustainability agency to provide technical assistance to entities that extract or use groundwater to promote water conservation and protect groundwater resources. This bill would require the department, by January 1, 2017, to publish on its Internet Web site best management practices for the sustainable management of groundwater, and would require the department to prepare and release a report by December 31, 2016, on the department’s best estimate of water available for replenishment of groundwater in the state.

AB 1739 was CHAPTERED on September 16, 2014.

Senate Bill 1168 (Pavley) – Groundwater Management

This bill establishes the policy of the State that groundwater resources be managed sustainably for long-term reliability and multiple economic, social, and environmental benefits for current and future beneficial uses. The bill would state that sustainable groundwater management is best achieved locally through the development, implementation, and updating of plans and programs based on the best available science. The bill contains other related provisions including a requirement that the State

REPORT

Department of Water Resources prioritize every basin in the State as high-, medium-, low-, or very low-priority by January 31, 2015; and numerous other requirements regarding groundwater sustainability plans for these basins.

SB 1168 was CHAPTERED on September 16, 2014.

Senate Bill 1319 (Pavley) - Groundwater

This bill authorizes the State Water Resources Control Board to designate certain high- and medium-priority basins as a probationary basin if, after January 31, 2025, the prescribed criteria are met, including a determination by the state board that the basin is in a condition where groundwater extractions result in significant depletions of interconnected surface waters. The bill adds to the prescribed determinations that would prevent the state board from designating the basin as a probationary basin for a specified time period, and requires the state board to exclude from probationary status any portion of a basin for which a groundwater sustainability agency demonstrates compliance with the sustainability goal. The bill also contains numerous other provisions.

SB 1319 was CHAPTERED on September 16, 2014.

ATTACHMENT:

California Legislative Matrix

SCAG California Legislative Matrix

October 2014

ENVIRONMENT

Salton Sea Restoration

Status: CHAPTERED

AB 148

Author
Perez, V.M.

Party
D

Location
Chaptered

Next Hearing

Bill Summary

Amends existing law that requires the Secretary of the Natural Resources Agency, in consultation and coordination with the Salton Sea Authority, to lead Salton Sea restoration efforts. Eliminates the requirement that the Secretary and the Legislature have final approval for any proposed restoration plan. Authorizes the Authority to lead a feasibility study. Prohibits a study or other activity from delaying the planning and implementation of ongoing and planned restoration projects.

Recent Activity

From Assembly: Passed (78-0) to Senate on 01/29/2014. From Senate: Passed (32-0), to Assembly for concurrence on 06/26/2014. Assembly concurred in Senate amendments, to Enrollment on 06/30/2014. Enrolled on 07/02/2014. To Governor on 07/09/2014. Signed by Governor, Chaptered by Secretary of State on 07/16/2014.

Positions

INFRASTRUCTURE FINANCING

AB 229

Author
Perez, J.

Party
D

Location
Chaptered

Next Hearing

Infrastructure and Revitalization Financing Districts

Bill Summary

Authorizes the creation by a city, county, city and county, and joint powers authority, of an infrastructure and revitalization financing district and the issuance of debt with voter approval. Authorizes the creation of a district and the issuance of debt. Authorizes a district to finance projects in redevelopment project areas and former redevelopment project areas and former military bases.

Status: CHAPTERED

Recent Activity

From Assembly: Passed (71-3) to Senate on 05/09/2013. From Senate: Passed (30-6), to Assembly for concurrence on 08/22/2013. From Assembly: To Inactive File on 09/11/2013. Assembly concurred in Senate amendments, to Enrollment on 08/27/2014. Enrolled on 08/29/2014. To Governor on 09/08/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.

Positions

ECONOMIC DEVELOPMENT

AB 337

Author
Allen

Party
R

Location
Chaptered

Next Hearing

Economic Development: International Trade: Investments

Bill Summary

Relates to economic development, international trade, investments and strategies for trade and investments. Requires a strategy to be based on current and emerging market conditions and the needs of investors, businesses, and workers to be competitive in global markets and to include a framework that enables the GO-Biz Office to evaluate the current needs of small and large firms. Authorizes the strategy, to the extent relevant and feasible, to be based on existing studies and reports.

Status: CHAPTERED

Recent Activity

From Assembly: Passed (76-1) to Senate on 01/27/2014. From Senate: Passed (34-0), to Assembly for concurrence on 08/25/2014. Assembly concurred in Senate amendments, to Enrollment on 08/26/2014. Enrolled on 08/29/2014. To Governor on 09/05/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.

Positions

REDEVELOPMENT

Redevelopment: Successor Agencies

Status: CHAPTERED

AB 471

Author
Atkins

Party
D

Location
Chaptered

Next Hearing

Bill Summary

Authorizes a infrastructure financing district to finance a project or portion of a project that is located in, or overlaps with, a redevelopment project area or former redevelopment project area. Authorizes a successor redevelopment agency to schedule Recognized Obligation Payment Schedule payments beyond the payment cycle upon a showing that a lender requires cash on hand beyond that cycle. Authorizes the use of estimates and projects to support payments. Relates to housing administrative costs funding.

Recent Activity

From Assembly: Passed (75-0) to Senate on 05/09/2013. From Senate: Passed (35-0), to Assembly for concurrence on 02/06/2014. Assembly concurred in Senate amendments, to Enrollment on 02/10/2014. Enrolled, to Governor on 02/12/2014. Signed by Governor, Chaptered by Secretary of State on 02/18/2014.

Positions

Assembly Committees: Accountability & Administrative Review (AAR) | Appropriations (A) | Arts, Entertainment, Sports, Tourism & Internet Media | Budget (B) | Housing & Community Development (HCD) | Jobs, Economic Development & The Economy (JEDE) | Local Government (LG) | Natural Resources (NR) | Revenue & Taxation (R&T) | Rules | Transportation (T) | Utilities & Commerce (U&C) | Water, Parks & Wildlife (WPW) | Senate Committees: Appropriations (A) | Business, Professions & Economic Development (BPED) | Education (EDU) | Elections & Constitutional Amendments (ECA) | Energy, Utilities & Communications (EUC) | Environmental Quality (EQ) | Governance & Finance (G&F) | Governmental Organization (GO) | Judiciary (J) | Labor & Industrial Relations (LIR) | Natural Resources & Water (NRW) | Rules (R) | Transportation & Housing (T&H)

SCAG California Legislative Matrix

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<p>INTERNATIONAL RELATIONS</p> <p>AB 690</p> <p>Author Campos</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions CA League: Watch</p>	<p>State Government: International Relations</p> <p>Bill Summary Repeals and recasts the provisions of existing law that requires the California-Mexico Border Relations Council to coordinate activities of State agencies that are related to cross-border programs, initiatives, projects, and partnerships that exist within State government.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Assembly: Passed (78-0) to Senate on 01/29/2014. From Senate: Passed (36-0), to Assembly for concurrence on 08/13/2014. Assembly concurred in Senate Amendments, to Enrollment on 08/19/2014. Enrolled on 08/21/2014. To Governor on 09/02/2014. Signed by Governor, Chaptered by Secretary of State on 09/28/2014.</p>
<p>TRANSPORTATION: BUS WEIGHT</p> <p>AB 1720</p> <p>Author Bloom</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions OCTA: Support SANBAG: Support VCTC: Support</p>	<p>Vehicles: Bus Gross Weight</p> <p>Bill Summary Amends existing law that prohibits a publicly owned or operated transit system from procuring a transit bus whose weight on any single axis exceeds a specified weight. Extends the provision that exempts from this prohibition a transit system that is procuring a new bus that is of the same or lesser weight than the bus it is replacing, or if it is incorporating a new fleet class. Authorizes a transit system to procure a transit bus of a certain weight if it is incorporating a new fleet class expansion.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Assembly: Passed (71-0) to Senate on 05/08/2014. From Senate: Passed (35-0), to Assembly for concurrence on 07/03/2014. Assembly concurred in Senate amendments, to Enrollment on 08/07/2014. Enrolled on 08/09/2014. To Governor on 08/13/2014. Signed by Governor, Chaptered by Secretary of state on 08/22/2014.</p>
<p>TRANSPORTATION</p> <p>AB 1721</p> <p>Author Linder</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions RCTC: Sponsor</p>	<p>Vehicles: High-Occupancy Vehicle Lanes</p> <p>Bill Summary Amends existing law that exempts a vehicle, eligible under these provisions to use high occupancy vehicle lanes, from toll charges imposed on single-occupant vehicles in designated high-occupancy toll lanes unless prohibited by federal law. Grants a vehicle, eligible under these provisions to use HOV lanes, a toll-free or reduced-rate passage in HOT lanes.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Assembly: Passed (72-2) to Senate on 05/01/2014. From Senate: Passed (36-0), to Assembly for concurrence on 08/11/2014. To Enrollment on 08/14/2014. Enrolled on 08/15/2014. To Governor on 08/21/2014. Signed by Governor, Chaptered by Secretary of State on 09/21/2014.</p>
<p>ENTERTAINMENT TAX CREDIT</p> <p>AB 1839</p> <p>Author Gatto/Bocanegra</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions</p>	<p>Income Taxes: Qualified Motion Pictures</p> <p>Bill Summary Extends the program for five additional years. This bill would, as compared to the existing tax credits, extend the scope of the credits for a qualified motion picture to the applicable percentage of qualified expenditures up to \$100,000,000, would extend the credit to qualified expenditures for television pilot episodes and qualified expenditures relating to music scoring and music editing, and would determine an applicable percentage of 25% or 20% for qualified expenditures for television series relocating to California based on the number of years the series has received the credit since relocation to California and where in California photography occurs. This bill would limit the aggregate amount of these new credits to be allocated in each fiscal year to an unspecified amount, and would also set aside specific credit allocation amounts for each fiscal year for independent films and for television series that relocate to California.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Assembly: Passed (76-0) to Senate on 05/28/2014. From Senate: Passed (34-2), to Assembly for concurrence on 08/29/2014. Assembly concurred in Senate amendments, to Enrollment on 08/29/2014. Enrolled on 09/05/2014. To Governor on 09/09/2014. Signed by Governor, Chaptered by Secretary of State on 09/18/2014.</p>

Assembly Committees: Accountability & Administrative Review (AAR) | Appropriations (A) | Arts, Entertainment, Sports, Tourism & Internet Media | Budget (B) | Housing & Community Development (HCD) | Jobs, Economic Development & The Economy (JEDE) | Local Government (LG) | Natural Resources (NR) | Revenue & Taxation (R&T) | Rules | Transportation (T) | Utilities & Commerce (U&C) | Water, Parks & Wildlife (WPW) | Senate Committees: Appropriations (A) | Business, Professions & Economic Development (BPED) | Education (EDU) | Elections & Constitutional Amendments (ECA) | Energy, Utilities & Communications (EUC) | Environmental Quality (EQ) | Governance & Finance (G&F) | Governmental Organization (GO) | Judiciary (J) | Labor & Industrial Relations (LIR) | Natural Resources & Water (NRW) | Rules (R) | Transportation & Housing (T&H)

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REDEVELOPMENT

Redevelopment

Status: CHAPTERED

AB 1963

Author
Atkins
Location
Chaptered
Next Hearing

Party
D

Bill Summary

Relates to existing law that requires successor agencies to wind down the affairs of dissolved redevelopment agencies and which suspends such requirement, except as it applies to the transfer of assets and properties for governmental use, until the Department of Finance has approved a long-range property management plan. Provides the condition that requires the property of a former redevelopment agency to be disposed of according to law. Regards the transfer of agency assets.

Recent Activity

From Assembly: Urgency Clause adopted, passed (78-0) to Senate on 05/15/2014. From Senate: Passed (32-0), to Assembly for concurrence on 06/26/2014. Assembly concurred in Senate amendments, to Enrollment on 06/30/2014. Enrolled on 07/02/2014. To Governor on 07/09/2014. Signed by Governor, Chaptered by Secretary of State on 07/18/2014.

Positions

GOODS MOVEMENT

Transit Village Plans: Goods Movement

Status: CHAPTERED

AB 2008

Author
Quirk
Location
Chaptered
Next Hearing

Party
D

Bill Summary

Amends existing law that authorizes a city or county to prepare a transit village plan for a transit village development district that addresses specified characteristics including demonstrable public benefits. Requires the plan to address demonstrable public benefits beyond the increase in transit usage including a number of specified benefits. Adds as a benefit the minimization of the impact of goods movement on air quality, traffic, and public safety through dedicated loading and unloading facilities.

Recent Activity

From Assembly: Passed (68-0) to Senate on 05/19/2014. From Senate: Passed (32-0), to Enrollment on 06/26/2014. Enrolled on 06/30/2014. To Governor on 07/02/2014. Signed by Governor, Chaptered by Secretary of State on 07/07/2014.

Positions

REDEVELOPMENT

Community Revitalization and Investment Authorities

Status: VETOED

AB 2280

Author
Alejo
Location
Vetoed
Next Hearing

Party
D

Bill Summary

Authorizes certain local agencies to form a community revitalization authority within a community revitalization and investment area, to carry out provisions of the Community Redevelopment Law. Provides for the financing of these activities by the issuance of bonds serviced by tax increment revenues. Provides for periodic audits of the authority with respect to affordable housing.

Recent Activity

From Assembly: Passed (57-12) to Senate on 05/08/2014. From Senate: Passed (25-7), to Assembly for concurrence on 08/22/2014. Assembly concurred in Senate amendments, to Enrollment on 08/27/2014. Enrolled on 09/07/2014. To Governor on 09/08/2014. Vetoed by Governor on 09/29/2014.

Positions

REDEVELOPMENT

Redevelopment Dissolution: Housing Projects: Bonds

Status: VETOED

AB 2493

Author
Bloom
Location
Vetoed
Next Hearing

Party
D

Bill Summary

Amends existing law which dissolved redevelopment agencies and community development agencies and provided for the designation of successor agencies to wind down the affairs of such agencies and to make payments due for certain obligations. Relates to the use of proceeds from indebtedness issued for affordable housing purposes. Authorizes a successor housing entity to designate the use of such proceeds for projects meeting certain criteria. Provides for the expenditure of certain excess bond proceeds.

Recent Activity

From Assembly: Passed (75-1) to Assembly on 05/27/2014. From Senate: Passed (21-8), to Assembly for concurrence on 08/28/2014. Assembly concurred in Senate amendments, to Enrollment on 08/28/2014. Enrolled on 09/05/2014. To Governor on 09/10/2014. Vetoed by Governor on 09/29/2014.

Positions

SCAG California Legislative Matrix

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TRIPLE BIKE RACKS

Vehicle: Buses: Bicycle Transportation Devices

Status: CHAPTERED

AB 2707

Author
Chau
Location
Chaptered
Next Hearing
Returned to Senate
Positions

Party
D

Bill Summary

Existing law imposes a 40-foot limitation on the length of vehicles that may be operated on the highways, with specified exemptions. Existing law exempts from this limitation a bus, except a schoolbus, operated by a public agency or a passenger stage corporation, as defined, used in transit system service if the bus is equipped with a folding device attached to the front of the bus that is designed and used exclusively for transporting bicycles, that device does not materially affect efficiency or visibility of vehicle safety equipment, and the length of the bus, exclusive of that device, does not exceed 40 feet in length. In addition, existing law prohibits the above-described device from extending more than 36 inches from the front body of the bus when fully deployed, and prohibits a bicycle that is transported on that device from having the bicycle handlebars extend more than 42 inches from the front of the bus. This bill would increase the lengths described in the exemption above from 36 to 40 inches, and from 42 to 46 inches.

Recent Activity

From Assembly: Passed (74-0) to Senate on 05/01/2014. From Senate: Passed (36-0), to Assembly for concurrence on 06/30/2014. From Assembly: Ordered returned to Senate on 07/03/2014. From Senate: Ordered returned to Assembly on 08/18/2014. Assembly concurred in Senate amendments, to Enrollment on 08/19/2014. Enrolled on 08/22/2014. To Governor on 09/03/2014. Signed by Governor, Chaptered by Secretary of State on 09/09/2014.

VEHICLE LICENSE FEE

Property Tax Revenue: Vehicle License Fee

Status: VETOED

SB 69

Author
Roth
Location
Vetoed
Next Hearing

Party
D

Bill Summary

Amends existing law that requires each city, county, and city and county receive additional property tax revenues in the form of a vehicle license fee adjustment amount for a Vehicle License Fee Property Tax Compensation Fund in each county treasury. Modifies the reduction and transfer provisions for the a specified fiscal year and for each year thereafter by providing for a vehicle license fee adjustment calculated on the basis of changes in assessed valuation.

Recent Activity

From Senate: Passed (30-6) to Assembly on 05/30/2013. From Assembly: Passed (76-0), to Senate for concurrence on 08/20/2014. Senate concurred in Assembly amendments, to Enrollment on 08/21/2014. Enrolled on 08/25/2014. To Governor on 08/28/2014. Vetoed by Governor on 09/28/2014.

Positions
SCAG: Support

ECONOMIC DEVELOPMENT

Redevelopment

Status: VETOED

SB 133

Author
DeSaulnier
Location
Vetoed
Next Hearing

Party
D

Bill Summary

Amends the Community Redevelopment Law. Requires a redevelopment agency to include additional information relating to any major audit violations, any corrections of those violations, and planning and general administrative expenses of the Low and Moderate Income Housing Fund. Authorizes quality control reviews by the Controller and the publishing of those reviews. Requires audits of such agencies to ensure compliance with the law. Relates to funding for housing construction and/or rehabilitation.

Recent Activity

From Senate: Passed (38-0) to Assembly on 04/08/2013. From Assembly: Passed (77-0), to Senate for concurrence on 09/04/2013. From Senate: To Inactive File on 09/10/2013. Senate concurred in Assembly amendments, to Enrollment 08/28/2014. Enrolled on 09/02/2014. To Governor on 09/05/2014. Vetoed by Governor on 09/29/2014.

Positions

CALTRANS

Department of Transportation: Goals and Performance

Status: CHAPTERED

SB 486

Author
DeSaulnier
Location
Chaptered
Next Hearing

Party
D

Bill Summary

Authorizes the State Transportation Commission to prescribe study areas for analysis and evaluation by the Department of Transportation and to establish guidelines for the State Transportation Plan. Requires the Department to submit to the Commission an interregional transportation strategic plan directed at a high-functioning and balanced interregional transportation system and to prepare an assess management plan to guide for state highway operation and protection including budgeting.

Recent Activity

From Senate: Passed (39-0) to Assembly on 05/28/2014. From Assembly: Passed (79-0), to Senate for concurrence on 08/25/2014. Re-referred to Rules on 08/26/2014. Re-referred to Transportation & Housing on 08/26/2014. From Transportation & Housing: Recommend concurrence in Assembly amendments on 08/27/2014. Senate concurred in Assembly amendments, to Enrollment on 08/28/2014. Enrolled on 09/02/2014. Signed by Governor, Chaptered by Secretary of State on 09/30/2014.

Positions

Assembly Committees: Accountability & Administrative Review (AAR) | Appropriations (A) | Arts, Entertainment, Sports, Tourism & Internet Media | Budget (B) | Housing & Community Development (HCD) | Jobs, Economic Development & The Economy (JEDE) | Local Government (LG) | Natural Resources (NR) | Revenue & Taxation (R&T) | Rules | Transportation (T) | Utilities & Commerce (U&C) | Water, Parks & Wildlife (WPW) | Senate Committees: Appropriations (A) | Business, Professions & Economic Development (BPED) | Education (EDU) | Elections & Constitutional Amendments (ECA) | Energy, Utilities & Communications (EUC) | Environmental Quality (EQ) | Governance & Finance (G&F) | Governmental Organization (GO) | Judiciary (J) | Labor & Industrial Relations (LIR) | Natural Resources & Water (NRW) | Rules (R) | Transportation & Housing (T&H)

SCAG California Legislative Matrix

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ENVIRONMENT

Short-Lived Climate Pollutants

Status: CHAPTERED

SB 605

Bill Summary

Requires the State Air Resources Board to complete a comprehensive strategy to reduce emissions of short-lived climate pollutants in the State.

Recent Activity

From Senate: Passed (27-11) to Assembly on 05/29/2013. From Assembly: Passed (42-29), to Senate for concurrence. Re-referred to Rules on 08/21/2014. Re-referred to Environmental Quality on 08/21/2014. From Environmental Quality: Recommend concurrence in Assembly amendments on 08/25/2014. From Senate: Ordered returned to Assembly on 08/29/2014. From Assembly: Passed (53-24), to Senate for concurrence on 08/29/2014. Senate concurred in Assembly amendments, to Enrollment on 08/29/2014. Enrolled on 09/04/2014. To Governor on 09/08/2014. Signed by Governor, Chaptered by Secretary of State on 09/21/2014.

Author
Lara

Party
D

Location
Chaptered

Next Hearing

Positions
CA League: Watch

INFRASTRUCTURE FINANCE DISTRICTS

Enhanced Infrastructure Financing Districts

Status: CHAPTERED

SB 628

Bill Summary

Authorizes a local government body to establish an enhanced infrastructure financing district to finance capital facilities and other project to include brownfield restoration, projects on a former military base, and low and moderate income housing. Requires specific events to occur before the district can implement a financing plan. Authorizes projects through tax increment financing. Authorizes projects that are located or overlap in a redevelopment area or a former redevelopment project area.

Recent Activity

From Senate: Passed (24-11) to Assembly on 05/20/2013. From Assembly: Passed (46-29), to Senate for concurrence on 08/08/2013. Senate concurred in Assembly amendments, to Enrollment on 08/15/2013. Withdrawn from Enrollment on 08/19/2014. From Senate: Ordered returned to Assembly on 07/03/2014. Re-referred to Assembly Committee on Rules on 08/07/2014. Re-referred to Local Government on 08/26/2014. Author's amendments, re-referred to Local Government on 08/26/2014. From Local Government: Do pass (5-2) on 08/27/2014. From Assembly: Passed (44-31), to Senate for concurrence on 08/29/2014. Re-referred to Senate Committee on Governance & Finance on 08/29/2014. From Governance & Finance: Recommend concurrence in Assembly amendments on 08/29/2014. Senate concurred in Assembly amendments, to Enrollment on 08/30/2014. Enrolled on 09/07/2014. To Governor on 09/09/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.

Author
Beall

Party
D

Location
Chaptered

Next Hearing

Positions

CAP & TRADE

Greenhouse Gases: Emissions Reduction

Status: CHAPTERED

SB 862

Bill Summary

Includes a greenhouse gas reduction loan program, low-income weatherization program, high-speed rail funding, transit and intercity rail funding and transit agency emission reduction, state building energy retrofitting, timberland environmental impact report funding, state forestry appointments, the Forest Legacy Program, clean vehicle rebates and zero emission vehicle vouchers, and the export of electricity from wind technologies of customer-generators.

Recent Activity

From Senate: Passed (22-12) to Assembly on 04/10/2014. From Assembly: Passed (53-26), to Assembly for concurrence on 06/15/2014. Senate concurred in Assembly amendments, to Enrollment on 06/15/2014. Enrolled on 06/18/2014. To Governor on 06/19/2014. Signed by Governor, Chaptered by Secretary of State on 06/20/2014.

Author
Senate B&FR

Party

Location
Chaptered

Next Hearing

Positions

Assembly Committees: Accountability & Administrative Review (AAR) | Appropriations (A) | Arts, Entertainment, Sports, Tourism & Internet Media | Budget (B) | Housing & Community Development (HCD) | Jobs, Economic Development & The Economy (JEDE) | Local Government (LG) | Natural Resources (NR) | Revenue & Taxation (R&T) | Rules | Transportation (T) | Utilities & Commerce (U&C) | Water, Parks & Wildlife (WPW) | Senate Committees: Appropriations (A) | Business, Professions & Economic Development (BPED) | Education (EDU) | Elections & Constitutional Amendments (ECA) | Energy, Utilities & Communications (EUC) | Environmental Quality (EQ) | Governance & Finance (G&F) | Governmental Organization (GO) | Judiciary (J) | Labor & Industrial Relations (LIR) | Natural Resources & Water (NRW) | Rules (R) | Transportation & Housing (T&H)

SCAG California Legislative Matrix

October 2014

<p>TRANSPORTATION: PARKING SB 953</p> <p>Author Roth</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions RTC: Sponsor SANBAG: Support</p>	<p>Vehicles: Parking: Public Grounds</p> <p>Bill Summary Amends existing law prohibiting a person from driving a vehicle or animal, or stopping, or leaving standing a vehicle or animal, whether attended or not, upon the driveways, paths, parking facilities, or ground of specified public entities, including a transit district and a public transportation agency, except with the permission of, and upon and subject to specified conditions, of the governing body of a specified public body. Includes specified county transportation commissions with the listed entities.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Senate: Passed (32-0) to Assembly on 05/23/2014. From Assembly: Passed (77-0), to Enrollment on 08/07/2014. Enrolled on 08/09/2014. To Governor on 08/11/2014. Signed by Governor, Chaptered by Secretary of state on 08/15/2014.</p>
<p>TRANSPORTATION SB 969</p> <p>Author DeSaulnier</p> <p>Location Vetoed</p> <p>Next Hearing</p> <p>Positions SANBAG: Oppose Unless Amended</p>	<p>Public Works</p> <p>Bill Summary Authorizes these provisions to be known and cited as the Public Works Project Overview Improvement Act. Defines a megaproject as a transportation project with total estimated development and construction costs exceeding \$1,000,000,000. Requires the agency administering a megaproject to establish a peer review group and to take specified actions to manage the risks associated with a megaproject including establishing a comprehensive risk management plan, and regularly reassessing its reserves.</p>	<p>Status: VETOED</p> <p>Recent Activity Introduced on 02/10/2014. To Senate Committee on Transportation & Housing on 02/20/2014. Passed Senate (35-0) on May 28, 2014. Passed Assembly (76-0), 08/21/2014. Passed Senate concurring in Assembly Amendments (33-0), 08/22/2014. To Governor on 08/28/2014. Vetoed by Governor on 09/30/2014. In Senate, consideration of Governor's veto pending.</p>
<p>LACMTA TRANS. & USE TAX SB 1037</p> <p>Author Hernandez</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions</p>	<p>Los Angeles County MTA: Transactions and Use Tax</p> <p>Bill Summary Requires the Los Angeles County Metropolitan Transportation Authority, before submitting an ordinance or amended ordinance to voters, to amend an expenditure plan previously prepared for certain transactions and use taxes with respect to matters relating to certain projects and programs, and to develop a transparent process to determine certain cost estimates. Requires the MTA to include the amended plan in the Long Range Transportation Plan. Requires such plan to include certain projects and programs.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Senate: Passed (31-2) to Assembly on 05/12/2014. From Assembly: Passed (76-0), to Senate for concurrence on 06/30/2014. Senate concurred in Assembly amendments, to Enrollment on 08/04/2014. Enrolled on 08/05/2014. To Governor on 08/07/2014. Signed by Governor, Chaptered by Secretary of State on 08/15/2014.</p>
<p>TRANSPORTATION: VMT SB 1077</p> <p>Author DeSaulnier</p> <p>Location Chaptered</p> <p>Next Hearing</p> <p>Positions</p>	<p>Vehicles: Road Usage Charge Pilot Program</p> <p>Bill Summary Requires the Chair of the State Transportation Commission to create a Road Usage Charge Technical Advisory Committee to study the charge alternatives to the gas tax and make recommendations on the design of a pilot program. Authorizes the Committee to make recommendations on the criteria to be used to evaluate the program. Requires the preparation and submission of a program related report to Legislative committees. Requires the Commission to include same in its annual report to the Legislature.</p>	<p>Status: CHAPTERED</p> <p>Recent Activity From Senate: Passed (23-11) to Assembly on 05/27/2014. From Assembly: Passed (46-26), to Senate for concurrence on 08/25/2014. Senate concurred in Assembly amendments, to Enrollment on 08/26/2014. Enrolled on 08/28/2014. To Governor on 09/05/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.</p>

Assembly Committees: Accountability & Administrative Review (AAR) | Appropriations (A) | Arts, Entertainment, Sports, Tourism & Internet Media | Budget (B) | Housing & Community Development (HCD) | Jobs, Economic Development & The Economy (JEDE) | Local Government (LG) | Natural Resources (NR) | Revenue & Taxation (R&T) | Rules | Transportation (T) | Utilities & Commerce (U&C) | Water, Parks & Wildlife (WPW) | Senate Committees: Appropriations (A) | Business, Professions & Economic Development (BPED) | Education (EDU) | Elections & Constitutional Amendments (ECA) | Energy, Utilities & Communications (EUC) | Environmental Quality (EQ) | Governance & Finance (G&F) | Governmental Organization (GO) | Judiciary (J) | Labor & Industrial Relations (LIR) | Natural Resources & Water (NRW) | Rules (R) | Transportation & Housing (T&H)

SCAG California Legislative Matrix

October 2014

REDEVELOPMENT SB 1129 Author Steinberg Location Vetoed Next Hearing Positions	Successor Agencies to Redevelopment Agencies Bill Summary Relates to the procedures of an successor redevelopment agency regarding the receipt of a finding of completion of a project entered into by the previous redevelopment agency. Relates to the recalculation of the accumulated on the remaining balance of a loan. Relates to the rejection of an enforceable obligation from a recognized obligation payment scheduled for a successor agency that has received a finding of completion. Provides an agency officer or employee may acquire an interest in project property.	Status: VETOED Recent Activity From Senate: Passed (27-8) to Assembly on 05/28/2014. From Assembly: Passed (58-17), to Senate for concurrence on 08/27/2014. Senate concurred in Assembly amendments, to Enrollment on 08/29/2014. Enrolled on 09/05/2014. To Governor on 09/08/2014. Vetoed by Governor on 09/29/2014.
GOODS MOVEMENT SB 1228 Author Hueso Location Chaptered Next Hearing Positions SANBAG: Support With Amendments	Trade Corridors Improvement Fund Bill Summary Provides for the continuation of the Trade Corridors Improvement Fund for the purpose of receipt and expenditure of revenues from sources other than a specified bond act. Provides for allocation of those revenues for similar purposes as the bond act, but specifically for infrastructure improvements that benefit the State's land ports of entry, seaports, and airports. Requires funded projects to be subject to specified requirements related to the Greenhouse Gas Reduction Fund.	Status: CHAPTERED Recent Activity From Senate: Passed (35-1) to Assembly on 05/27/2014. From Assembly: Passed (79-0), to Senate for concurrence on 08/28/2014. Senate concurred in Assembly amendments, to Enrollment on 08/29/2014. Enrolled on 09/04/2014. To Governor on 09/08/2014. Signed by Governor, Chaptered by Secretary of State on 09/29/2014.
TRANSPORTATION: HOT LANES SB 1298 Author Hernandez, E. Location Chaptered Next Hearing Positions SCAG: Support	High Occupancy Toll Lanes Bill Summary Revises and recasts provisions authorizing a value-pricing and transit development demonstration program involving high-occupancy toll lanes to be conducted, administered, developed, and operated on specified State highway routes. Specifies additional requirements for agreements between specified agencies. Requires the agreements to provide for reimbursement of agencies from toll revenues for costs incurred in the implementation or operation of the program and maintenance of specified facilities.	Status: CHAPTERED Recent Activity From Senate: Passed (31-0) to Assembly on 05/27/2014. From Assembly: Passed (70-7), to Senate for concurrence on 08/21/2014. Senate concurred in Assembly amendments, to Enrollment on 08/22/2014. Enrolled on 08/25/2014. To Governor on 08/28/2014. Signed by Governor, Chaptered by Secretary of State on 09/21/2014.
TRANSPORTATION SB 1433 Author Hill Location Chaptered Next Hearing Positions OCTA: Support SANBAG: Support	Local Agency Public Construction: Design-Build Contract Bill Summary The Local Agency Public Construction Act until January 1, 2015, authorizes a transit operator, as defined, to enter into a design-build contract, as specified. Existing law requires certain information submitted in this regard to be provided under penalty of perjury. This bill would extend the authorization for a transit operator to enter into a design-build contract until January 1, 2017. Because the bill would expand the crime of perjury, it would impose a state-mandated local program.	Status: CHAPTERED Recent Activity From Senate: Passed (35-0) to Assembly on 05/08/2014. From Assembly: Passed (78-0), to Senate for concurrence on 08/28/2014. Senate concurred in Assembly amendments, to Enrollment on 08/29/2014. Enrolled on 09/05/2014. To Governor on 09/08/2014. Signed by Governor, Chaptered by Secretary of State on 09/30/2014.

Assembly Committees: Accountability & Administrative Review (AAR) | Appropriations (A) | Arts, Entertainment, Sports, Tourism & Internet Media | Budget (B) | Housing & Community Development (HCD) | Jobs, Economic Development & The Economy (JEDE) | Local Government (LG) | Natural Resources (NR) | Revenue & Taxation (R&T) | Rules | Transportation (T) | Utilities & Commerce (U&C) | Water, Parks & Wildlife (WPW) | Senate Committees: Appropriations (A) | Business, Professions & Economic Development (BPED) | Education (EDU) | Elections & Constitutional Amendments (ECA) | Energy, Utilities & Communications (EUC) | Environmental Quality (EQ) | Governance & Finance (G&F) | Governmental Organization (GO) | Judiciary (J) | Labor & Industrial Relations (LIR) | Natural Resources & Water (NRW) | Rules (R) | Transportation & Housing (T&H)

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REPORT

DATE: November 6, 2014

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer; (213) 236-1817; panas@scag.ca.gov

SUBJECT: CFO Monthly Report

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

For Information Only-No Action Required.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal, 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

MEMBERSHIP DUES:

As of October 13, 2014, 172 cities and counties have renewed their membership while 24 cities and counties have not yet renewed. Memberships not renewed by January 1, 2015, will receive a written notice of delinquency. SCAG is assisting members to facilitate timely renewals.

BUDGET & GRANTS (B&G):

On October 4, 2014, B&G staff submitted budget amendment 2 to the FY 2014-15 Overall Work Program (OWP) to Caltrans for their review and recommended approval. SCAG anticipates final FHWA/FTA approval in December 2014.

On October 20, 2014, B&G staff began the preparation of the FY 2015-16 Comprehensive Budget. The Consolidated Budget Development System (CBDS) has been upgraded to provide better user-friendly access. B&G staff provided training on the upgraded CBDS to all project managers.

On October 22, 2014, B&G staff submitted the 1st Quarter Progress Report for FY 2014-15 to Caltrans for review.

CONTRACTS:

On September 2014, the Contracts Department issued one (1) Request for Proposal (RFP); three (3) contracts; three (3) contract amendments; and 53 Purchase Orders to support ongoing business and enterprise operations. Staff also administered 101 consultant contracts.

Contracts staff continued to negotiate better pricing and reduced costs for services. During the month of September 2014, over \$846.00 in budget savings was realized, bringing the FY 2014-15 total to approximately \$105,755.

ATTACHMENT:

September 2014 CFO Monthly Status Report



SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS

Office of the Chief Financial Officer

Monthly Status Report

SEPTEMBER 2014



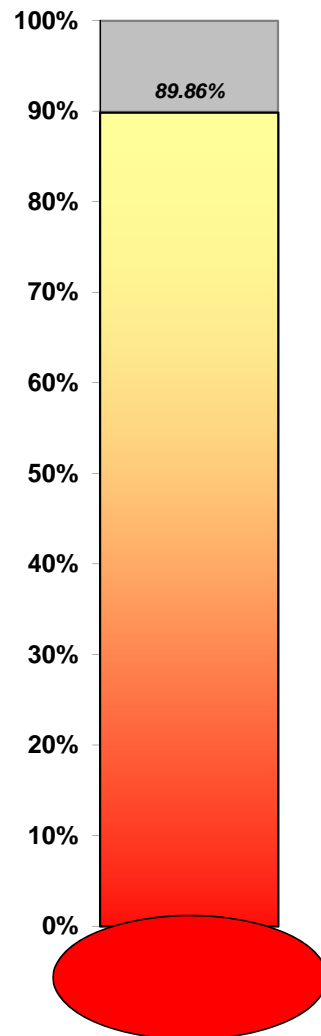
OVERVIEW

As of October 13, 2014, 172 cities and counties have renewed their membership while 24 cities and counties have not yet renewed. No cities' dues have been waived and there is one (1) city in the SCAG region which is still being recruited for membership.

SUMMARY

FY15 Membership Dues	<u>\$1,912,751.73</u>
Total Collected	<u>\$1,718,765.30</u>
Percentage Collected	<u>89.86%</u>

FY15 Membership Dues Collected

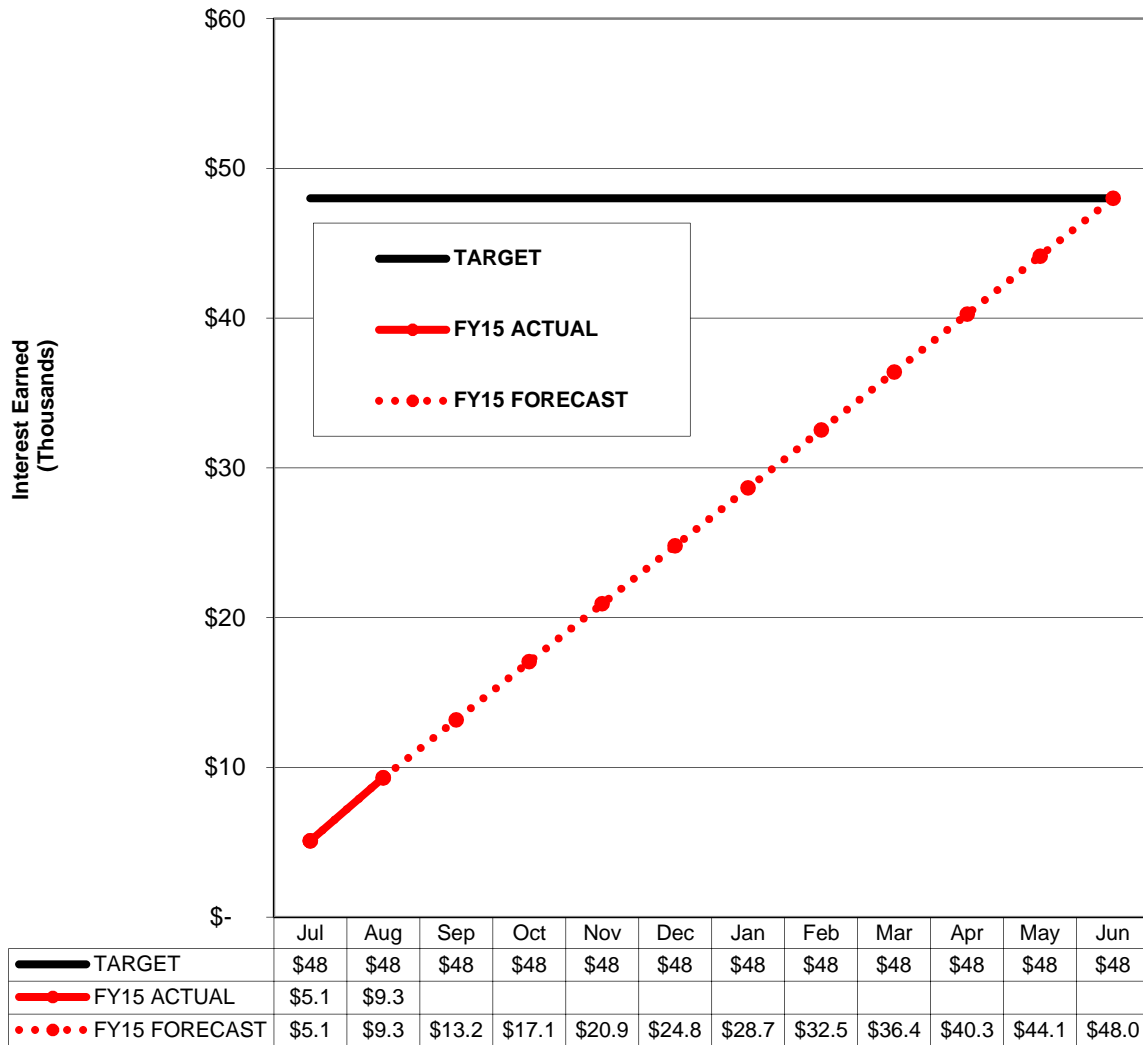


Office of the CFO
Interest Earnings Variance



**SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS**

INTEREST EARNINGS VARIANCE



OVERVIEW

Actual interest income is plotted against the target amount. The amount earned through August was \$9,313. The LA County Pool earned 0.76% in August.

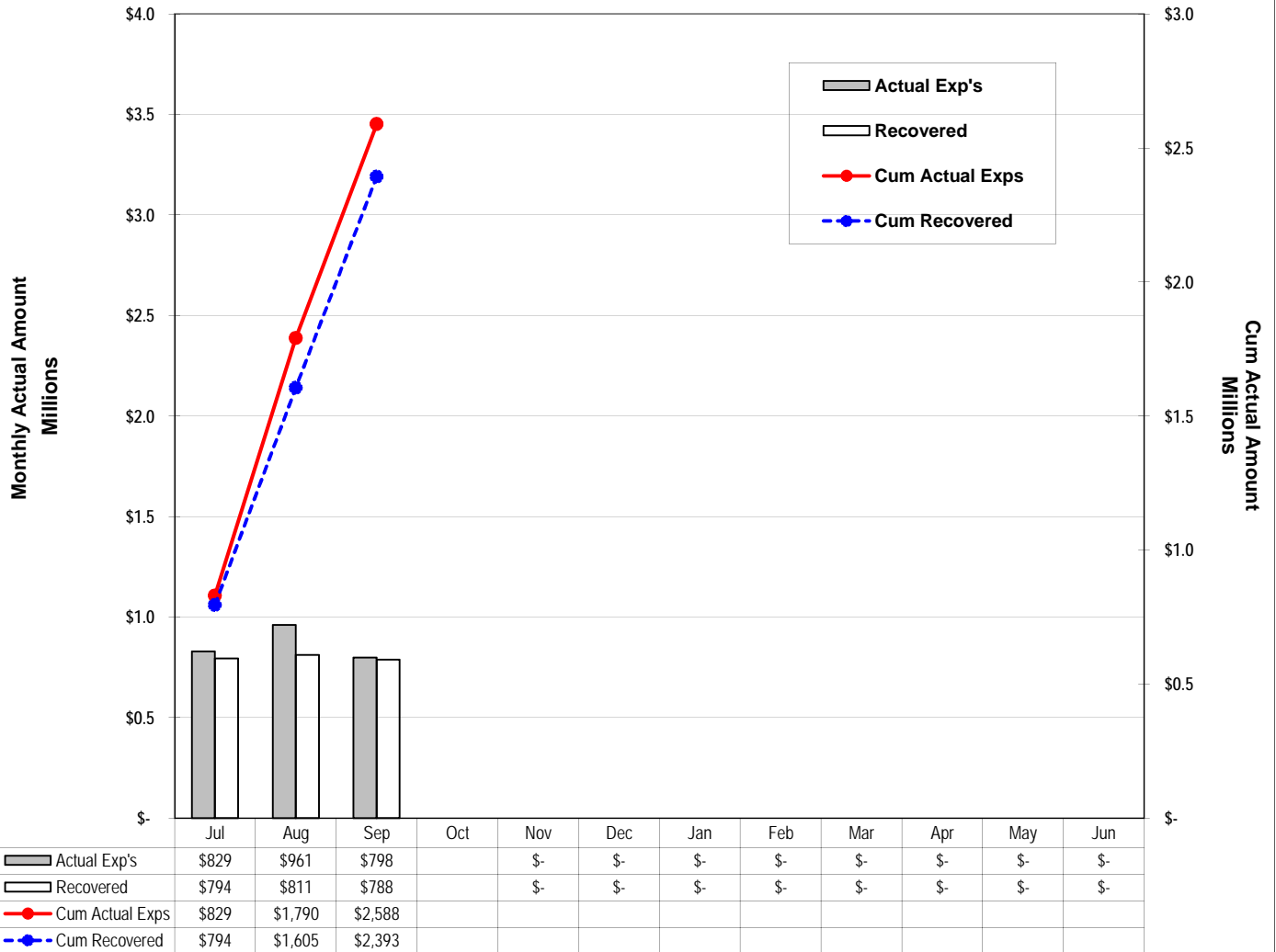
SUMMARY

The amount projected for FY15 is \$48,000.



**SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS**

FY15 INDIRECT COST & RECOVERY



OVERVIEW

A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.

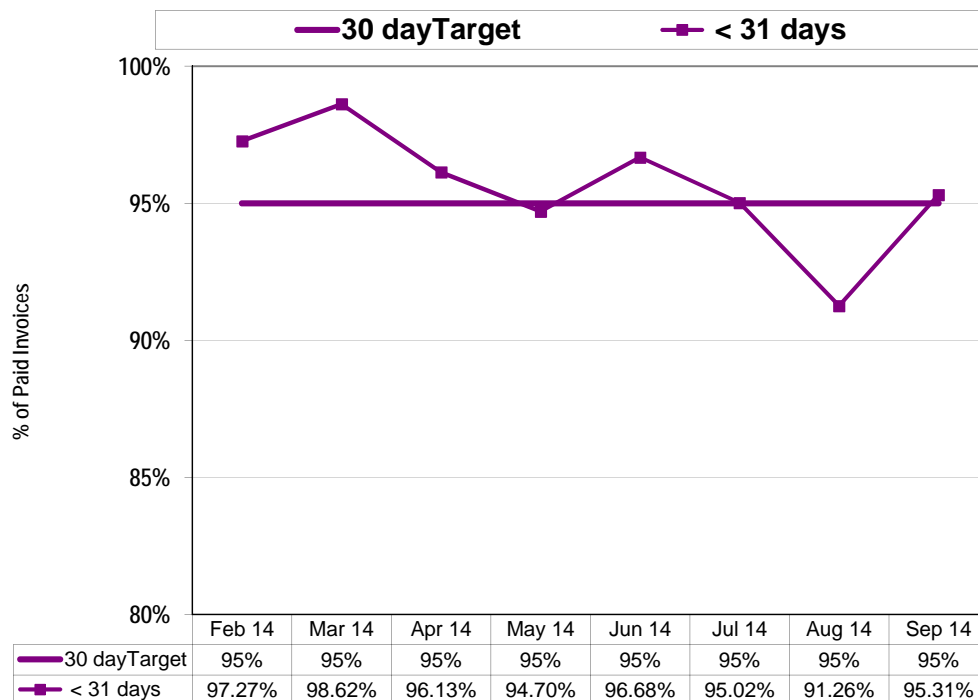
SUMMARY

Through September 2014, SCAG was under-recovered by \$195,349 due to lower than budgeted labor charges.

Office of the CFO
Invoice Aging



INVOICE AGING



OVERVIEW

The percent of total invoices paid within 30 days. The target is to pay 95% of all invoices within 30 days. This goal was met.

SUMMARY

95.31% of September 2014's payments were made within 30 days of invoice receipt.

At month-end, 46 invoices remained unpaid less than 30 days.

Actual

OVERVIEW

The percent of total invoices paid within 60 and 90 days. The target is to pay 98% of invoices within 60 days and 100% within 90 days.

SUMMARY

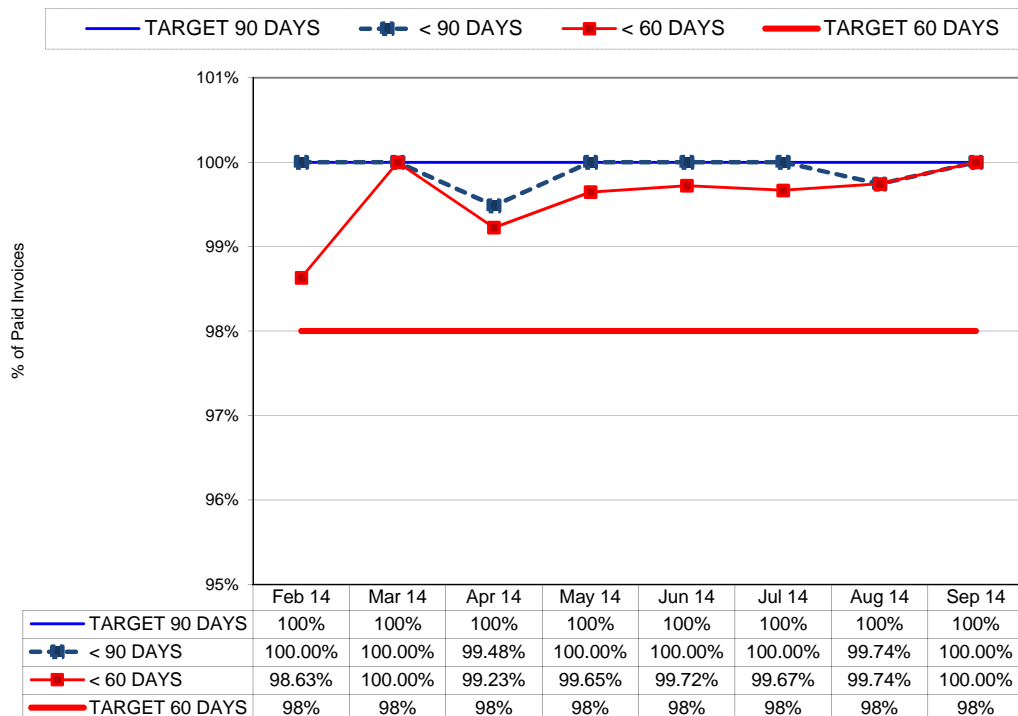
These goals were met during this period.

100.00% of September 2014's payments were within 60 days of invoice receipt and 100.00% within 90 days. Invoices unpaid 30-60 days totaled 17; 60-90 days: 0; >90 days: 0.



SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS

INVOICE AGING



Office of the CFO
Consolidated Balance Sheet

	8/31/2014	9/30/2014	Incr (decr) to equity	COMMENTS
Cash at Bank of the West	\$ 2,994,656	\$ 989,498		
LA County Investment Pool	\$ 10,717,866	\$ 10,717,866		
Cash & Investments	\$ 13,712,522	\$ 11,707,364	\$ (2,005,158)	One month's CPG payment was not posted yet at Sep 30
Accounts Receivable	\$ 6,763,385	\$ 9,006,991	\$ 2,243,606	Sep has three CPG billings outstanding, August two.
Fixed Assets - Net Book Value	\$ 657,061	\$ 657,061	\$ -	No change
Total Assets	\$ 21,132,968	\$ 21,371,416	\$ 238,448	
Accounts Payable	\$ (369,703)	\$ (528,791)	\$ (159,087)	More consultant activity
Employee-related Liabilities	\$ (287,753)	\$ (302,132)	\$ (14,379)	Sep had 7 unpaid days, Aug only 5
Other Current Liabilities	\$ (793,879)	\$ (1,079,212)	\$ (285,333)	Prepaid expenses at June 30 were expensed
Deferred Revenue	\$ (688,447)	\$ (688,447)	\$ -	No change
Total Liabilities and Deferred Revenue	\$ (2,139,784)	\$ (2,598,583)	\$ (458,799)	
Fund Balance	\$ 18,993,185	\$ 18,772,833	\$ (220,351)	
			-	
WORKING CAPITAL				
	8/31/2014	9/30/2014	Incr (decr) to working capital	
Cash	\$ 13,712,522	\$ 11,707,364	\$ (2,005,158)	
Accounts Receivable	\$ 6,763,385	\$ 9,006,991	\$ 2,243,606	
Accounts Payable	\$ (369,703)	\$ (528,791)	\$ (159,087)	
Employee-related Liabilities	\$ (287,753)	\$ (302,132)	\$ (14,379)	
Working Capital	\$ 19,818,450	\$ 19,883,432	\$ 64,982	

Office of the CFO
Fiscal Year-To-Date Expenditure Report Through September 30, 2014



COMPREHENSIVE BUDGET

		Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	Staff & Allocated Fringe Benefits	369,802	369,802	24,882		344,920	6.7%
2	51001 Allocated Indirect Costs	276,634	276,634	18,552		258,082	6.7%
3	54300 SCAG Consultants	528,440	528,440	14,000	514,440	0	2.6%
4	54340 Legal costs	120,000	120,000	9,960	93,898	16,142	8.3%
5	55441 Payroll, bank fees	15,000	15,000	1,034		13,966	6.9%
6	55510 Office Supplies	15,000	15,000	3,275	11,725	0	21.8%
7	55600 SCAG Memberships	27,000	27,000	4,620	20,000	2,380	17.1%
8	55610 Professional Membership	12,719	12,719	4,133	2,206	6,380	32.5%
9	55730 Capital Outlay	542,106	542,106	-		542,106	0.0%
10	55860 Scholarships	14,000	14,000	12,000		2,000	85.7%
12	55910 RC/Committee Mtgs		4,171	4,171	0	0	100.0%
13	55912 RC Retreat		3,162	3,162	0	0	100.0%
14	55914 RC General Assembly	400,000	400,000	15,000	155,198	229,802	3.8%
16	55916 Economic Summit	50,000	50,000	-	38,500	11,500	0.0%
17	55917 Labor Summit	7,000	7,000	-	1,502	5,498	0.0%
18	55920 Other Meeting Expense	50,000	42,667	23,100	19,567	0	54.1%
19	55930 Miscellaneous other	11,000	11,000	1,536	9,464	0	14.0%
20	55940 Stipend - RC Meetings	211,440	211,440	30,100	0	181,340	14.2%
22	56100 Printing	6,000	6,000	328	3,897	1,775	5.5%
23	58100 Travel - outside SCAG region	35,000	35,000	2,083	0	32,917	6.0%
24	58101 Travel - local	26,000	26,000	1,828	0	24,172	7.0%
25	58110 Mileage - local	11,500	11,500	1,772	0	9,728	15.4%
26	58150 Staff Lodging Expense	9,000	9,000	-		9,000	0.0%
27	58800 RC Sponsorships	69,720	69,720	15,500		54,220	22.2%
28	Total General Fund	2,807,361	2,807,361	191,035	870,399	1,745,927	6.8%
29				-			
30	Staff & Fringe Benefits	13,974,295	13,974,295	3,184,434		10,789,861	22.8%
31	51001 Allocated Indirect Costs	10,453,605	10,453,605	2,374,314		8,079,291	22.7%
32	54300 SCAG Consultants	14,738,572	14,816,572	501,603	11,623,017	2,691,952	3.4%
33	54350 Professional Services	506,000	506,000	32,628	473,372	0	6.4%
34	55210 Software Support	701,500	701,500	60,709	27,100	613,691	8.7%
35	55220 Hardware Support	100,000	100,000	10,131	0	89,869	10.1%
36	55280 Third Party Contribution	3,294,080	3,294,080	-	126,397	3,167,683	0.0%
38	55620 Resource Materials - subscrib	60,000	60,000	20,705	25,574	13,721	34.5%
39	55810 Public Notices	33,000	33,000	1,316	1,432	30,253	4.0%
40	55830 Conference - Registration	10,000	10,000	3,130	2,725	4,146	31.3%
41	55920 Other Meeting Expense	86,698	86,698	-		86,698	0.0%
42	55930 Miscellaneous - other	155,402	155,402	272	18,275	136,855	0.2%
43	56100 Printing	34,500	34,500	441	117	33,941	1.3%
44	58100 Travel	260,332	260,332	21,395	0	238,937	8.2%
45	Total OWP	44,407,984	44,485,984	6,211,079	12,298,009	25,976,897	14.0%
46				-			
47	Comprehensive Budget	47,215,345	47,293,345	6,402,114	13,168,407	27,722,824	13.5%

Office of the CFO

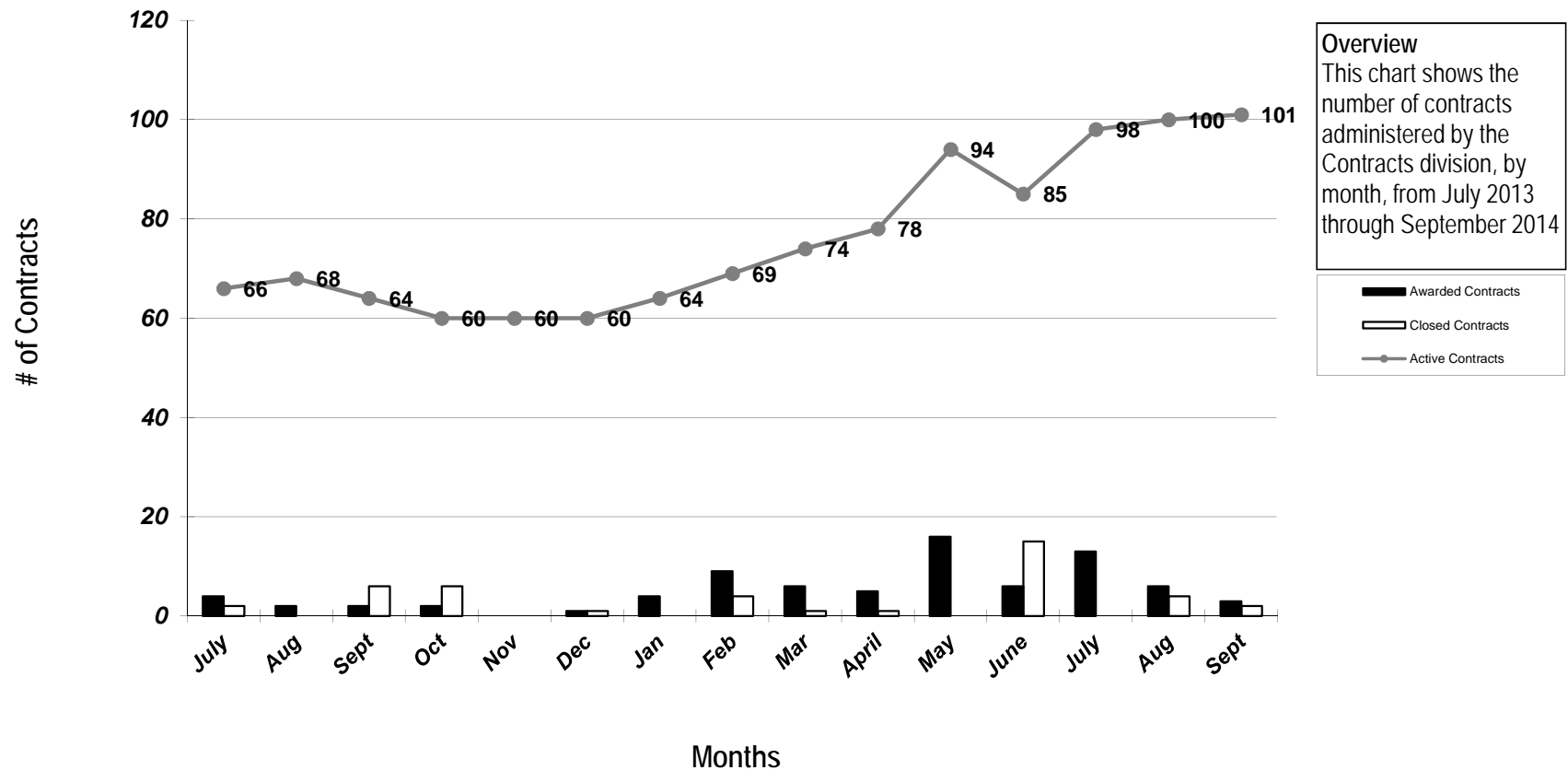
Fiscal Year-To-Date Expenditure Report Through September 30, 2014



INDIRECT COST EXPENDITURES

			Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	50010	Regular Staff	3,563,315	3,562,610	782,213		2,780,397	22.0%
2	50013	Regular OT	-	705	705		0	100.0%
3	50014	Interns, Temps, Annuity	153,000	153,000	11,305		141,695	7.4%
4	51000	Allocated Fringe Benefits	2,593,861	2,593,861	627,203		1,966,658	24.2%
5	54300	SCAG Consultants	1,370,481	1,370,481	102,042	1,268,439	0	7.4%
6	54340	Legal	200,000	197,500	-	167,696	29,804	0.0%
7	55210	Software Support	527,279	527,279	306,689	191,352	29,237	58.2%
8	55220	Hardware Supp	113,780	101,342	49,017	30,044	22,281	48.4%
9	55230	Computer Maintenance	-	12,438	12,438	0	0	100.0%
10	55240	Repair & Maint Non-IT	20,000	20,000	10,172	9,828	0	50.9%
11	55400	Office Rent 818 Offices	1,582,877	1,582,877	379,957	1,134,758	68,161	24.0%
12	55410	Office Rent Satellite	171,490	171,490	46,236	125,254	0	27.0%
13	55420	Equip Leases	108,979	108,979	18,364	90,615	0	16.9%
14	55430	Equip Repairs & Maint	19,000	19,000	1,713	17,287	0	9.0%
15	55440	Insurance	170,722	170,722	16,865	1,216	186,371	9.9%
16	55441	Payroll / Bank Fees	10,000	10,000	3,906		6,094	39.1%
17	55460	Mater & Equip < \$5,000	35,000	35,000	29,412	5,588	0	84.0%
18	55510	Office Supplies	80,000	80,000	18,640	61,360	0	23.3%
19	55520	Graphic Supplies	1,500	1,500	224	871	405	14.9%
20	55530	Telephone	189,800	189,800	22,114	160,896	6,790	11.7%
21	55540	Postage	5,000	10,002	10,002	0	0	100.0%
22	55550	Delivery Services	5,000	2,498	962	1,536	0	38.5%
23	55600	SCAG Memberships	104,313	104,313	59,699	11,250	33,364	57.2%
24	55620	Res Mats/Subscrip	32,800	32,800	23,811	5,607	3,382	72.6%
25	55700	Deprec - Furn & Fixt	5,738	5,738	-		5,738	0.0%
26	55710	Deprec - Computer Equipment	69,136	69,136	-		69,136	0.0%
27	55720	Amortiz - Leasehold Improvements	7,786	7,786	-		7,786	0.0%
28	55800	Recruitment Notices	18,500	18,500	6,765	11,735	0	36.6%
29	55801	Recruitment - other	22,000	22,000	822	21,178	0	3.7%
30	55810	Public Notices	5,000	5,000	-	5,000	0	0.0%
31	55820	Training	80,000	80,000	26,454	53,546	0	33.1%
32	55830	Conference/workshops	23,850	23,850	270	0	23,580	1.1%
33	55920	Other Mtg Exp	2,200	2,200	-	480	1,720	0.0%
34	55930	Miscellaneous - other	8,500	8,500	316	8,184	0	3.7%
35	55950	Temp Help	38,500	38,500	7,360	31,140	0	19.1%
36	56100	Printing	17,600	17,600	621	15,711	1,268	3.5%
37	58100	Travel - Outside	109,050	109,050	5,049		104,001	4.6%
38	58101	Travel - Local	11,800	11,800	1,115		10,686	9.4%
39	58110	Mileage - Local	45,825	45,825	5,511		40,314	12.0%
40	58450	Fleet Vehicle	800	800	244	0	556	30.4%
41		Total Indirect Cost	11,524,482	11,524,482	2,588,215	3,430,572	5,505,694	22.5%

SCAG Contracts (Year to Date)



Summary

The chart shows that the Contract Division is managing 101 active consultant contracts. Fifty-four of these are Cost Plus Fixed Fee contracts, 17 are fixed price contracts, and the remaining 30 are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). The Contracts Department anticipates issuing approximately 50 contracts during FY 2014-15. Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.



GROUPS	Authorized Positions	Filled Positions	Vacant Positions
Executive	5	3	2
Legal	3	2	1
Strategy, Policy & Public Affairs	21	16	5
Administration	40	37	3
Planning & Programs	67	65	2
Total	136	123	13

OTHER POSITIONS

GROUPS	Limited Term Positions	Temp Positions	Agency Temps
Executive			
Legal			
Strategy, Policy & Public Affairs		3	
Administration		3	2
Planning & Programs	1	14	
Total	1	20	2